



ANNEX 2¹

Template for the Implementation Report of the SPRFMO Conservation and Management Measures

SPRFMO Secretariat

Number of obligations reported on per CMM

| CMM | Conservation and Management Measure | Nº of Obligations |
|--------------|--|-------------------|
| 01-2020 | <i>Trachurus murphyi</i> | 22 |
| 02-2020 | Standards for the Collection, Reporting, Verification and Exchange of Data | 10 |
| 03-2020 | Bottom Fishing in the SPRFMO Convention Area | 23 |
| 03a-2020 | Deepwater Species in the SPRFMO Convention Area | 4 |
| 04-2020 | Establishing a List of Vessels Presumed to have Carried Out IUU Activities in the SPRFMO Convention Area | 12 |
| 05-2019 | Establishment of the Commission Record of Vessels Authorised to Fish in the SPRFMO Convention Area | 11 |
| 06-2020 | Establishment of the Vessel Monitoring System in the SPRFMO Convention Area | 24 |
| 07-2019 | Minimum Standards of Inspection in Port | 19 |
| 08-2019 | Gillnets in the SPRFMO Convention Area | 5 |
| 09-2017 | Minimising Bycatch of Seabirds in the SPRFMO Convention Area | 21 |
| 10-2020 | Establishment of a Compliance and Monitoring Scheme in the SPRFMO Convention Area | 2 |
| 11-2015 | Boarding and Inspection Procedures in the SPRFMO Convention Area | 15 |
| 12-2020 | Regulation of Transshipment and Other Transfer Activities | 14 |
| 13-2020 | Management of New and Exploratory Fisheries in the SPRFMO Convention Area | 4 |
| 14a-2020 | Exploratory Fishing for Toothfish by NZ-Flagged Vessels in the SPRFMO Convention Area | 12 |
| 14b-2020 | Exploratory Potting Fishery in the SPRFMO Convention Area | 14 |
| 14c-2019 | Exploratory Fishing for Toothfish by EU Vessels in the SPRFMO Convention Area | 17 |
| 14d-2020 | Exploratory Fishing for Toothfish by Chilean-Flagged Vessels in the SPRFMO Convention Area | 15 |
| 15-2016 | Vessels without Nationality in the SPRFMO Convention Area | 2 |
| 16-2019 | The SPRFMO Observer Programme | 12 |
| 17-2019 | Fishing Gear and Marine Plastic Pollution in the SPRFMO Convention Area | 8 |
| 18-2020 | Management of the Jumbo Flying Squid Fishery | 5 |
| TOTAL | | 271 |

To be filled out by the Member/CNCP

Reporting Member/CNCP: [Click here to enter text.](#)

Reporting period to which this report refers: 1 October 2019 – 30 September 2020

Date report prepared: [Click or tap to enter a date.](#)

¹ Annex 2 has been amended by the Secretariat as per paragraph 5b of CMM 10-2020 (CMS).

CMM 01-2020 *Trachurus murphyi*¹

General Provisions

Para 1: Did your flagged vessels operate in the *T. murphyi* fishery in, or adjacent to, the Convention Area or did any vessels land or tranship *T. murphyi* within your ports?

YES *If yes, complete the following tables and questions on this CMM*

NO *If no, skip to the next CMM*

Table 1: Effort Management (Para 4)

| Gross Tonnage (GT) Limit | GT of active fishing vessels | Nº of vessels actively fishing for CJM | Nº of vessels only engaged in transhipment(s) |
|--------------------------|------------------------------|--|---|
| | | | |

Enter n/a if not applicable.

Table 2: Catch Management (Paras 5, 9)

| CJM catch limit | CJM catch limit taking into account any catch transfers | CJM catch total (year to date) |
|-----------------|---|--------------------------------|
| | | |

Table 3: Data Collection and Reporting (Paras 12,13, 18, 20, 24)

| Nº of monthly (and/or 15-day) reports provided | Lists of authorised, active and transhipping vessels provided? | Age-length and/or survey information provided to SC? | Observer coverage level |
|--|---|--|-------------------------|
| | YES <input type="checkbox"/> NO <input type="checkbox"/> Partial <input type="checkbox"/> | YES <input type="checkbox"/> NO <input type="checkbox"/> | |

Para 8: Do you have additional measures that limit your vessels to catches less than the amount specified in Table 2 above?

YES *If yes, please elaborate*
Enter relevant details here

NO

Para 22: Did you conduct any research or stock assessments for *T. murphyi* during this past year?

YES *If yes, please answer the question below*

- Were they submitted to the Scientific Committee?

YES NO

Enter relevant details here

NO

Para 23: Did any vessels land or tranship *T. murphyi* within your ports and did you take measures to verify those catches?

YES

NO

¹ The implementation of the following paragraphs can be found within the corresponding CMMs:
 paragraph 15 (required data, annual catch report) can be found within CMM 02-2020.
 paragraph 17 (VMS) can be found within CMM 06-2020.
 paragraph 20 (annual report) can be found within CMM 02-2020.
 paragraph 21 (CMS) can be found within CMM 10-2020.



Cooperation in respect of fisheries in adjacent areas under national jurisdiction

Para 25: Is any part of your national jurisdiction area adjacent to the Area to which CMM 01-2020 (*T. murphyi*) applies?

YES *If yes, please answer the questions below*

- Have you decided to apply the measures described in CMM 01-2020 (*T. murphyi*) paragraphs 12-24 within your national jurisdiction areas?

YES NO Partial

- Have you informed the Secretariat about conservation and management measures in effect for *T. murphyi* within your national jurisdiction areas (e.g. TAC resolutions)?

YES NO

Enter relevant details here

NO

Para 27: Are you a coastal State that has not given your express consent under Article 20 paragraph 4(a)(ii) of the Convention?

YES *If yes, please answer the questions below*

- Have you established domestic measures for catches of *T. murphyi* that may result in catches in the area under your national jurisdiction exceeding 61 999 tonnes?

YES NO *If no, continue to the next CMM*

Enter relevant details here

- If so, did you submit to the Secretariat a report explaining to the Commission how the national measures concerning the *T. murphyi* fishery in areas under your national jurisdiction are compatible with those adopted by the Commission?

YES NO

NO



CMM 02-2020 Standards for the Collection, Reporting, Verification and Exchange of Data¹

Response: The United States did not have any vessels participating in SPRFMO-managed fisheries. Thus, the questions in this section are not applicable.

Data on Fishing Activities and the Impacts of Fishing

Para 1a: Did you collate annual catch totals raised to “live” weight for all species/species groups caught in the previous calendar year (as described in Annex 13) and provide this to the Secretariat prior to 30 September?

YES

NO

Partial

Enter relevant details here

Table 4: Participation in SPRFMO fisheries during this reporting period (Para’s 1b/c, 2b/c)

| Fishing method | Activities undertaken? | Main species caught? | Nº of vessels | Activities observed? (Yes/No) |
|--|------------------------------|----------------------|---------------|-------------------------------|
| Demersal Hand/drop/dahn line | YES <input type="checkbox"/> | | | |
| Demersal Longline | YES <input type="checkbox"/> | | | |
| Demersal Potting | YES <input type="checkbox"/> | | | |
| Demersal Trawl | YES <input type="checkbox"/> | | | |
| Pelagic Purse seine | YES <input type="checkbox"/> | | | |
| Pelagic Trawl | YES <input type="checkbox"/> | | | |
| Squid jigging | YES <input type="checkbox"/> | | | |
| Transshipment | YES <input type="checkbox"/> | | | |
| Landings | YES <input type="checkbox"/> | | | |
| Reefer Landings | YES <input type="checkbox"/> | | | |
| <i>Other fishing methods or data (rows can be added if needed)</i> | YES <input type="checkbox"/> | | | |

Para 1d: Did you compile and submit all required data on fishing activities and the impacts of fishing and provide those to the Secretariat using the SPRFMO data submission templates prior to the 30th June?

YES

NO

Partial

Enter relevant details here

Observer Data

Para 2bc Did you compile and submit all required observer data and provide those to the Secretariat using the SPRFMO data submission templates prior to the 30th September?

YES

NO

Partial

Enter relevant details here

¹ The implementation of paragraph 2a (Implementation of observer programmes) can be found within CMM 16-2019



Data Verification

Para 4: Have you developed mechanisms to verify your SPRFMO fishery data?

YES

NO

Enter relevant details here

Data Exchange

Para 5: Have you reported the data relevant to the methods listed in Table 4 (above) to the Secretariat in accordance with the appropriate specifications and described formats and using the templates created by the Secretariat?

YES

NO

Partial

Enter relevant details here

Annual Reports

Para 7: Did you submit an annual report to the Scientific Committee informing them about the fishing, research, management activities undertaken over the previous year (including all required sections)?

YES

NO

Enter relevant details here



CMM 03-2020 Bottom fishing in the SPRFMO Convention Area¹²

Definitions

Para 2: Did you engage (or intend to engage) in “bottom fishing” activities in the Convention Area?

YES *If yes, continue to complete the questions in this CMM*

NO *If no, skip to the next CMM*

General provisions

Para 10: Have you prohibited your vessels from participating in bottom fishing activities in the Convention Area, except when in accordance with this CMM together with CMM 03a-2020 (Deepwater species)?

YES

NO

Para 11: Were all your vessels that engaged in bottom fishing activities duly authorised and listed in the SPRFMO Record of Vessels in accordance with CMM 05-2019 (Record of Vessels)?

YES

NO

Para 12: Under which provision have you authorised your vessels to engage in bottom fishing activities in the Convention Area? (*mark all that apply*)

- **Para 20(d)(i)** The activity was authorised by the Commission (post 2019), after it considered an SC review of the Member conducted Bottom Fishery Impact Assessment

YES

NO

- **Para 21** You have previously engaged in bottom fishing consistently with the previous iterations of CMM 03 (effective for 2019 and 2020 seasons only)

YES

NO

- The activity has been approved by the Commission under CMM 13-2020 (Exploratory fisheries)

YES

NO

Bottom fishing Management Areas

Para 14: Did all your bottom fishing in the Convention Area occur within the three defined Management Areas and in accordance with the terms of this CMM³?

YES

NO

¹ The implementation of paragraph 18 (Marine mammals Seabirds, Reptiles and Other Species of Concern) can be found within CMMs 02-2020 and 09-2017 as appropriate.

² The implementation of paragraph 35 (benthic bycatch) can be found within CMMs 02-2020.

³ Excluding fishing covered by CMM 13-2020 (Exploratory Fisheries)



Para 16: Did you ensure that all your vessels complied with the following three provisions¹?

- a) Bottom trawling shall only occur in a bottom trawl Management Area;
YES
NO
- b) Midwater trawling shall only occur in a midwater trawl Management Area or a bottom trawl Management Area;
YES
NO
- c) Bottom lining shall only occur in a Management Area.
YES
NO

Assessment of proposed bottom fishing

Para 20a: During this reporting period, did you submit to the Scientific Committee a Bottom Fishery Impact Assessment that met the appropriate standard (SPRFMO BFIAS) and included consideration of cumulative impacts and proposed mitigation measures to prevent such impacts?

- YES
NO

Para 22: Have you ensured that a proposed assessment meeting the requirements contained in paragraph 20(a) is submitted to the Scientific Committee and Commission at least every 3 years, and also when a substantial change in the fishery has occurred such that it is likely that the risk or impact of the fishery may have changed?

- YES
NO

Encounters with potential VMEs

Para 28: Did any of your vessels trigger the VME encounter protocols by encountering VME indicator taxa above the specified thresholds?

YES *If yes, continue to answer questions below*

- How many Encounters occurred during this reporting period?

Enter relevant details here

- In each case, did the vessel cease bottom fishing immediately within the encounter area?

YES
NO

- In each case, did the vessel report the encounter immediately in accordance with the Guidelines for the preparation and submission of notifications of encounters with potential VMEs, contained in Annex 7?

YES
NO

NO

Para 31: Did you ensure that your vessels have not bottom fished in any officially notified Encounter Area pending any related Commission decision?

- YES
NO

¹ Excluding fishing covered by CMM 13-2020 (Exploratory Fisheries)



Para 32: During this reporting period, did you submit any detailed descriptions of VME Encounters to the Scientific Committee (including suggested management actions) as a result of a temporary suspension pursuant to paragraph 28?

YES

NO

Monitoring and control of bottom fishing activities

Para 39a: Did you ensure that your vessels participating in bottom fishing:

- are equipped and configured so that they can comply with all relevant SPRFMO CMMs?

YES

NO

- act in accordance with CMM 06-2020 (Commission VMS), polling once every 30 minutes for the duration of the trip?

YES

NO

- report tow or set start and end position to 1/100th degree resolution - decimal format?

YES

NO

Observer coverage

Para 40: What was your observer coverage level (during this reporting period) for:

- Vessels using bottom trawl?

Enter relevant details here

- Vessels using mid-water trawl gear?

Enter relevant details here

- Vessels using bottom line gear (expressed as the percentage of observed hooks)?

Enter relevant details here



CMM 03a-2020 Deepwater Species in the SPRFMO Convention Area

Interpretation

| |
|--|
| <p>Para 2: Did you engage in “bottom fishing” activities in the Convention Area?</p> <p>YES <input type="checkbox"/> <i>If yes, complete the table below</i></p> <p>NO <input checked="" type="checkbox"/> <i>If no, skip to the next CMM</i></p> |
|--|

Table 5: CMM 03a-2020 (Deepwater Species): Catch Limits and Monitoring (Paras 10, 13, 15 & 17)

| Species - Area | 2020 Catch Limit | 2020 Catch Limit after applying transfers | Provisional 2020 Catch Total |
|--|------------------|---|------------------------------|
| Orange Roughy – Tasman Sea | | | |
| Orange Roughy – Louisville Ridge | | | |
| Orange Roughy – Westpac Bank | | | |
| Orange Roughy – South Tasman Rise (HS) | | | |
| All other target and non-target species | | | |
| Number of Monthly reports provided in this reporting period (Oct – Sept) | | | |



CMM 04-2020 Vessels presumed to have carried out IUU fishing activities in the SPRFMO Area

Information on alleged IUU Fishing activities

Para 2: Did you transmit a list of presumed IUU fishing vessels to the Secretariat?

YES *If yes, continue to answer Para 4*

- **Para 4:** Did you inform the relevant flag State before, or at the same time as, transmitting the list?

YES

NO

Enter relevant details here

NO

Draft IUU Vessel List

Para 5: Were any of your flagged vessels on the draft IUU Vessel List?

YES *If yes, answer the following question (Para 7)*

- **Para 7:** Did you notify vessel owners and inform them about the potential consequences?

YES

NO

Enter relevant details here

NO

IUU Vessel List

Para 15: Were any of your flagged vessels on the final IUU Vessel List?

YES *If yes, answer the questions below*

- Did you notify the vessel owners and inform them about the consequences of inclusion?

YES

NO

- Were any measures were taken to eliminate these IUU activities and was the Commission informed of these actions?

YES

NO

Enter relevant details here

NO

Para 16: Did you enforce any of the measures described in paragraph 16 of CMM 04-2020 (IUU)?
(letters a to i)

YES *If yes, elaborate below*

NO

Enter relevant details here

Modification of the IUU Vessel List

Para 21: Did you request the removal of one of your vessels from the IUU Vessel List, during the most recent intersessional period?

YES *If yes, elaborate below*

NO

Enter relevant details here

Action against nationals involved in IUU fishing activities



Para 25: Did you take any measures against your nationals that have been identified as engaged in, responsible for, benefiting from, or supporting the activities of any vessel engaged in IUU fishing activities in the SPRFMO Convention Area?

YES *If yes, elaborate below*

NO

Enter relevant details here

Para 28: Did you take any other actions and/or measures in accordance with this CMM that have not previously been reported in this section?

YES *If yes, elaborate below*

NO

Enter relevant details here

Information indicating a change of circumstances of vessels appearing on the current IUU Vessel List

Para 29: Did you transmit information which indicated a change of name and/or an International Radio Call Sign (IRCS) of a vessel appearing on the SPRFMO IUU Vessel List to the Secretariat during this reporting period?

YES *If yes, elaborate below*

NO

Enter relevant details here



CMM 05-2019 Commission Record of Vessels authorised to fish in the Convention Area

Response: The United States did not have any vessels participating in SPRFMO-managed fisheries. Thus, the questions in this section are not applicable.

Para 2: Did you issue or maintain an authorisation to fish in the Convention Area to any vessel included on any RFMO IUU vessel list or on the IUU vessel list of CCAMLR?

YES *If yes, elaborate below*

NO

Enter relevant details here

Para 3: Do all vessels flying your flag and authorised to fish in the Convention Area have a sufficient level of ownership by citizens, residents or legal entities within your jurisdiction to allow enforcement action to be effectively taken against them?

YES

NO

Partial *If no, elaborate below*

Enter relevant details here

Para 4: Do you maintain your own register of fishing vessels for the SPRFMO Area?

YES *If yes, answer the following question (Para 5)*

Para 5: Does it contain all the necessary information? (as described in Annex 1 of CMM 05-2019 [Record of Vessels]).

YES

NO

Partial

NO

Table 6: Vessel data submission summary (Para’s 6, 7, 8)

| Current number of vessels authorised to fish in the SPRFMO | Nº of new vessels authorised within reporting period | Nº of revoked, relinquished or invalid authorisations within reporting period | Authorisation details submitted to Secretariat? | Nº. of Active fishing vessels | Nº of vessels only engaged in Transhipments(s) |
|--|--|---|--|-------------------------------|--|
| | | | YES <input type="checkbox"/> NO <input type="checkbox"/> | | |

Para 13: Did you notify the Executive Secretary of your list of vessels that are actively fishing or engaged in transhipment in the Convention Area (either monthly or prior to 30th January as appropriate)?

YES

NO

NO *We did not have any vessels authorised to operate in the SPRFMO Area during the relevant period*



CMM 06-2020 VMS in the SPRFMO Convention Area

A Commission Vessel Monitoring System

Para 2: Did any of your vessels fish, or support fishing, in the SPRFMO Area during the reporting period, or did you request VMS data?

YES *If yes, answer the following question*

How many of your vessels reported their movements and activities to the Commission VMS during the reporting period?

Enter relevant details here

NO *If no, skip to the next CMM*

Purpose

Para 4: Are you ensuring that your vessels that are on the SPRFMO Record of Vessels are continuously reporting their movements and activities to the Commission VMS in order to support the implementation of SPRFMO CMMs?

YES

NO

Partial

Enter relevant details here

Nature and Specification of the Commission VMS

Para 9: What means of automatically reporting VMS data have you chosen for your flagged vessels?

(a) To the Secretariat via your FMC; or

(b) Simultaneously to both the Secretariat and your FMC

Para 11: If you have ticked option (a) above, then did your FMC automatically forward VMS reports to the Secretariat at intervals of not less than 1 hour for all vessels that were active in the Area?

YES

NO

Partial

Enter relevant details here

Para 12: Did all your flagged vessels that are required to report to the Commission VMS use a functioning ALC that complies with the Commission's Minimum Standards as specified in Annex 1, CMM 06-2020?

YES

NO

Para 13: Did you receive any VMS data from the Commission VMS during the assessed period?

YES *If yes, please answer the question below*

- Did you adhere with all the Security and Confidentiality requirements specified in Annex 2 of CMM 06-2020 as well as the Maintenance of Confidentiality Requirements of paragraph 6 of CMM 02-2020 (Data Standards)?

YES

NO *If no, please elaborate below*

Enter relevant details here

NO



Procedure for Manual Reporting

Para 14:

Did the Secretariat notify you at any time of the event of the failure of automatic VMS reporting to the Commission VMS for any of your vessels?

YES *If yes, please answer the questions below*

- In all cases were you able to re-establish normal automatic reporting of VMS positions to the Commission VMS?

YES

Enter relevant details here

NO

Enter relevant details here

- Did you direct any of your vessel masters to apply the manual reporting procedure specified in Annex 3, of CMM 06-2020 during this reporting period?

YES *If yes, please enter relevant details below*

Enter relevant details here

NO

NO

Measures to Prevent Tampering with ALCs

Para 16: Do you have reasons to suspect that any SPRFMO reporting ALC does not meet the requirements specified in Annex 1 of CMM 06-2020?

YES *If yes, answer the question below*

- Did you notify the Secretariat (and the Member or CNCP concerned)?

YES *If yes, please answer the questions below*

NO

- Did you also communicate the actions taken (including any investigative outcomes) to the Commission?

YES

NO

NO

Para 17: Have you ensured that vessels flying your flag install and use ALCs which fulfil the conditions specified in Annex 4 of CMM 06-2020?

YES

NO

Para 18: Please list the methods used to prevent tampering

Enter relevant details here

Para 19: Please provide the following information for each vessel flying your flag and reporting to the SPRFMO VMS (*note that it is perfectly acceptable to provide a separate file*)

| Vessel Name | Vessel IMO | Call sign | ALC Brand | ALC model | ALC identification | Security features |
|-------------|------------|-----------|-----------|-----------|--------------------|-------------------|
| | | | | | | |
| | | | | | | |
| | | | | | | |



Use and Release of VMS Data Requiring the Permission of the Member or CNCP

Para 21: Have you designated a VMS Point of Contact for the purposes of any communication regarding the VMS system to the Secretariat?

YES *If yes, please answer the question below*

- If so, is the VMS Point of Contact still current?

YES

NO

NO

Use and Release of VMS Data not Requiring the Consent of the Member or CNCP

Para 25: Did you receive any VMS data from the Commission VMS for the purposes of planning or conducting active surveillance operations and/or inspections at sea?

YES *If yes, please answer the question below*

- Did you delete VMS data within 24 hours of the conclusion of the operation?

YES

NO *If no, please answer the questions below*

- If the VMS data was retained for longer than 24 hours, have you informed the Secretariat about the purpose and expected timing of the additional period of data retention?

Enter relevant details here

NO

Para 27: Did you receive any VMS data from the Commission VMS for the purpose of conducting active surveillance operations and/or inspections at sea?

YES *If yes, answer the question below*

- Was the Secretariat and the relevant VMS Point of Contact provided with a report that included the name of the vessel or aircraft on active surveillance and/or inspection activity?

YES

NO

NO

Para 28: Did you request VMS data for supporting search and rescue activities undertaken by a competent MRCC?

YES *If yes, answer the question below*

- Did you ensure that the data was only used for that purpose?

YES

NO

NO



CMM 07-2019 Minimum Standards of Inspection in Port

Points of Contact

Para 5: Have you transmitted the name(s) and contact information for your Points of Contact to the Secretariat?

YES

NO

Scope

Para 1: Are your ports expected to receive SPRFMO-managed species?

YES *If yes, continue to answer the following questions on this CMM*

NO *If no, skip to the next CMM*

Table 7: Implementation of Port Standards (Para’s 9, 11, 13, 15, 17, 19)

| Designated port list provided to Secretariat? | Nº of foreign fishing vessels ¹ seeking to use port services | Nº of foreign fishing vessels denied port entry | Nº of inspections | Percentage of foreign landings /transhipments inspected |
|--|---|---|-------------------|---|
| YES <input type="checkbox"/> NO <input type="checkbox"/> | | | | |

Designated Ports

Para 8: Do you have sufficient capacity to conduct inspections in every designated port?

YES

NO

Enter relevant details here

Prior Notification

Para 11: Do you require foreign fishing vessels to provide information prior to any landing or port transhipment?

YES *If yes, answer the questions below*

- Does that information comply with paragraph 11 of CMM 07-2019 (Port Inspection)?

YES

NO *If no, please answer the question below*

- Did you, in your capacity as a port State, promptly inform the Secretariat of any request for port services made under this CMM?

YES

NO

Enter relevant details here

NO

Para 12: Is the notification period for a foreign fishing vessel’s port request different than 48 hours?

YES *If yes, elaborate below*

NO

Enter relevant details here

¹ Limited to foreign fishing vessels carrying SPRFMO species caught within the SPRFMO Area



Port Inspections

Para 16a): Did you receive any requests from other Members, CNCs or relevant RFMOs to inspect a particular vessel?

YES *If yes, elaborate below*

NO

Enter relevant details here

Inspection Procedure

Para 20: Did you encounter any difficulties with the inspection procedures described in paragraphs 20-25 of CMM 07-2019 (Port Inspection)?

YES *If yes, elaborate below*

NO

Enter relevant details here

Procedure in the Event of Infringements

Para 26: Did any of your inspections discover evidence that a foreign fishing vessel has committed a breach of the SPRFMO CMMs?

YES *If yes, elaborate below*

NO

Enter relevant details here

Para 27: Did any of your inspections discover a breach that you (as a port State) took action against in accordance with your domestic laws?

YES *If yes, elaborate below*

NO

Enter relevant details here

Para 28: Did you, as a result of a port inspection, refer any alleged infringements to the relevant flag State so that they may promptly investigate?

YES *If yes, elaborate below*

NO

Enter relevant details here

Para 29: Were you authorised by another flag State to undertake an investigation in relation to alleged infringements detected as a result of your port inspection?

YES *If yes, elaborate below*

NO

Enter relevant details here

Requirements of developing Members and CNCs

Para 31: Have you provided (or received) any assistance as described under Paragraph 28 of CMM 07-2019 (Port Inspection)? (Being the development of capacity, facilitation of participation and assessment of requirements for developing Members and CNCs)

YES

NO

Enter relevant details here



CMM 08-2019 Gillnets in the SPRFMO Convention Area

Gillnets

Para 1: Have you prohibited your vessels from using large scale pelagic driftnets and all deepwater gillnets in the SPRFMO Convention Area?

YES

NO

Response: The United States prohibits its vessels from conducting large-scale driftnet fishing (see 16. U.S.C. 1857(1)(M)). In addition, the United States has no vessels in the Convention Area fishing for SPRFMO-managed species.

Para 2: Have any of your vessels transited the Area while carrying gillnets?

YES *If yes, answer the questions below*

- **Para 2a):** Was 36 hours advance notice given?

YES

NO

Partial

- **Para 2b):** Did the vessels operate a VMS?

YES

NO

Partial

- **Para 2c):** Were VMS reports submitted?

YES

NO

Partial

- **Para 2d):** Were any Gillnets lost and/or abandoned overboard?

YES

NO

Enter relevant details here

NO



CMM 09-2017 Minimising bycatch of seabirds in the SPRFMO Convention Area¹

General Provisions

Para 1 and 2: Are your vessels required to implement appropriate seabird mitigation measures while fishing in the Convention Area?

- YES *If yes, continue to answer the following questions on this CMM*
NO *If no, skip to the next CMM*

Annex 1, para 1b) ii: Have you maintained and temporally appropriate observer coverage for the previous 5 consecutive years at levels greater than 10% and recorded a seabird mortality rate less than 0.01 birds/1000 hooks?

- YES *If yes, continue to answer questions below*
- Are any of your demersal **longline** vessels only required to apply one of the three specified mitigation measures (i.e. line weighting, bird scaring, setting at night)?
YES
NO
 - During the recent reporting period have you maintained a minimum of 10% observer coverage over your demersal **longline** fleet?
YES
NO
- NO

Annex 1 para 2: Have any of your demersal **longline** vessels exceeded a mortality rate of 0.01 birds/1000 hooks?

- YES *If yes, answer the question below*
Annex 1, para 2a): Please list those vessels and state if, after the mortality event(s), whether these vessels applied at least one additional measure?
Enter relevant details here
- NO

Annex 2, para 2: Have you maintained 100% observer coverage for the previous 5 consecutive years with less than 1 recorded mortality per vessel per year within your SPRFMO **trawl** fisheries?

- YES *If yes, continue to answer questions below*
- Have you exempted any of your vessels from applying the specified mitigation measures (bird scaring lines, responsible discharge management, net cleaning, surface time minimisation)?
YES *If yes, please list the vessel(s) and identify the fisheries concerned*
Enter relevant details here
NO
 - During the recent reporting period did any mortality events occur?
YES *If yes, please list the vessels concerned and stipulate whether, after the mortality, these vessels applied appropriate mitigation measures*
Enter relevant details here
NO
- NO

¹ When completing this section Members and CNCPs should consider paragraph 18 of CMM 03-2020, as relevant.



CMM 10-2020 Compliance Monitoring Scheme

Purpose

Para 1b: Please identify any areas in which you feel technical assistance or capacity building may be needed to assist Members and CNCs to achieve compliance.

Enter relevant details here

Para 1c: Please identify any aspects of CMMs which you feel may require improvement or amendment to facilitate or advance their implementation.

Enter relevant details here



CMM 11-2015 Boarding & Inspection Procedures

Para 1: The Commission's procedures on at sea inspection in the SPRFMO Convention Area shall be those contained in Articles 21 and 22 of the [1995 Agreement](#) (*Agreement for the Implementation of the Provisions of the United Nations Convention on the Law of the Sea of 10 December 1982 relating to the Conservation and Management of Straddling Fish Stocks and Highly Migratory Fish Stocks*).

Para 2: Are any of your flagged vessels fishing in the SPRFMO Area?

YES *If yes, please answer the questions below*

- Have you designated, and publicised through SPRFMO, an appropriate authority to receive Boarding and Inspection related notifications as described in Article 21.4 of the 1995 Agreement?
YES
NO
- Have you ensured that vessel masters comply with stipulations (a) through to (f) under Article 22.3 of the 1995 Agreement?
YES
NO

NO

Para 2: Were any of the vessels flying your flag inspected by a SPRFMO Contracting Party?

YES *If yes, please answer the questions below*

- Were there any cases in which there were clear grounds for believing that a vessel has engaged in any activity contrary to the CMMs of the SPRFMO?
YES *If yes, please answer the question below*
 - If yes, how were the procedures described under Article 21.6 and 21.12 addressed? Did you encounter any problems?
YES NO

Enter relevant details here

NO

NO



Article 21.3: Have you conducted any at-sea inspections during the recent reporting period?

YES *If yes, please answer the questions below*

- **Article 21.4:** Have you informed the SPRFMO Secretariat of the form of identification issued to your duly authorised inspectors?

YES NO

- **Article 21.4:** Are your vessels used for boarding and inspection clearly marked and identifiable as being on government service?

YES NO

- How do you ensure that your inspectors observe all provisions under Articles 21.10 and 22.1 of the 1995 Agreement?

Enter relevant details here

- How many at-sea inspections did you conduct?

Enter relevant details here

- **Article 21.5:** Following a boarding and inspection, have you had clear grounds for believing that a vessel has engaged in any activity contrary to the CMMs of the SPRFMO?

YES NO *If yes, please list all cases below and answer the following 2 questions*

Enter relevant details here

- **Article 21.8:** In any of these cases, did you have clear grounds for believing that a vessel has committed a serious violation in accordance with Article 21.11 of the 1995 Agreement?

YES NO

Enter relevant details here

- **Article 21.9:** Did you secure evidence and promptly notify the flag State of the alleged violation and the results of any further investigation?

YES NO

- Did you encounter any problems?

YES NO *If yes, please provide details below*

Enter relevant details here

NO



CMM 12-2020 Transshipment and Other Transfer Activities

Para 10: This CMM “shall apply to the jumbo flying squid fishery 30 days after the conclusion of the annual Commission meeting in 2021”. Nevertheless, Members and CNCs whose vessels are active in the jumbo flying squid fishery are encouraged to complete this section to allow for a greater understanding of the fishery.

Have any of your vessels conducted transshipments or other transfer activities during the reporting period?

YES If yes, complete the following tables and questions on this CMM

NO If no, skip to the next CMM

General Provisions for all Vessels Engaged in Fishing in the Convention Area

Paras 2 and 3: Were all transshipments and/or other transfer activities conducted between authorised vessels (i.e. on the Commission Record of Vessels at the time of the activity)?

YES

NO

Table 10: Transshipments of *T. murphyi* caught in the Convention Area (Para’s 4, 5, 8)

| Nº of transshipments in which your vessels unloaded <i>T. murphyi</i> catch | Nº of transshipments in which your vessels received <i>T. murphyi</i> catch | How many of the <i>T. murphyi</i> transshipments were observed? |
|---|---|---|
| | | |

Please list the vessels that conducted transshipments of *T. murphyi* during the reporting period

Enter relevant details here

Table 11: Transshipments of jumbo flying squid caught in the Convention Area (Para’s 4, 5, 8)

| Nº of transshipments in which your vessels unloaded jumbo flying squid? | Nº of transshipments in which your vessels received jumbo flying squid? | How many of the jumbo flying squid transshipments were observed? |
|---|---|--|
| | | |

Please list the vessels that conducted transshipments of *jumbo flying squid* during the reporting period

Enter relevant details here

Table 12: Transshipments of demersal species¹ caught in the Convention Area (Para’s 4, 5, 8)

| Nº of transshipments in which your vessels unloaded demersal catch | Nº of transshipments in which your vessels received demersal catch | How many of the demersal transshipments were observed? |
|--|--|--|
| | | |

Please list the vessels that conducted transshipments of *demersal species* during the reporting period

Enter relevant details here

¹ Demersal species generally live on or near the ocean floor. Jumbo flying squid in SPRFMO is not considered a demersal species.



CMM 13-2020 Exploratory Fisheries

Interpretation

Para 4: Are any of your vessels currently permitted to fish in SPRFMO exploratory fisheries?

YES

NO

Requirements for Exploratory Fisheries

Para 5: Are you seeking to permit a vessel that flies your flag to fish in an exploratory fishery?

YES *If yes, answer the following questions*

- **Para 5a:** Have you submitted an application to the Commission in respect of these activities?
YES NO
- **Para 5b:** If have you submitted a Fisheries Operation Plan to the Scientific Committee in respect of these activities?
YES NO

NO



CMM 14a-2019 Exploratory Fishing for Toothfish by New Zealand-Flagged Vessels in the SPRFMO Convention Area

(The obligations listed under this CMM are only applicable to New Zealand;
all other Members and CNCPs can skip to the next CMM).

Details and Specification of Exploratory Fishing Activities

Para 6: How many exploratory toothfish fishing trips occurred during 2020?

Enter relevant details here

NIL If "nil" then skip to the next CMM

Para 5: Was all of the exploratory toothfish fishing conducted within the four exploratory strata (refer L, M, N and O in Table 1 of CMM14a-2019)?

YES

NO

Table 13: Total Allowable Catch (Paras 8, 9a, 9f and 9i)

| Vessel | Exploratory fishing Strata | Post spawning Catch (Aug - Oct) | Non-post spawning Catch |
|---------------------|----------------------------|---------------------------------|-------------------------|
| <i>San Aspiring</i> | L | | |
| | M | | |
| | N | | |
| | O | | |
| <i>Janas</i> | L | | |
| | M | | |
| | N | | |
| | O | | |

Table 14: Total Allowable Catch (Paras 9a and 9b)

| Exploratory fishing Strata | Total number of Sets | Number of Clusters |
|----------------------------|----------------------|--------------------|
| L | | |
| M | | |
| N | | |
| O | | |

Table 15: Management Measures (Para's 9, 17 and 19)

| Protocol | Value | Reference |
|--|-------|---------------|
| Number of clusters composed of more than 5 lines | | Para 9c |
| Number of clusters within 10nm of another cluster? (within the same voyage or fishing season) | | Para 9d |
| Number of lines beginning, or ending, deeper than 2,200 m? | | Para 9e |
| Number of lines with more than 6,900 hooks? | | Para 17a) ii |
| Number of clusters with more than 17,250 hooks? | | Para 17a) iii |
| Number of toothfish tagged | | Para 17b |
| Minimum overlap tagging statistic | | Para 17b |
| Number of occasions where over 250 kg of Deepwater sharks (class Chondrichthyes) were caught within a cluster of lines? | | Para 19 |



Marine Mammals, Seabirds, Turtles, and other Species of Concern

Para 22: Was there any occasion when any of the mitigation methods described in paragraphs 22a) to g) were not employed as appropriate?

YES *If yes, describe below*
Enter relevant details here

NO

Table 16: Marine Mammals, Seabirds, Turtles, and other Species of Concern (Para 23)

| Paragraph 23 information | Value | Reference |
|--|-------|-----------|
| Number of Seabird/Marine mammal abundance counts taken during setting | | Para 23a |
| Number of Seabird/Marine mammal abundance counts taken during hauling | | Para 23a |
| % of hooks hauled that were observed | | Para 23c |
| % of hooks hauled that have been viewed on recorded video after the voyage | | Para 23d |
| Number of captures of Marine Mammals | | Para 23e |
| Number of captures of Seabirds | | Para 23e |
| Number of captures of Turtles | | Para 23e |
| Number of captures of other Species of Concern | | Para 23e |

Monitoring

Para 25: Was a New Zealand government observer onboard during the exploratory fishing?

YES *If yes, answer the following question*

- Was there a dedicated assistant available?
 YES NO

NO

Para 26: Has recorded footage of each voyage showing all hauled lines and hooks been provided to the New Zealand Ministry for Primary Industries?

YES

NO



CMM 14b-2020 Exploratory Potting Fishery in the SPRFMO Convention Area¹

(The obligations listed under this CMM are only applicable to the Cook Islands;
all other Members and CNCPs can skip to the next CMM).

Objectives

Para 1: Did your vessels conduct exploratory pot fishing for lobster or crab during the reporting period?
 YES
 NO *If "no" skip down to para 27*

Details and Specification of Exploratory Fishing Activities

Para 5: Did all the fishing use the bottom potting method?
 YES *If yes, answer the following question*

- Was it all conducted within the box specified in Table 1² of this measure
 - YES
 - NO

NO

Table 17: Details and Specification of Exploratory Fishing Activities (Para 5/7/9)

| Information | Value | Reference |
|--|-------|-----------|
| Total number of Seamounts (or other features) fished | | Para 5 |
| Maximum number of fishing days per trip (Total Allowable Effort) | | Para 7 |
| Maximum number of lines set in a single day | | Para 7 |
| Maximum number of lines hauled in a single day | | Para 7 |
| Maximum number of traps per line | | Para 7 |
| Maximum days fishing on Kopernik within a single trip | | Para 7 |
| Maximum weekly CPUE recorded on Kopernik seamount | | Para 9 |

Para 8: Were additional fishing days utilized during fishing year 2 to conduct experimental trapping (refer appendix 7 of the revised Fisheries Operation Plan)?
 YES
 NO
Enter relevant details here

Para 10: Did any fishing occur during the July - September period?
 YES
 NO

Para 11: What was the tonnage of lobster and crab (combined) caught during the second fishing year (July 2019 – June 2020)?
Enter relevant details here

¹ The implementation of paragraph 28 (VMS) can be found within CMM 06-2020.
 The implementation of paragraph 20 (Data collection) can be found within CMM 02-2020.
² Table 1: boxed positions for the exploratory fishing

| Exploratory area | latitude | longitude |
|------------------|----------|-----------|
| Foundation | 31'00 S | 100'00 W |
| Sea mount chain | 40'00 S | 134'00 W |



Para 14a: At any time during the exploratory fishing did the stock indicators show sustainability concerns?

YES

NO

Authorised Vessels

Para 17: Which vessel(s) were authorised and undertook the exploratory potting?

Enter relevant details here

Marine mammals, seabirds, turtles, and other species of concern

Para 23: Were all the mitigation methods specified in paragraph 23 implemented during the exploratory fishing?

YES

NO

Para 24: Was the information described in paragraph 24 relating to marine mammals, seabirds, turtles, and other species of concern collected?

YES

NO

Monitoring & Data Collection

Para 26: Was there a Cook Islands Government observer (and a dedicated assistant) on board the vessel during the exploratory fishing?

YES

NO

Para 27: Was there a video monitoring and recording system installed and was the footage provided to the Cook Islands Government at the end of each voyage?

YES

NO

Para 29: Did the Cook Islands present a full and comprehensive amended exploratory fishing proposal to the 8th meeting of the SPRFMO Scientific Committee as described in para 29 including points a) to d)?

YES

NO



CMM 14c-2016 Exploratory Fishing for Toothfish by European Union Vessels in the SPRFMO Convention Area (*expired*)

(The obligations listed under this CMM are only applicable to the European Union; all other Members and CNCPs can skip to the next CMM).

Duration, catch and effort limits

Para 6: How many exploratory toothfish fishing trips were during completed 2019?
Enter relevant details here
NIL If "nil" then skip to the next CMM

Para 5: Was all of the exploratory toothfish fishing conducted within the five research blocks (refer A, B, C, D and E in Table 1)?
YES
NO

Table 17: Duration, catch and effort limits

| Limit | Value | Reference |
|---|-------|-----------|
| Length of trip | | Para 6 |
| Greenweight catch of Toothfish (tonnes) | | Para 7 |
| Maximum hooks per single set | | Para 8 |
| Maximum sets in a single research block | | Para 8 |
| Total number of sets within the trip | | Para 8 |
| Minimum depth fished | | Para 10 |
| Maximum depth fished | | Para 10 |

Management Measures

Para 12: Were all hooks uniquely marked indicating the vessel and fishing campaign?
YES
NO

Authorised Vessels

Para 13: What was the name of the vessel that was authorised to undertake fishing pursuant to this CMM?
Enter relevant details here

Table 18: Data collection (Para 17)

| Limit | Value | Reference |
|-----------------------------|-------|-----------|
| Number of tooth fish tagged | | Para 17 |
| Overlap tagging statistic | | Para 17 |

Marine Mammals, Seabirds, Turtles, and other Species of Concern

Para 19: Was there any occasion when any of the mitigation methods described in paragraphs 19a) to m) were not employed as appropriate?
YES If yes, please describe
Enter relevant details here
NO



Table 19: Mitigation measures (Para 19)

| Protocol | Value | Reference |
|--|--|---------------|
| Was the trigger level of 0.01 birds per/1000 hooks exceeded? | YES <input type="checkbox"/> NO <input type="checkbox"/> | Para 19g |
| Were any turtles sighted in the area? | YES <input type="checkbox"/> NO <input type="checkbox"/> | Para 19h |
| Was there any seal or cetacean bycatch? | YES <input type="checkbox"/> NO <input type="checkbox"/> | Para 19i |
| Was the move-on provision relating to sharks triggered? | YES <input type="checkbox"/> NO <input type="checkbox"/> | Para 19j |
| Was a move-on provision relating to skates and rays triggered? | YES <input type="checkbox"/> NO <input type="checkbox"/> | Para 19k, 19l |
| Was a move-on provision relating to <i>Macrourus</i> spp. triggered? | YES <input type="checkbox"/> NO <input type="checkbox"/> | Para 19m |

Table 20: Data collection for marine mammals, seabirds, turtles, and other species of concern (Para 20)

| Paragraph 20 information | Value | Reference |
|---|-------|-----------|
| Number of Seabird/Marine mammal abundance counts taken during start of an event | | Para 20a |
| Number of Seabird/Marine mammal abundance counts taken during middle of an event | | Para 20a |
| Number of Seabird/Marine mammal abundance counts taken during end of an event | | Para 20a |
| % of hooks hauled that were observed for marine mammal, seabird and turtle interactions | | Para 20b |
| Number of captures of Marine Mammals | | Para 20c |
| Number of captures of Seabirds (excluding collisions) | | Para 20c |
| Number of captures of Turtles | | Para 20c |
| Number of captures of other Species of Concern | | Para 20c |
| Number of Seabirds collisions | | Para 20c |
| Number of Seabirds released alive | | Para 20c |
| Number of dead birds retained | | Para 20d |

VME

Para 22: Was evidence of a VME is encountered during fishing activities?

YES

NO

Monitoring

Para 23: Was a scientific observer onboard during the exploratory fishing?

YES *If yes, please answer the question below*

- Was there a dedicated assistant available?
YES NO

NO



CMM 14d-2020 Exploratory Fishing for Toothfish by Chilean-Flagged Vessels in the SPRFMO Convention Area¹

(The obligations listed under this CMM are only applicable to Chile;
all other Members and CNCPs can skip to the next CMM).

Details and Specification of Exploratory Fishing Activities

Para 6: How many exploratory toothfish fishing trips occurred during 2020?
Enter relevant details here
NIL If "nil" then skip to the next CMM

Details and Specification of Exploratory Fishing Activities

Para 5: Did all the fishing use the bottom longline method (i.e. trotline with the inclusion of "Cachaloteras")?
YES If yes, answer the following question

- Was it all conducted within the box specified in Table 1² of this measure
YES
NO

NO

Table 18: Total Allowable Catch (Para 8, 10)

| Block | Days fished (2020) | Hooks set (2020) | Catch (2020) |
|-------|--------------------|------------------|--------------|
| NW | | | |
| NE | | | |
| SE | | | |
| SW | | | |
| TOTAL | | | |

Authorised Vessels

Para 14: What was the name of the vessel that undertook fishing pursuant to this CMM?
Enter relevant details here

Table 19: Management Measures (Para 17,18)

| Limit | Value | Reference |
|--|-------|-----------|
| Maximum number of hooks set in a line | | Para 17a |
| Maximum number of hooks set per day | | Para 17b |
| Number of tooth fish tagged | | Para 17c |
| Overlap tagging statistic | | Para 17c |
| Number of occasions when more than 250 kg of deep-water sharks were caught | | Para 18 |

¹ The implementation of paragraph 26 (VMS) can be found within CMM 06-20202.

² Table 1: boxed positions for the exploratory fishing

| Block | 1 | 2 | 3 | 4 |
|-------|------------------|--------------------|------------------|------------------|
| NW | 42°52'S; 98°28'W | 58°21'S; 96°00'W | 59°00'S; 75°00'W | 54°00'S; 95°00'W |
| NW | 42°52'S; 96°00'W | 58°21'S; 93°47.6'W | 59°00'S; 73°00'W | 54°00'S; 92°00'W |
| SE | 43°30'S; 96°00'W | 59°00'S; 96°00'W | 60°00'S; 70°00'W | 55°00'S; 92°00'W |
| SW | 43°30'S; 98°28'W | 59°00'S; 96°60'W | 60°00'S; 75°00'W | 55°00'S; 95°00'W |



Data Collection

Para 20: Has the CCAMLR “C2” form been completed and provided to the SPRFMO Secretariat?
(*other CMM 02 - Data Standards requirements will be assessed under that section*)

YES

NO

Marine Mammals, Seabirds, Turtles, and other Species of Concern

Para 21: Was there any occasion when any of the mitigation methods described in paragraphs 21 a) to g) were not employed as appropriate?

YES If yes, please describe
Enter relevant details here

NO

Table 20: Data collection for marine mammals, seabirds, turtles, and other species of concern (Para 22)

| Information | Value | Reference |
|---|-------|-----------|
| Number of Seabird/Marine mammal abundance counts taken during setting | | Para 22a |
| Number of Seabird/Marine mammal abundance counts taken during hauling | | Para 22a |
| % of hooks hauled that were observed for marine mammal, seabird and turtle interactions | | Para 22c |
| % of hooks on recorded video that have been viewed post-voyage | | Para 22d |
| Number of captures of Marine Mammals | | Para 22e |
| Number of captures of Turtles | | Para 22e |
| Number of captures of other Species of Concern | | Para 22e |
| Number of captures of Seabirds (excluding collisions) | | Para 22e |
| Number of Seabirds collisions | | Para 22e |
| Number of Seabirds released alive | | Para 22e |
| Number of dead birds retained | | Para 22f |

Para 23: Was evidence of any VMEs encountered during fishing activities?

YES

NO

Monitoring

Para 24: Was a scientific observer onboard during the exploratory fishing?

YES *If yes, please answer the question below*

- Was there a dedicated assistant available?
YES NO

NO

Para 25: Has recorded footage of each voyage showing all hauled lines and hooks been provided to the Chile fisheries and Aquaculture National Service?

YES

NO



CMM 15-2016 Vessels without Nationality

Response: Under the Port State Measures Agreement Act of 2015, the United States prohibits the use of its ports for vessels without nationality (see 16 U.S.C. 7404(c)).

Para 2: During the reporting period did you share any information about the activities of vessels without nationality, and/or where appropriate, enact domestic legislation, to prevent and deter vessels without nationality from engaging in fishing or fishing related activities in the Convention Area?

YES *If yes, please provide additional details*

Enter relevant details here

NO

Para 3: During the reporting period did you take any actions, in accordance with international law, against vessels without nationality? (e.g. by prohibiting landing, transshipment or access to port services).

YES *If yes, please provide additional details*

Enter relevant details here

NO



CMM 16-2019 the SPRFMO Observer Programme¹

Evaluation of observer programmes

- Para 24:** Do you intend to pursue accreditation under the SPRFMO OP?
YES *If yes, please indicate when you intend to begin the accreditation process?*
- within the forthcoming year*
 - within the 2 forthcoming years*
 - within the forthcoming 2-5 years*
- NO *We have no plans to deploy observers in the SPRFMO Area.*
- NO *We are currently accredited with the SPRFMO Observer Programme.*
- NO *We are currently being assessed by the SPRFMO OP Accreditation Evaluator.*

General Rules

- Para 2:** Did you deploy any observers in order to facilitate the collection of verified scientific data and additional information related to fishing activities and its impacts on the ecosystem in the Convention Area?
- YES
- NO *If no, skip to the next CMM*

- Para 5:** Have you ensured that that observers from your national observer programmes have been granted their rights and perform their duties as described in Annex 1 of the CMM?
- YES
- NO

- Para 6:** Have you ensured that your owners and fishing operators, vessel captains, officers, and vessel crews:
- respect the rights of observers set out in Annex 1 of the CMM?
YES
NO
 - comply with the standards and duties set out in Annex 2 of the CMM?
YES
NO

Deployment of Observers

- Para 9:** Were all the observers you deployed sourced from SPRFMO accredited programmes²?
- YES
- NO

- Para 10:** Did you deploy any observers on board vessels flagged to another Member or CNCP?
- YES *If yes, please list the others Members/CNCPs involved*
Enter relevant details here
- NO

¹ The implementation of paragraphs 3 (Observer coverage) and 12 (Levels of coverage) are within the relevant fishery CMM. The implementation paragraph 42 (Data collection) can be found within CMM 02-2020. The implementation of paragraph 7 (independent and impartial observers) and paragraphs 22-41 (Evaluation of observer programmes ...) shall be assessed by the SPRFMO Observer Programme Accreditation Evaluator

² Noting that Members and CNCPs may continue using their own non-accredited national observer programme or service provider to meet observer coverage requirements until 31 December 2023.



Para 11: Did any of your observers refuse a deployment onboard a fishing vessel during the recent reporting period?

YES

NO

Levels of Coverage

Para 13: Did any of your scientific research vessels fish for research purposes in the Convention Area during the reporting period?

YES *If yes, please answer the question below*

- Did the scientific personnel collect and provide the information specified in Annex 7 of CMM 02-2020 (Data Standards) in the manner set forth in that CMM?

YES NO

NO

Reporting

Para 15/44: Did you include a brief overview of your national observer programmes (or service providers) as a component of your Annual National Report submitted to the Scientific Committee?

YES *If yes, please answer the question below*

- Did you document the methods used to allocate observers on fishing vessels in order to meet observer coverage requirements?

YES NO

NO



CMM 17-2019 Fishing gear and Marine Plastic Pollution in the SPRFMO Convention Area

Response: The United States did not have any vessels participating in SPRFMO-managed fisheries. Thus, the questions in this section are not applicable.

Retrieval of abandoned, lost or otherwise discarded fishing gear

Para 1: Please detail the methods you have employed to ensure that paragraphs 1a to 1f are implemented by your vessels:

Enter relevant details here

Para 3: Did any of your vessels abandon, lose, or otherwise discard any fishing gear?

YES

NO

Did any of your vessels retrieve any abandoned, lost or otherwise discarded fishing gear?

YES

NO

Marine plastic pollution

Para 4: Are vessels flying your flag prohibited from discharging into the sea all plastics, including but not limited to synthetic ropes, synthetic fishing nets, plastic garbage bags and incinerator ashes?

YES

NO

Enter relevant details here

Para 7: Have you requested that vessels flying your flag inform you about any ports, in countries that are Party to the annexes of MARPOL, which do not have adequate port reception facilities for MARPOL wastes?

YES

NO

Enter relevant details here

Para 8: During this reporting period did you undertake research into marine pollution related to fisheries in the SPRFMO Convention Area to further develop and refine measures to reduce marine pollution?

YES *If yes, continue to answer question below*

- Was information derived from these efforts submitted to the SPRFMO Scientific Committee and/or the Compliance and Technical Committee?

YES NO Partial

Enter relevant details here

NO

Para 9: Have you conducted training and awareness programmes for the crew and master of fishing vessels flying your flag regarding the impacts of marine pollution and operational practices to eliminate marine pollution caused by fishing vessels?

YES

NO

Enter relevant details here



CMM 18-2020 Jumbo Flying Squid Fishery in the SPRFMO Convention Area¹

This CMM “shall enter into force on 1 January 2021”. Nevertheless, Members and CNCPs whose vessels are active in the jumbo flying squid fishery are encouraged to complete this section to allow for a greater understanding of the fishery.

General Provisions

Para 1: Did your flagged vessels engage in the *Dosidicus gigas* fishery in, or adjacent to, the Convention Area?

YES *If yes, complete the following tables and questions on this CMM*

NO *If no, skip to the next CMM*

Scientific Committee Reports

Para 9: Did you conduct any research or stock assessments for *D. gigas* during this past year?

YES *If yes, please answer the question below*

- Were they submitted to the Scientific Committee?

YES NO

Enter relevant details here

NO

Observer coverage

Para 10: What was your observer coverage level (during this reporting period):

| | Number of full time at sea observers | Percentage of fishing days observed |
|--|--------------------------------------|-------------------------------------|
| | | |

¹ The implementation of the following paragraphs can be found within the corresponding CMMs:
 paragraphs 2 (vessel duly authorised) and 6 (active vessels) can be found within CMM 05-2019.
 paragraphs 3 (Data collection) and 8 (annual reports) can be found within CMM 02-2020.
 paragraph 5 (VMS) can be found within CMM 06-2020.