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## 8<sup>TH</sup> MEETING OF THE COMPLIANCE AND TECHNICAL COMMITTEE (CTC)

*Held remotely, 21-23 January 2021*

### CTC 8 – Doc 04

### Follow-up actions taken in relation to the 2020 Final Compliance Report (and other Member commitments)

*Secretariat*

#### 1. Background

The CTC was pleased to see the continuing improvement in overall compliance in 2018/19. The CTC remained concerned that some Members and CNCPs were still either not providing an Implementation report or providing it very late and encouraged those Members and/or CNCPs to put further effort into ensuring that the Implementation reports are provided on time in the future.

Notwithstanding, there were also several other assessments of non-compliance in the Final Compliance report that required follow up by the Members or CNCPs.

#### 2. Follow-up Actions

Table 1 summarizes the follow-up actions required in relation to the 2020 Final Compliance Report ([Annex 4](#) of the COMM8-Report). The current status with respect to the commitment or follow up action is noted.

Table 2 summarizes the follow-up actions required in relation other commitments made by Members and CNCPs with respect to compliance issues.

Member investigations and/or follow-up reporting to the Commission for the reporting period was in relation to the following CMMs:

- CMM 02-2018 (Data Standards);
- CMM 03-2018 (Bottom Fishing);
- CMM 05-2019 (Record of Vessel);
- CMM 06-2018 (VMS);
- CMM 11-2015 (Boarding and Inspection); and
- CMM 17-2019 (Marine Pollution).

#### CTC8 is requested to:

- **Note** the current status of commitments made last year and make any comments or recommendations it deems appropriate.



Table 1: Status of Follow Up Actions identified in the 2020 Final Compliance report

Member/ CNC	Compliance Action plan for:	Compliance Issue	2019 Compliance Status	Current Status
Cook Islands	CMM 17-2019 (Marine Pollution)	The Cook Islands SC report indicated that fishing gear was both lost and retrieved during the exploratory fishing. However, the details contained in subparagraph 1e had not been transmitted to the Secretariat and the Secretariat was only informed about the lost gear through Cook Islands' Implementation report.	Non-Compliant. Noting information will be supplied during this meeting (2019)	Issue resolved. No ALDFG reporting issues in 2019/20.
Ecuador	CMM 05-2019 (Record of Vessels)	1. Ecuador's implementation report for 2018/19 did not describe how Ecuador considers vessel and owner compliance history (paragraph 2).  2. The same report indicated that Ecuador does not maintain its own register of vessels for the SPRFMO Area (paragraph 4)	1) Non-compliant, pending submission of Implementation report  2) Non-compliant, pending submission of Implementation report	Implementation report for 2018/19 was received by the Secretariat.
	CMM 06-2018 (VMS)	Ecuador has not provided the required details [Para 17/18] in their implementation report.	Non-compliant, Ecuador needs to submit Implementation report as soon as possible	Implementation report for 2018/19 was received by the Secretariat.
	CMM 11-2015 (Boarding and Inspection)	Ecuador has not designated an appropriate authority to receive Boarding and Inspection notifications as described in Article 21.4 of the 1995 Agreement. Ecuador's implementation report also indicates that Ecuador has not ensured that vessel masters comply with stipulations (a) through to (f) under Article 22.3 of the 1995 Agreement.	Non-Compliant, Ecuador needs to designate an appropriate authority and ensure that masters comply	A "Point of Contact" for Ecuador has been received and is posted on the SPRFMO website.



Member/ CNCP	Compliance Action plan for:	Compliance Issue	2019 Compliance Status	Current Status
Panama	CMM 05-2019 (Record of Vessels)	<p>1. Failed to notify of 2 flag changes (rendering authorisations invalid) within 3 days of the change (late 20 and 122 days).</p> <p>2. PANAMA updated over 90 vessels during the 2018/19 period, but for 10 vessels the updated authorisation details were submitted to the Secretariat more than 15 days after the new authorizations had been issued.</p>	<p>1) Non-compliant, with submission of Compliance Action Plan to address administrative failures</p> <p>2) Non-compliant, with submission of Compliance Action Plan to address administrative failures</p>	<p>Update provided with the CMS report: Panama as CNCP took and expressed to the Commission the measures taken to ensure the improvement over record of fishing vessels flying our flag and that were authorised to fish in the Convention Area for 2019-2020 period.</p> <p>In accordance with Paragraph 8; Panama is in the process to solve all possible actions about the withdrawal of vessels in cooperation with the Panama Maritime Authority (PMA), entity responsible to register or delete vessels in the Republic of Panama as Flag State. In this sense, we have increased the coordination between both Authorities, in order to reduce the time to remove vessels from the list of authorized vessels of the RFMOs, now we are receiving a weekly report from PMA which means that this issue has been corrected.</p>
Peru	CMM 02-2018 (Data Standards)	Peru has not provided the required templates detailing the jigging activities that occurred inside the SPRFMO area (affects 2014-2018).	<p>Non-compliant.</p> <p>Peru commits to undertaking a training programme covering regulations and safety within 6 months. Peru also will communicate the progress of this programme back to the Commission.</p>	<p>Virtual Training on the CMMs was held on 25/09/20, for artisanal fishermen representing 3 Fishing Cooperatives in the northern zone of Peru. The event was recorded and is available on the Ministry website.</p> <p>Goal: Publicizing the obligations, conditions, and requirements that SPRFMO establishes for those fishing vessels that wish to operate within its scope of application. <i>(Correspondence was circulated 21/12/20 via G165-2020)</i></p>



Member/ CNC	Compliance Action plan for:	Compliance Issue	2019 Compliance Status	Current Status
Russian Federation	CMM 09-2017 (Seabirds)	The 2018/19 annual national report is lacking information on mitigation measures and observed seabird interaction data.	Non-compliant, noting commitment to improve	The initial draft 2019/20 CMS report indicates that the information pertaining to mitigation measures and observed seabird interaction data is lacking. Subsequently, the Russian Federation clarified that its vessel uses a bird baffler as the seabird mitigation device.

Table 2: Status of Follow Up Actions pertaining to other compliance related commitments.

Member/ CNC	Compliance issue in relation to:	Compliance Issue	Comitment or Follow up	Current Status
New Zealand	CMM 03-2018 (Bottom Fishing)	FV <i>Amatal Apollo</i> detected fishing in a closed VME area within the New Zealand bottom fishing footprint. Investigation and Prosecution undertaken by New Zealand.	At the 8 <sup>th</sup> Annual meeting New Zealand committed to provide quarterly reports on the progress and outcome of the prosecution case.	Ongoing. Last quarterly report was circulated on 17/11/20 ( <i>via G151-2020</i> ).