

5th Meeting of the Scientific Committee

Shanghai, China, 23 - 28 September 2017

SC5-INF1

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Submitted to Journal:

Frontiers in Marine Science

Specialty Section:

Marine Fisheries, Aquaculture and Living Resources

Article type:

Original Research Article

Manuscript ID:

299793

Received on:

02 Aug 2017

Frontiers website link:

www.frontiersin.org

Conflict of interest statement

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest

Author contribution statement

AW, CW, SJNi and SJNe contributed to the conception and design of the work. TH, JK, EV, AW and CW were responsible for collection and processing of biological samples and associated data. AW, CW and FA contributed to the analysis of the data. AW, CW, SJNe, EV, FA, TH, JK and SJNi contributed to the interpretation of the analyses and to drafting and editing the manuscript.

Keywords

Etelis carbunculus, deepwater fisheries, Growth, Mortality, maturity, Data poor fisheries

Abstract

Word count: 264

Deepwater tropical fisheries provide an important source of income and protein to Pacific and Indian Ocean coastal communities who are highly dependent on fish for food security. The main species captured by these fisheries are deep-water snappers (Lutjanidae), groupers (Epinephelidae), and emperors (Lethrinidae). The development of quantitative assessments and management strategies for these deepwater fisheries has been hindered by insufficient biological and fisheries data. We examine the age-specific demography of the pygmy ruby snapper *Etelis carbunculus*, an important target species in tropical deepwater fisheries, across 90° of longitude and 20° of latitude in the Pacific and Indian Oceans. Our results show that growth of *E. carbunculus* varies significantly between oceans and sexes and across latitudes in both oceans. Estimates of natural and fishing mortality were similar between oceans, but higher for females than males in both oceans. Evidence of greater fishing pressure on females than males is likely due to the larger size-at-age of females compared to males, assuming that selectivity of the fishing gear is related directly to fish size. Sex ratios were significantly female biased in both oceans despite this species being gonochoristic, and maturity schedules were similar between sexes in the Pacific Ocean. This species exhibits a protracted spawning season from mid-spring to autumn (i.e. October to May) in the Pacific Ocean. These results represent the first estimates of age-specific demographic parameters for *E. carbunculus*, and provide the foundation for the development of the first species-specific assessment models and harvest strategies for the species. Future stock assessment models for *E. carbunculus* should consider sex-specific demographic parameters and spatial variation in demography.

Ethics statements

(Authors are required to state the ethical considerations of their study in the manuscript, including for cases where the study was exempt from ethical approval procedures)

Does the study presented in the manuscript involve human or animal subjects: No

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Abstract

Deepwater tropical fisheries provide an important source of income and protein to Pacific and Indian Ocean coastal communities who are highly dependent on fish for food security. The main species captured by these fisheries are deep-water snappers (Lutjanidae), groupers (Epinephelidae), and emperors (Lethrinidae). The development of quantitative assessments and management strategies for these deepwater fisheries has been hindered by insufficient biological and fisheries data. We examine the age-specific demography of the pygmy ruby snapper *Etelis carbunculus*, an important target species in tropical deepwater fisheries, across 90° of longitude and 20° of latitude in the Pacific and Indian Oceans. Our results show that growth of *E. carbunculus* varies significantly between oceans and sexes and across latitudes in both oceans. Estimates of natural and fishing mortality were similar between oceans, but higher for females than males in both oceans. Evidence of greater fishing pressure on females than males is likely due to the larger size-at-age of females compared to males, assuming that selectivity of the fishing gear is related directly to fish size. Sex ratios were significantly female biased in both oceans despite this species being gonochoristic, and maturity schedules were similar between sexes in the Pacific Ocean. This species exhibits a protracted spawning season from mid-spring to autumn (i.e. October to May) in the Pacific Ocean. These results represent the first estimates of age-specific demographic parameters for *E. carbunculus*, and provide the foundation for the development of the first species-specific assessment models and harvest strategies for the species. Future stock assessment models for *E. carbunculus* should consider sex-specific demographic parameters and spatial variation in demography.

Keywords: *Etelis carbunculus*, deepwater fisheries, growth, mortality, maturity, data poor fisheries

1 Introduction

2 Understanding the biological characteristics of exploited species provides necessary
3 information for developing appropriate assessment models and designing effective fisheries
4 harvest strategies (Hilborn and Walters, 2013). The biological characteristics of deepwater
5 fishes are generally considered to include extended longevity, slow growth rates, late
6 maturity, and low fecundity (Koslow et al., 2000). Thus, deepwater fishes typically have
7 exceptionally low production potential and are considered more vulnerable to
8 overexploitation than shallow-water species (Koslow et al., 2000; Morato et al., 2006; Norse
9 et al., 2012). Increased vulnerability coupled with a global shift in fishing effort towards
10 deeper water (Morato et al., 2006) places greater urgency on advancing our biological
11 understanding, and developing appropriate management measures for the sustainability
12 deepwater fisheries resources.

13
14 Deepwater fisheries occur within all ocean basins and are typically focused upon seamounts,
15 continental and insular slopes, and other deep bathymetric features. In the tropical and
16 subtropical Indo-Pacific region, most deepwater fisheries are small-scale artisanal and
17 subsistence fisheries that have strong cultural and economic value to many Indo-Pacific
18 countries (Dalzell et al., 1996), although there are a small number of deepwater commercial
19 fisheries, mostly in developed countries (Newman et al., 2016). Catches from these fisheries
20 are diverse, with over 200 species from 93 genera reported in the western central Pacific
21 Ocean (Dalzell and Preston, 1992). The most common species harvested are snappers
22 (Lutjanidae), groupers (Epinephelidae) and emperors (Lethrinidae), with the Eteline snappers
23 (*Etelis* spp. and *Pristipomoides* spp.) as the principal target species.

24
25 A recent review of the biology of deepwater snappers, groupers and emperors revealed a
26 paucity of information for most species, and highlighted difficulties in taxonomic
27 identification among species, which have likely confounded previous biological studies
28 (Newman et al., 2016). The review emphasized the need for future biological studies of
29 deepwater species to apply consistent methodologies to facilitate direct inter and intra-species
30 comparisons across a species' range. The review also highlighted the need to understand the
31 population structure and dispersal capacity of most tropical deepwater fishes given that recent
32 genetic studies have demonstrated widespread gene flow and high levels of connectivity for
33 several deepwater snapper species across the Indo-Pacific region (Gaither et al., 2011; Loeun
34 et al., 2014; Andrews et al., 2014; Kennington et al., 2017). It is possible, therefore, that many
35 nations are exploiting the same stocks of deepwater snapper, and a shared knowledge of the
36 biology of exploited species, and their population structure would assist in the development of
37 appropriate harvest strategies and management plans. However, even small amounts of gene
38 flow can potentially mask underlying spatial differentiation among populations, which
39 emphasizes the need to understand spatial patterns in biological characteristics to evaluate
40 appropriate scales for management.

41
42 Spatial variation in biological characteristics is a common feature of tropical shallow-water
43 reef fish (Gust et al., 2002; Williams et al., 2003; Carter et al., 2014a; Carter et al., 2014b),
44 including species of lutjanids (Newman et al., 1996a; Kritzer, 2002; Cappo et al. 2013), and
45 has been observed at multiple scales ranging from patch reefs on the same reef (<1 km)
46 (Pitcher, 1992; Gust et al., 2002) to thousands of kilometres across an ocean basin (Choat et
47 al., 2003; Robertson et al., 2005) as well as between oceans (Trip et al., 2008). Many shallow-
48 water species display a metapopulation structure (Kritzer and Sale, 2006), with spatial
49 separation of adult sub-populations linked to varying degrees by a pelagic larval stage. The

metapopulation paradigm may similarly apply to tropical deepwater species which have a strong association with benthic habitats that have a discontinuous and patchy distribution across ocean basins. However, studies of spatial variation in biological characteristics within a stock for tropical deepwater fishes are limited (Wakefield et al., 2013b; Wakefield et al., 2015). Therefore, it remains unclear whether the patterns of spatial variation in biological characteristics observed for many tropical shallow-water species are also prevalent in stocks of tropical deep-water species.

A recent genetic study revealed that *Etelis carbunculus*, one of the main target species of deepwater snapper fisheries, comprises two cryptic species (*E. carbunculus* and *E. sp.*) with overlapping Indo-Pacific distributions (Andrews et al., 2016). The large difference in the maximum size reached by each of these cryptic species (Williams et al., 2013; Williams et al., 2015; Andrews et al., 2016) indicates that they are likely to have different life histories. Therefore, there is a need to revisit the biology of these two cryptic species to provide accurate estimates of biological parameters to underpin revised assessments and management plans. Here, we examine the age-specific demography of *E. carbunculus* across 90° of longitude and 20° of latitude in the western and central Pacific Ocean and the eastern Indian Ocean. Specifically, we examine spatial patterns in sex-specific age and length distributions, growth and mortality, and provide some preliminary estimates of spawning season, and length and age at maturity for the Pacific Ocean. The results contribute to our understanding of spatial patterns in the demography of deepwater tropical species.

Material and Methods

Study Area and Sample Collection

Samples from 366 *E. carbunculus* were collected from seamounts and island reef and continental slopes in the western and central Pacific Ocean and the eastern Indian Ocean (Fig. 1). Samples from the Pacific Ocean were collected during scientific surveys and from artisanal landings between October 2012 and April 2014. Fish were captured using vertical multi-hook droplines from depths ranging between approximately 100 and 400 m. Samples from the Indian Ocean were collected during scientific surveys and from commercial catches (fish trap and line) between January 1997 and October 2013 in depths ranging between approximately 100 and 400 m. The fork length (*FL*, nearest cm) and whole body weight (*W*, nearest 10 g) of each fish was measured and the pair of sagittal otoliths were removed, cleaned, and stored dry in plastic vials or paper envelopes. Most gonads were dissected and stored frozen, then fixed in 10% buffered formalin on return to the laboratory. Gonads collected from Western Australia and Vanuatu were placed fresh in 10% buffered formalin.

Age Estimation

Ages were estimated from thin transverse sections of sagittal otoliths, with the methods used to prepare sections following those of recent age-based studies on deepwater teleosts to prepare thin otolith sections for age estimation (Wakefield et al., 2010; Wakefield et al., 2013a; Wakefield et al., 2013b). Briefly, the sagitta of each fish was embedded in epoxy resin and sectioned transversely through the primordium, in a direction perpendicular to the sulcus acusticus, using a low speed saw with a diamond tipped blade. Otolith sections were cut thinly (0.15–0.20 mm) to improve increment clarity. Sections were rinsed in an acidic solution for ~30 seconds (2% hydrochloric acid, see Gauldie et al. 1990) and mounted on glass slides with a cover slip using casting resin. Opaque increments on each otolith section were counted using a compound microscope under reflected light at ×50–100 magnifications. The opaque increments were counted primarily along an axis from the primordium to the

otolith margin on the ventral rim of the sulcus acusticus, as this was typically the axis with the most well-defined increments. However, other axes were examined as a reference when opaque increments were unclear on the primary axis.

Bomb radiocarbon dating has validated that a single opaque zone is deposited annually in the otoliths of *E. sp.* (Andrews et al., 2011), and that counts of opaque increments from otolith sections are accurate for determining ages. Although the periodicity in increment formation has not been directly validated for *E. carbunculus*, we presumed that opaque increments in this species also were deposited annually. An experienced reader (AJW) counted opaque increments in all otolith sections, and a second experienced reader (CBW) counted opaque increments in a subset of 144 sectioned otoliths. The Index of Average Percent Error (IAPE: Beamish and Fournier, 1981) between increment counts from the two readers was 3.85, which is within the accepted level of precision for this species (Wakefield et al., 2017). Therefore, increment counts from the first reader were used as age estimates. For analyses of growth and age at maturity, we estimated a decimal age for each individual using the birth date, the time of year when the opaque increments on the otoliths of the majority of *E. carbunculus* became delineated (i.e. 1 October), and the number of opaque increments. The birth date of *E. carbunculus* was considered to be 1 October (the approximate onset of spawning), as determined from the annual trends in gonadosomatic indices (GSI) and prevalence of developmental stages of gonads.

Gonad Histology

The total weight of each whole pair of fixed gonads was measured to the nearest 0.1 g. The sex of individuals collected from the Indian Ocean was determined macroscopically. Histology was used to determine the reproductive mode of *E. carbunculus* and to determine the sex and maturity stage of individuals collected from the Pacific Ocean. Medial transverse sections of gonads of fish from the Pacific Ocean were embedded in paraffin wax, sectioned at 5 μ m, mounted on slides, and stained with Mayer's haematoxylin and eosin. The stage of ovary development was based on the most advanced non-atretic cell type present (West, 1990). Additional features used in histological staging included the structural appearance of lamellae, thickness of the ovarian wall, intra-lamellar stromal tissue, atretic oocytes, brown bodies and postovulatory follicles, all of which are indicators of prior spawning (Sadovy and Shapiro, 1987). Ovaries and testes were classified into developmental stages adapted from Ferreira (1995) and Adams (2003). Females were classified into five stages: immature (I), mature resting (II), developing (III), ripe (IV), and hydrated (V). Males were classified into four stages: immature (I), mature resting (II), ripe (III) and spent (IV).

Length and Age Distributions

Length and age frequency distributions were constructed for females and males in the Pacific and Indian Oceans. We used Kolmogorov-Smirnov (K-S) tests to compare length and age frequency distributions between sexes within the Pacific and Indian Ocean, and between oceans. All statistical analyses were conducted in the statistical software environment R version 3.3.1 (R Core Team, 2016).

Growth

The relationship between *FL* and *W* was estimated for *E. carbunculus* from the Pacific Ocean only, because no weight data were collected from the Indian Ocean. We modelled the *FL-W* relationship using a power function of the form $W = a \times FL^b$, where *a* is the coefficient of the power function, and *b* is the exponent. Generalised linear mixed-effects models (GLMM)

were used to examine the effects of sex and latitude on the FL - W relationship. Length and weight data were log-transformed to satisfy the assumption of linearity. Fishing set (location and date of sampling) was modelled as a random effects term in the GLMM models because multiple individual fish were often sampled at the same time from a single location, and therefore not all samples were independent. We modelled $\log(FL)$ and sex as fixed factors and latitude as a covariate. We evaluated support for the inclusion of terms for sex and latitude in the FL - W relationship using the Akaike's Information Criteria for small sample sizes (AIC_c : Burnham and Anderson, 2002). Models with an AIC_c value within two of that calculated for the best approximating model (lowest AIC_c) were considered to describe the data equally well (Burnham and Anderson, 2002). The Akaike weight, w_i , of each model i was calculated to quantify the plausibility of each model, given the data and the set of n models using:

$$w_i = \frac{\exp(-0.5\Delta_i)}{\sum_{k=1}^n \exp(-0.5\Delta_i)}$$

where $\Delta_i = AIC_{c,\min} - AIC_{c,i}$. The Akaike weight is considered as the weight of evidence in favour of model i being the actual best model of the available set of all models (Burnham and Anderson, 2002).

We fitted the von Bertalanffy growth function (VBGF) to *E. carbunculus* length-at-age data using non-linear least squares to explore trends in growth. The form of the VBGF was:

$$L_t = L_\infty (1 - e^{-k(t-t_0)})$$

where L_t is the mean fork length at age t , L_∞ is the mean asymptotic length, k is a relative growth rate parameter (year^{-1}), and t_0 is the age at which fish have a theoretical length of zero. We constrained the parameter t_0 to zero given the lack of smaller fish in the samples.

We evaluated the effects of sex, ocean, and latitude on the VBGF for *E. carbunculus* using the extended VBGF described by Kimura (2008) whereby sex, ocean, and latitude were added as covariates (β) on the VBGF parameters L_∞ and k , such that the general form of the extended VBGF with all covariates was:

$$\begin{pmatrix} L_{\infty i} \\ K_i \end{pmatrix} = \begin{pmatrix} \beta_{0L} + x_{i1}\beta_{1L} + x_{i2}\beta_{2L} + x_{i3}\beta_{3L} \\ \beta_{0K} + x_{i1}\beta_{1K} + x_{i2}\beta_{2K} + x_{i3}\beta_{3K} \end{pmatrix}$$

where x_{i1} and x_{i2} are dummy variables for sex (female or male) and ocean (Pacific or Indian), set to either 0 or 1, and x_{i3} is a regression variable for latitude, for the i th fish. No covariates were applied to t_0 because it was fixed at zero, and the effects of the covariates on L_∞ and k were expected to be greater than on t_0 . We evaluated support for the inclusion of covariates for ocean, sex, and latitude on VBGF parameters using AIC_c . This analysis revealed substantial support for the inclusion of all three covariates, indicating that growth of *E. carbunculus* varied by sex, ocean, and latitude. Given there were only two levels of sex and ocean, we explored latitudinal patterns in growth by fitting separate growth curves for females and males for each ocean and modelling the linear and non-linear effects of latitude on the residual length-at-age data from the best-fit growth models for each sex in each ocean using GLMMs. We also explored the shape of the relationship between VBGF parameters and

latitude by modelling linear and non-linear covariates for latitude on L_{∞} and k for male and female growth models in each ocean. AIC_c was used to determine which models were best supported by the data. Fishing set was modelled as a random effects term in all GLMM models.

Mortality

We used the GLMM estimator proposed by Millar (2015) to estimate instantaneous rates of total mortality (Z) for *E. carbunculus*. This method outperforms the Chapman–Robson and weighted-regression estimators, especially in cases where there is variability in recruitment to the fishery, variability in annual survival, autocorrelated recruitment, and ageing error (Millar, 2015). We fitted random-intercept Poisson loglinear models using GLMMs separately to age frequency data for females and males from the Pacific and Indian Oceans, with the frequency of fish in each age class as the response variable, age as a fixed factor, and a random intercept. Age data were pooled across oceans and sexes to determine a common modal age (age at full selectivity to the fishery), and all models were fitted to data for age classes equal to or greater than the modal age (i.e. assuming asymptotic selectivity). The estimate of Z was the negative of the maximum likelihood estimator of the slope coefficient from each model.

We estimated the natural mortality rate (M) for female and male *E. carbunculus* from the Pacific and Indian Oceans by fitting the linear equation $\log_e(M) = 1.44 - 1.01 \times \log_e(t_{\max})$, where t_{\max} is the maximum age observed (Hoenig, 1983). Estimates of fishing mortality (F) were calculated as the difference between Z and M , which was then used to estimate the exploitation ratio ($E = F/Z$).

Sex Ratio, Spawning Period and Maturity

Overall sex ratios in the Pacific and Indian Oceans were compared with an expected ratio of 1:1 by χ^2 goodness-of-fit tests using all samples (immature and mature). Sex ratios were then plotted against FL to examine trends in sex ratio with size for both oceans.

There were insufficient data from the Indian Ocean to examine reproductive seasonality or maturity of *E. carbunculus*. Furthermore, there were insufficient data to examine latitudinal variation in reproductive seasonality or maturity within the Pacific Ocean. Therefore, we examined these characteristics for data pooled across the Pacific Ocean. A gonadosomatic index (GSI: gonad weight/ $W \times 100$) was calculated for each female fish. The relationship between FL and W was used to estimate W for individual fish where weight data were unavailable. The monthly trends in GSI and the proportion of females in spawning condition (stages IV and V) were examined to determine the annual spawning period for *E. carbunculus* in each ocean. A linear regression was fitted to W and gonad weight for mature females collected during spawning months to examine whether relative reproductive output increases with body size.

We used generalized linear models to estimate the length and age at maturity of female and male *E. carbunculus* in the Pacific Ocean. Maturity state was treated as a binomial response variable with logit link function and modelled as a function of either age or FL .

Results

Length and Age Distributions

We detected statistically significant differences in length ($D = 0.57$, $p = 0.002$) and age frequency distributions ($D = 0.56$, $p < 0.001$) between the Pacific and Indian Ocean, with a

greater proportion of larger fish (>40 cm FL) in the Pacific Ocean than in the Indian Ocean (Fig. 2). The range of ages was similar between the Pacific and Indian Oceans, but there was a lower proportion of young fish (< 6 years) and a greater proportion of old fish (>13 years) in the Pacific Ocean than in the Indian Ocean (Fig. 2). We detected statistically significant differences in length ($D = 0.55$, $p = 0.005$) and age frequency distributions ($D = 0.44$, $p = 0.02$) between females and males in the Pacific Ocean, but no significant differences in length ($D = 0.36$, $p = 0.46$) and age frequency distributions ($D = 0.3$, $p = 0.33$) between females and males in the Indian Ocean.

Growth

We found no effect of sex or latitude on the FL - W relationship of *E. carbunculus* as indicated by a much larger AIC_c and lower weight of evidence for models including terms for sex and latitude (Table 1). Therefore, we pooled data across sexes and locations to estimate the FL - W relationship for the Pacific Ocean (Fig. 3). The estimated parameters and 95% confidence intervals of the FL - W relationship ($a = (1.10 \pm 0.26) \times 10^{-5}$, $b = 3.13 \pm 0.07$) indicate that *E. carbunculus* exhibit a positively allometric growth pattern ($b > 3$), in which their girth increases disproportionately to length.

Fitting the VBGF to *E. carbunculus* length-at-age data revealed that growth parameters were affected significantly by ocean, sex, and latitude (Table 2). The estimated mean asymptotic length (L_∞) was much greater, and the estimate of k much lower for females and males in the Pacific Ocean than in the Indian Ocean (Table 3; Fig. 4). The estimated k was similar for both females and males in the Pacific Ocean, but the estimated L_∞ was more than 6 cm larger (13%) for females than for males (Table 3; Fig. 4). The estimated L_∞ was similar between females and males in the Indian Ocean, but the estimated k was higher for females than males (Table 3). For both sexes, *E. carbunculus* attained a larger L_∞ in the Pacific than in the Indian Ocean.

GLMMs revealed that the residual length-at-age from the VBGF models for females and males varied significantly with latitude in the Pacific and Indian Oceans (Table 4). The relationship between residual length-at-age and latitude was described best by a cubic spline with 3 degrees of freedom for females and males in both the Pacific and Indian Oceans, as indicated by the lowest AIC_c values for these models (Table 4). There was a strong relationship between the residuals and latitude for both females and males (Fig. 5A, D). In the Pacific Ocean, residual length-at-age for females and males was predicted to be consistently greater at higher latitudes ($>22^\circ\text{S}$) than at lower latitudes (Fig. 5A). There were no data available from areas south of 21°S in the Indian Ocean to evaluate if this trend occurred in both oceans. There was a tendency for residual length-at-age for females and males to be slightly greater at the lowest latitudes ($<12^\circ\text{S}$) than at mid-latitudes (12 - 18°S) in both oceans.

The shape of the relationship between the VBGF parameters L_∞ and k and latitude was significantly non-linear for female and male growth models in the Pacific and Indian Oceans (Table 5, Fig. 5 B, C, E, F). A growth model with non-linear variation in k and L_∞ was best supported by the data in all cases, although there was equivalent support ($\Delta AIC_c < 2$) for models with linear variation in k for males in both oceans (Table 5). In the Pacific Ocean, growth models predicted a significantly larger L_∞ and smaller k at higher latitudes ($>20^\circ\text{S}$) than at lower latitudes for both females and males (Fig. 5B, C), similar to the trends in fork length residuals (Fig. 5A). Latitudinal trends in L_∞ and k were less pronounced in the Indian

Ocean (Fig. 5E, F), but there was a tendency for a larger L_{∞} and smaller k at the lowest ($<14^{\circ}\text{S}$) and highest ($>18^{\circ}\text{S}$) latitudes than at intermediate latitudes.

Mortality

The estimated instantaneous rate of total mortality (Z) for *E. carbunculus* was similar between the Pacific and Indian Oceans for females and males (Table 6). Estimates of Z were greater for females than males in both oceans, although the uncertainty in estimates was greater in the Indian Ocean due to lower sample sizes. Estimates of natural mortality (M) were also greater for females than males in the Pacific and Indian Oceans, with males reaching an older age in both oceans. However, the differences in Z between females and males were not due entirely to differences in M , with estimates of F more than two-fold greater for females than for males in both oceans, resulting in higher exploitation ratios (E) for females in both oceans (Table 6).

Sex Ratio, Spawning Period and Maturity

Gonad characteristics of *E. carbunculus* were consistent with gonochorism, with no evidence of transitional reproductive tissue, no remnant bisexual characteristics, and only ever testicular or ovarian tissue present in one gonad. Sampled populations of *E. carbunculus* were significantly female biased in both the Pacific (2.4:1; $\chi^2 = 47.05$; $p < 0.001$), and Indian (1.7:1; $\chi^2 = 4.13$; $p = 0.04$) Oceans. Furthermore, sex ratios were at unity or female biased in all length classes except 38 cm FL in the Indian Ocean (Fig 6). The sex ratio tended to be more female biased at greater lengths, particularly in the Pacific Ocean. There were no males in any length classes greater than 50 cm FL .

The weight of mature female gonads in the Pacific Ocean increased significantly with body weight ($F = 209.74$, $p < 0.001$), with the predicted gonad weight of a 3 kg female more than four times greater than for a 1 kg female (Fig. 7). Mean monthly GSI values for mature female *E. carbunculus* in the Pacific Ocean were highest in the months from January to May, but were also elevated (>2) in November (Fig. 8). Developing ovaries (stage III) were observed in all months except June, while ripe and hydrated ovaries (stages IV & V) were observed in most of the months between October and May (Fig. 8). The low sample size in some months is the likely reason that ripe and hydrated ovaries were not observed in some months between October and May. Nevertheless, the observed trends in monthly GSI and frequency of mature ovarian stages suggest either multiple spawning seasons or, more likely, a protracted spawning season for *E. carbunculus* between October and May in the Pacific Ocean.

The size and age at maturity was similar for female and male *E. carbunculus* in the Pacific Ocean. The smallest and youngest mature female observed was 25 cm FL and three years of age, and the largest and oldest immature female was 33.5 cm FL and six years of age (Fig. 9). The smallest and youngest mature male observed was 27 cm FL and six years of age, and the largest and oldest immature male was 34.5 cm FL and nine years of age (Fig. 9). The predicted size and age at which 50% of females reached maturity was 27.5 cm FL and 4.8 years of age. For males, 50% were predicted to be mature at 27.6 cm FL and 5.1 years of age.

Discussion

The lack of age-based biological studies for tropical deepwater fishes has limited the ability to develop effective fisheries assessment models and appropriate management and harvest strategies (Newman et al., 2015; Newman et al., 2016; Newman et al., 2017). Here, we have provided the first estimates of age-specific demographic parameters for *E. carbunculus* since

the species was discovered to comprise two cryptic species (*E. carbunculus* and *E. sp.*) (Wakefield et al., 2014; Andrews et al., 2016). Prior to the description of the distinction between these two species, the only information on the biology of *E. carbunculus* that was available was from Hawaii, where only *E. carbunculus* occurs. Studies of *E. carbunculus* from other locations throughout the Indo-Pacific region are most likely biased due to unknown proportions of the sympatric *E. sp.* and *E. carbunculus* in the samples collected. We extend the knowledge of the biology of *E. carbunculus* further by providing comparisons of demographic parameters between oceans and sexes, and with comparisons of growth across 20° latitude. Our results show that growth of *E. carbunculus* varies significantly between oceans and sexes and across latitudes in both oceans. Estimates of natural and fishing mortality were similar between oceans, but higher for females than males in both oceans. Sex ratios were significantly female biased in both oceans, despite this species being gonochoristic, and maturity schedules were similar between sexes in the Pacific Ocean. These results provide the foundation for the development of the first species-specific assessment models for *E. carbunculus* and highlight the need to consider sex-specific demographic parameters and spatial variation in demography in future stock assessment models.

Previous estimates of growth parameters for *E. carbunculus* from Hawaii (Smith and Kostlan, 1991) differ substantially from those estimated in this study. However, it is not appropriate to make direct comparisons between these studies due to the significant bias in the numerical integration techniques used by Smith and Kostlan (1991) to determine the age of fish (Newman et al., 2016). Our estimates of growth are based on ageing methods that have been validated with bomb radiocarbon dating, and thus provide the first reliable estimates of growth for *E. carbunculus*. We found evidence for significant variation in growth of *E. carbunculus* between oceans and sexes, and across latitudes, although spatial variation in growth was larger than the variation between sexes. The average maximum length of female and male *E. carbunculus* varied up to 50% with latitude, with the largest fish sampled at the highest latitudes (>20°S) and the smallest fish at lower latitudes (~12-20°S). However, initial growth rates were generally lower at higher latitudes than at lower latitudes. The average maximum length was 35% longer for males and 20% longer for females in the Pacific Ocean than in the Indian Ocean, but initial growth rates were slower in the Pacific Ocean compared with the Indian Ocean. The magnitude of spatial variation in growth observed for *E. carbunculus* was comparable to that observed for other shallow water tropical species at similar spatial scales (e.g. Meekan et al., 2001; Robertson et al., 2005; Berumen et al., 2012; Cappo et al., 2013), suggesting that the forces structuring local adaptation in growth can operate at similar spatial scales for tropical shallow and deepwater demersal species.

The observed latitudinal gradient in growth of *E. carbunculus* in the Pacific Ocean is consistent with the temperature-size rule, based on the metabolic theory of ecology (MTE, Atkinson, 1994; Brown et al., 2004), which predicts that fish at higher latitudes, where water temperature is cooler, will reach larger maximum sizes and exhibit lower initial growth rates. Larger maximum size at higher latitudes has been a common observation for many tropical shallow water species with distributions that span significant latitudinal ranges and temperature gradients (e.g. Berumen et al., 2012; Cappo et al., 2013). Departures from growth patterns predicted by MTE have also been observed, including the converse relationship between growth and latitude (Cossington et al., 2010), or a parabolic relationship with reduced growth performance toward the extents of its latitudinal distribution (i.e. edge of range effects, Wakefield et al., 2017). Although deepwater snapper typically occupy depths from 100-400 m, where the ambient temperature is cooler than surface waters, the strength of

1 the temperature gradient across tropical latitudes at these depths is of a similar magnitude to
2 that experienced by shallow water species in less than 50 m water depth (Roemmich and
3 Gilson, 2009). Therefore, temperature is likely contributing to the observed latitudinal
4 variation in growth of *E. carbunculus* in the Pacific Ocean. A similar trend was not observed
5 in the Indian Ocean due to a lack of samples from latitudes greater than 20°S. This might at
6 least partially explain the observed differences in growth between oceans, as fish greater than
7 50 cm *FL* in the Pacific Ocean were only sampled from latitudes greater than 20°S. Wakefield
8 et al. (2013b) observed a similar latitudinal pattern in the Indian Ocean for the deepwater
9 grouper *Hyporhamphus octofasciatus*, with a significantly larger length-at-age at higher
10 latitudes. Analyses of growth for *E. carbunculus* from 1) latitudes south of 20°S in the Indian
11 Ocean, and 2) its latitudinal distribution in the northern Pacific Ocean, would clarify whether
12 the relationship between growth and latitude are consistent with those observed in the south
13 Pacific Ocean.

14
15 Female *E. carbunculus* reached a larger maximum length than males in the Pacific Ocean, but
16 growth patterns were similar between sexes in the Indian Ocean. The larger observed length-
17 at-age for female *E. carbunculus* compared to males in the Pacific Ocean contrasts with the
18 general trend for Lutjanidae species in the Indo-Pacific region, where typically males grow to
19 larger sizes than females (Newman et al., 1996b; Newman et al., 2000a; Kritzer, 2004; Heupel
20 et al., 2010; Newman et al., 2016) or there are no detectable differences in growth between
21 sexes (Newman et al., 2000b; Newman and Dunk, 2003; Marriott et al., 2007; Newman et al.,
22 2016). The larger maximum length for females could be explained by a trade-off between
23 reproductive potential (gonad weight) and mortality. The energetic costs associated with
24 producing sperm are lower than for eggs (Wootton, 1985), providing a mechanism for males
25 to allocate more energy into somatic growth and attain a larger size than females. Hence, for
26 females to reach a larger maximum size than males, they would require a higher food intake
27 and/or greater foraging activity, exposing them to a greater mortality risk due to increased
28 encounters with predators and diseases (Roff, 1983). The tradeoff, however, is that
29 reproductive output can be increased at larger sizes, thus compensating for this higher
30 mortality risk. The significant increase in female gonad weight with whole fish weight, and
31 the higher natural mortality rates observed for female *E. carbunculus* in both the Pacific and
32 Indian Oceans, is consistent with this hypothesis. Furthermore, the significant female bias in
33 our samples suggests that females have a higher propensity to take baited fishing gear,
34 supporting the hypothesis that females forage more actively than males.

35
36 Information on the reproductive mode and spawning season for deepwater snappers is limited
37 (Newman et al., 2016). We observed that *E. carbunculus* is a gonochore with a protracted
38 spawning season in the Pacific Ocean from late spring to autumn, with peak spawning in the
39 summer months. This is consistent with knowledge of deepwater snapper species to date
40 (Newman et al., 2016) and with observations of *E. carbunculus* in Hawaiian waters (Everson,
41 1984). Further analysis of gonad samples from the Indian Ocean is required to determine if
42 the spawning season is similar across ocean basins. A protracted spawning season coupled
43 with a long larval duration (Leis and Lee, 1994) likely facilitates wide-spread mixing of *E.*
44 *carbunculus* resulting in the observed genetic homogeneity among populations throughout the
45 Pacific Ocean (Andrews et al., 2014; Goldstien et al., 2015). It is likely, therefore, that many
46 nations are exploiting the same stocks of *E. carbunculus*, and there is a need for collaboration
47 among countries to optimize management of this species.

Our estimates of the length at which 50% of female and male *E. carbunculus* attain maturity (27.5 cm FL and 27.6 cm FL, respectively) are remarkably similar to the estimates of 27.8 and 27.9 cm for females from Hawaii (DeMartini and Lau, 1999), suggesting spatial variability in length-based maturity schedules of *E. carbunculus* may be small. Relative to their mean asymptotic length (L_{∞}), the length at which 50% of females and males attained maturity was 54% and 61% respectively, which is relatively high, but within the range of other deepwater snappers (Newman et al., 2016). Minimum size limits are a common management measure in fisheries, and are often based on maturity schedules and objectives related to minimizing risks of growth and recruitment overfishing by allowing a proportion of the stock to reproduce prior to becoming vulnerable to fishing mortality (Coggins et al., 2007; Gwinn et al., 2015). For deepwater snapper, including *E. carbunculus*, the effectiveness of minimum size limits is likely to be severely compromised by high rates of post-release mortality (O'Malley, 2015). However, the low proportion (~6%) of fish in size classes less than the length at 50% maturity in our samples suggests that the fishing gear used currently to target deepwater snappers is unlikely to impact significantly on the immature component of *E. carbunculus* populations. Moreover, previous estimates of spawning biomass per recruit in Eteline snappers (Williams et al., 2013) were found to be more sensitive to variations in natural mortality than to age at first capture, suggesting that regulating fishing mortality rather than gear selectivity would be a more effective management approach for these species.

For data-poor fisheries, such as most fisheries targeting deepwater snappers in the Pacific and Indian Oceans, the development and implementation of harvest strategies often requires the use of proxies for target and limit reference points (Dowling et al., 2015a). In the absence of information to estimate maximum sustainable yield (MSY) reliably, a ratio of fishing mortality to natural mortality of 0.5 (i.e. $E = 0.5$) has been proposed as an approximation for the fishing mortality that would achieve MSY (F_{MSY}) (Alverson and Pereyra, 1969). In a review of indicators for measuring the impacts of fishing, Rochet and Trenkel (2003) suggest that $E = 0.5$ should be applied as a limit reference point, above which a stock would be considered subject to overfishing. All of the estimates of E for *E. carbunculus* were less than 0.5, suggesting that stocks in the Pacific and Indian Oceans are not currently being overfished. However, the estimates of E for females were higher than for males in both the Pacific and Indian Oceans, indicating greater fishing pressure on females than males. This observation is likely due to the larger size-at-age of females compared to males, assuming that selectivity of the fishing gear is related directly to fish size. The significant female bias and increase in female bias with length supports this explanation. Prior to establishing exploitation rates as proxy reference points for the management of deepwater snapper fisheries, it will be highly desirable to evaluate them through formal management strategy evaluation to ensure that they will achieve the objectives set for the fishery (Dowling et al., 2015a).

Fisheries data throughout the Indo-Pacific region have not distinguished between *E. carbunculus* and *E. sp.*, as they were thought to comprise a single species. Consequently, catch data have been reported as the single species *Etelis carbunculus*. Our results reveal substantial differences in biology between *E. carbunculus* and *E. sp.* (Williams et al., 2013; Williams et al. 2015), which emphasize the importance of distinguishing between the two species in fisheries catch data. For example, the maximum observed length and weight for *E. carbunculus* (62 cm FL, 4.8 kg) is much smaller than for *E. sp.* (>100 cm FL, >20 kg; Williams et al. 2013). However, there is a smaller difference in the maximum reported age between *E. carbunculus* (32 years) and *E. sp.* (43 years; Williams et al. 2015), indicating substantial differences in growth trajectories between the two species. Estimates of biological

parameters are fundamental to most stock assessments, and combining species with divergent life histories into a single species assessment model would result in strong biases in estimates of biomass and sustainable harvest rates (Maunder and Piner, 2014). Therefore, differentiating *E. carbunculus* and *E. sp.* in fisheries catch data will be important to avoid potential biases in assessments. Identifying these two species can now be achieved accurately using external features (Andrews et al., 2016) or by using otolith shape where whole fish are unavailable and otoliths can be or have already been extracted (Wakefield et al., 2014).

Conclusion

E. carbunculus and other deepwater snapper species support important fisheries in many developing countries where resources are limited and fisheries statistics required for traditional stock assessments are not often available. Our preliminary results suggest that *E. carbunculus* might not be currently subject to overfishing in the Indo-Pacific region as a whole. However, more detailed assessments will be required to assess the status of stocks more thoroughly. Importantly, the life history information described in this study provides the foundation for the development of the first species-specific assessment models and harvest strategies for *E. carbunculus*. Recent advances in the development of data-poor assessment methods highlight the utility of obtaining accurate life history information, such as growth and maturity, for parameterizing assessment models for data-poor fisheries (e.g. Dowling et al., 2015b; Hordyk et al., 2015). Our results indicate that assessments would benefit from explicit consideration of spatial variation in the biology of *E. carbunculus* and sex-specific growth curves. Such structural improvements are likely to provide more reliable estimates of biomass, fishing mortality and potential yields, and ultimately provide the foundation for more robust management decisions.

Acknowledgements

The authors extend their gratitude to fishers and colleagues for their assistance with the collection of samples. Logistic and financial support for this study was provided by the Pacific Community (New Caledonia) and the Department of Fisheries, Government of Western Australia. Funding for the collection of samples in the Pacific region was provided by the Australian Agency for International Development (AusAID), the French Pacific Fund, and the Zone Économique de Nouvelle-Calédonie (ZoNéCo) Programme. F.J.A. was funded by a Marie Curie Intra-European Fellowship. Thank you to the anonymous reviewers for their constructive comments on earlier versions of this manuscript.

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Figure Captions

Fig. 1. Locations where *Etelis carbunculus* samples were collected (circles) from continental slopes, island reef slopes, and seamounts within Economic Exclusion Zones of the eastern Indian and western central Pacific Island countries and territories.

Fig. 2. Length and age frequency distributions for female (light grey bars) and male (dark grey bars) *Etelis carbunculus* from the Pacific and Indian Oceans.

Fig. 3. Weight-at-length data and predicted power curve for *Etelis carbunculus* from the Pacific Ocean. Shaded area indicates 95% confidence intervals.

Fig 4. Length-at-age data and fitted von Bertalanffy growth curves for female and male *Etelis carbunculus* from the Pacific and Indian Oceans. Shaded areas indicate 95% confidence intervals. Individuals of unknown sex were not used in fitting growth curves.

Fig. 5. Latitudinal trends in residual length-at-age (A,D) and von Bertalanffy growth parameters L_{∞} (B, E) and k (C, F) for male and female *Etelis carbunculus* from the Pacific and Indian Oceans. Shaded areas indicate 95% confidence intervals for females (solid shaded) and males (line-shaded).

Fig. 6. Trends in sex ratio (female-to-male) of *Etelis carbunculus* with fork length in the Pacific and Indian Oceans. Horizontal line indicates a unity (1:1) sex ratio. Total sample sizes are indicated above each data point.

Fig. 7. Linear regression fitted to gonad weight (GW) at whole body weight (W) for female *Etelis carbunculus* from the Pacific Ocean. Data were limited to mature females collected during the spawning months (Oct-May). Shaded area indicates 95% confidence intervals.

Fig. 8. Mean monthly gonadosomatic indices ($GSI \pm s.e.$) and the percentage of ovaries at stage III (light grey bars), IV, and V (dark grey bars) of female *Etelis carbunculus* from the Pacific Ocean. Data were limited to mature females. Sample sizes are shown for each month.

Fig. 9. Predicted proportion of mature female and male *Etelis carbunculus* by fork length and age from the Pacific Ocean. Data were limited to individuals collected during the spawning months (Oct-May). Shaded areas indicate 95% confidence intervals for females (solid shaded) and males (line-shaded).

Table 1. Summary of generalized linear mixed effects models (GLMM) used to examine the effects of sex and latitude (*lat*) on the relationship between fork length (*FL*) and weight (*W*) for *Etelis carbunculus* from the Pacific Ocean. β_{set} is the random effect of fishing set, and ε is the error term. RSS is the residual sum of squares, AIC_c is the small-sample bias-corrected form of Akaike's information criterion, ΔAIC_c is the Akaike difference, and w is the Akaike weight.

Model	AIC_c	ΔAIC_c	w
$\log(W) = \log(FL) + \beta_{set} + \varepsilon$	-432.68	0	0.73
$\log(W) = \log(FL) + \alpha_{lat} + \beta_{set} + \varepsilon$	-430.61	2.07	0.26
$\log(W) = \log(FL) + Sex + \beta_{set} + \varepsilon$	-424.00	8.68	0.01
$\log(W) = \log(FL) + Sex + \alpha_{lat} + \beta_{set} + \varepsilon$	-422.24	10.44	<0.01

In review

1 Table 2. Comparison of von Bertalanffy growth models fitted to *E. carbunculus* length-at-age
 2 data with covariates for ocean, sex, and latitude. RSS is the residual sum of squares for each
 3 model, AIC_c is the small-sample bias-corrected form of Akaike's information criterion, ΔAIC_c
 4 is the Akaike difference, and w is the Akaike weight.

Covariate(s) used in model	No. of parameters	RSS	AIC_c	ΔAIC_c	w
No covariates	3	11652.34	2151.11	102.27	0
Latitude	6	10257.80	2112.39	63.55	0
Sex	6	10186.03	2110.03	61.19	0
Ocean	6	10182.21	2109.90	61.06	0
Ocean and latitude	9	9572.60	2093.32	44.48	0
Ocean and sex	9	9074.84	2075.37	26.53	0
Sex and latitude	9	8689.05	2060.78	11.94	0.002
Ocean, sex, and latitude	12	8281.33	2048.84	0	0.998

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In review

1 Table 3. Parameter estimates (\pm s.e.) from von Bertalanffy growth models fitted to male and
 2 female *Etelis carbunculus* from the Indian and Pacific Oceans.

Ocean	Sex	L_{∞}	k
Pacific	Female	51.38 (1.39)	0.14 (0.01)
	Male	45.37 (1.48)	0.15 (0.02)
Indian	Female	38.06 (0.85)	0.38 (0.04)
	Male	37.79 (0.87)	0.27 (0.04)

3

In review

Table 4. Parameter estimates from generalised linear mixed-effects models examining the effects of latitude (lat) on residual fork length (R) from von Bertalanffy growth models for male and female *Etelis carbunculus* in the Pacific and Indian Oceans. β_{set} is the random effect of fishing set, and ε is the error term. AIC_c is the small-sample bias-corrected form of Akaike's information criterion, ΔAIC_c is the Akaike difference, and w is the Akaike weight.

Ocean	Sex	Model	AIC_c	ΔAIC_c	w
Pacific	Female	$R = s(lat, df = 3) + \beta_{set} + \varepsilon$	1164.05	0	0.82
		$R = s(lat, df = 2) + \beta_{set} + \varepsilon$	1167.10	3.05	0.18
		$R = lat + \beta_{set} + \varepsilon$	1193.19	29.14	0
		$R = \beta_{set} + \varepsilon$	1202.18	38.13	0
	Male	$R = s(lat, df = 3) + \beta_{set} + \varepsilon$	455.04	0	0.88
		$R = s(lat, df = 2) + \beta_{set} + \varepsilon$	459.07	4.02	0.12
		$R = \beta_{set} + \varepsilon$	483.99	28.95	0
		$R = lat + \beta_{set} + \varepsilon$	484.36	29.32	0
Indian	Female	$R = s(lat, df = 3) + \beta_{set} + \varepsilon$	183.81	0	0.79
		$R = s(lat, df = 2) + \beta_{set} + \varepsilon$	186.67	2.86	0.19
		$R = \beta_{set} + \varepsilon$	191.76	7.95	0.02
		$R = lat + \beta_{set} + \varepsilon$	194.70	10.89	0
	Male	$R = s(lat, df = 3) + \beta_{set} + \varepsilon$	106.07	0	0.80
		$R = s(lat, df = 2) + \beta_{set} + \varepsilon$	109.28	3.20	0.16
		$R = \beta_{set} + \varepsilon$	112.73	6.65	0.03
		$R = lat + \beta_{set} + \varepsilon$	115.78	9.70	0.01

Table 5. Summary of von Bertalanffy growth models used to examine latitudinal variation in growth parameters k and L_∞ for female and male *Etelis carbunculus* in the Pacific and Indian Oceans. f_1 and f_2 are functions of the growth parameters k and L_∞ , where α_1 and α_2 describe the relationship between L_∞ and latitude (lat), and β_1 and β_2 describe the relationship between k and lat . AIC_c is the small-sample bias-corrected form of Akaike's information criterion, ΔAIC_c is the Akaike difference, and w is the Akaike weight.

Ocean	Latitudinal functions		Female			Male		
	f_1	f_2	AIC_c	ΔAIC_c	w	AIC_c	ΔAIC_c	w
Pacific	$L_\infty + \alpha_1 \cdot lat + \alpha_2 \cdot lat^2$	$k + \beta_1 \cdot lat + \beta_2 \cdot lat^2$	1160.49	0	0.96	450.10	0	0.66
	$L_\infty + \alpha_1 \cdot lat + \alpha_2 \cdot lat^2$	$k + \beta_1 \cdot lat$	1167.13	6.64	0.03	451.93	1.83	0.27
	$L_\infty + \alpha_1 \cdot lat + \alpha_2 \cdot lat^2$	k	1173.65	13.16	0	460.92	10.82	0
	$L_\infty + \alpha_1 \cdot lat$	$k + \beta_1 \cdot lat + \beta_2 \cdot lat^2$	1173.51	13.02	0	454.70	4.60	0.07
	$L_\infty + \alpha_1 \cdot lat$	$k + \beta_1 \cdot lat$	1210.71	50.22	0	485.24	35.14	0
	$L_\infty + \alpha_1 \cdot lat$	k	1211.45	50.96	0	487.77	37.67	0
	L_∞	$k + \beta_1 \cdot lat + \beta_2 \cdot lat^2$	1205.37	44.88	0	483.31	33.21	0
	L_∞	$k + \beta_1 \cdot lat$	1222.66	62.17	0	499.36	49.26	0
	L_∞	k	1232.69	72.20	0	501.71	51.61	0
Indian	$L_\infty + \alpha_1 \cdot lat + \alpha_2 \cdot lat^2$	$k + \beta_1 \cdot lat + \beta_2 \cdot lat^2$	186.02	0	0.35	100.14	0	0.38
	$L_\infty + \alpha_1 \cdot lat + \alpha_2 \cdot lat^2$	$k + \beta_1 \cdot lat$	188.23	2.21	0.12	100.62	0.47	0.30
	$L_\infty + \alpha_1 \cdot lat + \alpha_2 \cdot lat^2$	k	189.85	3.83	0.05	104.97	4.83	0.03
	$L_\infty + \alpha_1 \cdot lat$	$k + \beta_1 \cdot lat + \beta_2 \cdot lat^2$	188.30	2.28	0.11	104.95	4.80	0.03
	$L_\infty + \alpha_1 \cdot lat$	$k + \beta_1 \cdot lat$	188.54	2.52	0.10	102.93	2.78	0.09
	$L_\infty + \alpha_1 \cdot lat$	k	189.85	3.83	0.05	105.25	5.11	0.03
	L_∞	$k + \beta_1 \cdot lat + \beta_2 \cdot lat^2$	188.66	2.64	0.09	103.90	3.75	0.06
	L_∞	$k + \beta_1 \cdot lat$	189.23	3.20	0.07	103.95	3.80	0.06
	L_∞	k	189.85	3.83	0.05	106.15	6.00	0.02

1 Table 6. Estimates of total mortality (Z), natural mortality (M), fishing mortality (F), and
 2 exploitation ratio (E) for female and male *Etelis carbunculus* from the Pacific and Indian
 3 Oceans.

Ocean	Sex	Z (\pm s.e.)	M	F (\pm s.e.)	E (\pm s.e.)
Pacific	Female	0.27 (0.03)	0.17	0.10 (0.03)	0.37 (0.04)
	Male	0.18 (0.01)	0.14	0.04 (0.01)	0.22 (0.01)
Indian	Female	0.30 (0.09)	0.20	0.10 (0.09)	0.33 (0.13)
	Male	0.16 (0.04)	0.13	0.03 (0.04)	0.19 (0.06)

4

In review

















