

Call for Tender

Special Purpose Financial Audit

1. Background

The South Pacific Regional Fisheries Management Organisation (SPRFMO) is an inter-governmental organisation responsible for the long-term conservation and sustainable use of the fishery resources of the South Pacific Ocean and in so doing, safeguarding the marine ecosystems in which the resources occur. The SPRFMO Convention applies to the high seas of the South Pacific, covering about a fourth of the Earth's high seas areas

Currently, the main commercial resources managed by the SPRFMO are Jack mackerel and jumbo flying squid in the Southwest Pacific and, to a much lesser degree, deep-sea species associated with seamounts in the Southeast Pacific.

The Organisation consists of a Commission and a number of subsidiary bodies. The Commission currently has 15 Members from Asia, Europe, the Americas and Oceania (Australia, Republic of Chile, People's Republic of China, Cook Islands, Republic of Cuba, Republic of Ecuador, European Union, Kingdom of Denmark in respect of the Faroe Islands, Republic of Korea, New Zealand, Republic of Peru, Russian Federation, Chinese Taipei, The United States of America, Republic of Vanuatu) and 4 Cooperating non-Contracting Parties (CNCs) (Colombia, Curacao, Republic of Liberia and the Republic of Panama).

The SPRFMO Secretariat is based in Wellington, New Zealand.

The SPRFMO's financial year is the period from 1st July to 30th June.

2. Objective of the Call for Tender

SPRFMO is inviting tenders for the provision of external financial audit services in Wellington, New Zealand. The auditors will be appointed by the SPRFMO Commission for the next three years' audit work.

3. Information for the tenderer

3.1. Submission requirements

The deadline for the submission of offers is 5pm 24 June 2019. Proposals and questions regarding the tender and the services required can be directed to Yanbin Liu, Finance and Office Manager (yliu@sprfmo.int) no later than this deadline.

The SPRFMO Secretariat will acknowledge receipt of all offers received.

All proposals must identify a designated Contact Point (including a name, phone number and email address).

SPRFMO is not obliged to accept any proposals that do not meet these submission requirements.

3.2. Financial Regulations

The SPRFMO Convention, under Article 15, outlines the general rules governing the budget and finances of the organisation. Each member of the Commission contributes to the budget in accordance with an agreed formula which takes into account catches, economic status and a basic fee (see Financial Regulation 4.7). In



addition, the organisation can accept financial contributions made by CNCs, organisations, individuals and other sources connected with the fulfilment of its functions.

The [Financial Regulations](#) detail the procedures and rules governing the SPRFMO finances. The budget is adopted annually by the Commission who receives advice from the Finance and Administration Committee (FAC), a subsidiary body of the Commission (Convention, Article 13). The Executive Secretary proposes the budget and administers the SPRFMO funds. The organisation requires proper books of accounts for the purpose of accounting receipts and expenditures of the Organisation, including a General Account, an Accumulated Surplus Account, and others.

3.3. External Audit

The SPRFMO Financial Regulations include the requirement of an annual external audit (Regulation 9). The auditors are appointed by the Commission and serve for a maximum term of three (3) years; they may be reappointed.

The audit will be performed as deemed necessary by the auditors to certify:

- (a) that the financial statements are in accord with the books and records of the Organisation;
- (b) that the financial transactions reflected in the statements have been in accordance with the relevant rules and regulations, the budgetary provisions, and other applicable directives;
- (c) that the monies on deposit and on hand have been verified by a statement from relevant financial institutions.

There are a number of specific regulations guiding the work of the auditors which are stipulated in Financial Regulation 9.

SPRFMO documents can be accessed at the website of the organisation at www.sprfmo.int.

3.4. Process and Evaluation Method

Tenders will be assessed by the SPRFMO Commission, taking into account the advice of the FAC.

The successful tenderer will be expected to enter into a contract with SPRFMO for the provision of Audit services. The contract duration will be no greater than 3 years but will provide for the possibility of renewal.

3.5. Disclaimer

Tenderers are responsible for all costs incurred in the preparation and lodgement of any proposals; and in respect of any discussions, negotiations, enquiries or any work undertaken by them after the proposal has been submitted. SPRFMO is not liable for any costs or compensation in relation to these matters, regardless of whether SPRFMO terminates, varies or suspends the Call for Tender process; or takes any other option under this Call for Tender.

SPRFMO will not accept responsibility for any misunderstanding arising from the failure by a tenderer to comply with the requirements set out in this Call for Tender, or arising from any ambiguity, discrepancy, inconsistency, error or omission contained in a proposal.

Nothing in this Call for Proposals or any proposal submitted by a tenderer gives rise to contractual obligations between SPRFMO and the tenderer.