

7TH MEETING OF THE FINANCE AND ADMINISTRATION COMMITTEE (FAC)

Port Vila, Vanuatu, 14 to 18 February 2020

FAC 7 – Doc 08.3

Estimate of additional financial impacts or influence on the Secretariat work arising from proposed Conservation and Management Measures (CMMs)

Secretariat

1. Background

FAC6 when addressing the Performance Review Panel Recommendation 386 (c)¹ encouraged Members to engage with the Secretariat early on in the proposal development to ascertain financial implications for the implementation through consultation, *“The FAC encourages Members to engage with the Secretariat when a proposal has financial impacts or influence on the Secretariat work”*.

In this regard, the Secretariat updated the Proposal Cover Page Template including a field where Members could assess whether the “proposal has financial impacts or influence in the Secretariat work”. This Proposal Cover Page Template was made available on the 15 November 2019 when the Invitation and Draft Preliminary Agendas for the 8th SPRFMO Annual Meeting were circulated (G115-2019).

Where required, the Secretariat has engaged with those Members that signalled in the Proposal Cover Page that the proposal had financial impact or influence on the Secretariat work.

The estimates are presented as input into the Commission’s consideration of the proposals.

2. Preliminary Assessment

2.1. Methodology:

The Secretariat has made a preliminary assessment of the additional number of hours per year required to implement the CMM changes proposed, under the categories of Compliance, Data and Science, and Administration and Management. The expected additional workload for the Secretariat has then been categorized into Minimal, Low, Significant and High, as shown below.

CMM changes proposed	Estimated Number of hours per year
Minimal	0-8 hours
Low	8-80 hours
Significant	80-500 hours
High	More than 500 hours

Note that in some cases several proposals relate to the same paragraph in a CMM, and therefore the estimates are alternative rather than additive. The table also indicates where database upgrades would be required to implement the proposal.

¹ *“Encourages the Secretariat to prepare an estimate of the additional financial cost which is “likely to arise from proposed conservation and management measures”*.



2.2. Assessment

Proposal ²	Conservation and Management Measure	Additional workload				Database upgrade required (NZ\$)
		Compliance	Data & Science	Administration & Management	Total	
COMM8-Prop01	EU CMM 01 (<i>Trachurus murphyi</i>)	Minimal	Low	Low	Low	
COMM8-Prop02	VU CMM 01 (<i>Trachurus murphyi</i>)	Minimal	Minimal	Minimal	Minimal	
COMM8-Prop03	EC CMM 01 (<i>Trachurus murphyi</i>)	Minimal	Minimal	Minimal	Minimal	
COMM8-Prop04	CL CMM 01 (<i>Trachurus murphyi</i>)	Minimal	Minimal	Minimal	Low	
COMM8-Prop05	PE CMM 01 (<i>Trachurus murphyi</i>)	Minimal	Minimal	Minimal	Minimal	
COMM8-Prop06	NZ CMM 02 (Data Standards)	Low	Low	Minimal	Low	10 000
COMM8-Prop07	EU CMM 03 (Bottom fishing)	Minimal	Low	Minimal	Low	
COMM8-Prop08	NZ-AU CMM 03a (Deepwater species)	Minimal	Low	Minimal	Low	
COMM8-Prop09	EU CMM 04 (IUU Vessel List)	Significant	Low	Low	Significant	
COMM8-Prop10	PE CMM 05 (Record of Vessels)	Minimal	Minimal	Minimal	Low	
COMM8-Prop11	US CMM 11 (Boarding & Inspection)	Low	Low	Low	Low	
COMM8-Prop12	EU CMM 12 (Transshipment)	Significant	High	Minimal	High	
COMM8-Prop13	CL CMM 14d (Exploratory Toothfish) - New	Minimal	Minimal	Minimal	Low	
COMM8-Prop14	EU CMM xx (Squid) - New	Low	Significant	Minimal	Significant	12 000

² Links to the proposal are provided



Three proposals would be likely to lead to significant or high additional workload for the Secretariat:

1. [COMM8-Prop09 \(EU\)](#) which relates to management of the IUU Vessel list has estimated additional work in the areas of both Compliance and Administration, which in total are estimated to lead to significant additional workload for the Secretariat. This is partly because cross-listing IUU vessels from the IUU lists of 11 other relevant organisations means additional administrative work receiving, uploading and circulating lists and changes to these lists and objections to these. It is also because cross-listing would lead to an increase in size of the SPRFMO IUU list from 3 to about 160 vessels.
2. [COMM8-Prop12 \(EU\)](#) which relates to management of transshipment has estimated additional work in the areas of both Compliance and Data, which in total are estimated to lead to a high additional workload for the Secretariat. This is mainly because the proposal adds giant squid *Dosidicus gigas* to the list of fisheries resources for which transshipment notifications are required at least 12 hours before the estimated time of such activity and for which transshipment information is required no later than 7 days after the transshipment was carried out. As there are more than 400 vessels (both fishing and carrier vessels) for which this requirement would apply, this is a large quantity of data to be processed and monitored.
3. [COMM8-Prop14 \(EU\)](#) which relates to squid, has significant additional work in the area of Data management, mainly because of the introduction of a minimum scientific observer coverage of 10% of trips. As there are more than 400 vessels in this fishery, this is expected to lead to a large number of trips (of the order of 100 trips), and a significant additional workload managing the Observer data submitted to the Secretariat.

Two proposals would lead to database upgrades³:

1. [COMM8-Prop06](#) which updates the Data Standards, is likely to lead to approximately NZ\$ 10 000 of costs to upgrade the database to deal with the new requirements, in particular making vessel images available on the public record, and storing more information about catches of benthic and VME taxa. Note also that the requirement to record Fish stock in Annual Catch data will require a database upgrade, which is included in [FAC7-Doc08.2](#). Similarly, the requirement to store the length measurement type is already included in [FAC7-Doc08.2](#) and not separately included in this estimated additional cost.
2. [COMM8-Prop14](#) which relates to squid leads to a requirement for a new Squid Jigging Observer module, estimated to cost approximately NZ\$ 12 000.

3. Recommendation

The FAC is invited to consider the above analysis and potentially recommending the Commission adjusting accordingly budget (Budget Item 4.5) and Personnel Cost (budget Category 1) for Financial Year 2020-2021 if the above referred proposals are adopted at COMM8.

³ Refer also to FAC 7 – DOC 08.2 “*Explanatory Note Regarding the SPRFMO Database Developments and Updates*”