

**5<sup>th</sup> Meeting of the Compliance and Technical Committee  
Lima, Peru, 26 - 28 January 2018**

**CTC 5 – Doc 05**

**Follow-up actions taken related to Compliance**

*Secretariat*

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**BACKGROUND**

This paper reports on follow-up actions taken by Members and CNCs in relation to their need for either a Compliance review or a Compliance Action plan as identified in the 2017 Final Compliance Report ([Annex 5](#) of the 5<sup>th</sup> Commission report). In that document, the Commission agreed that, consistent with the CTC recommendations, the following should apply:

- a. Members and CNCs that were asked by the CTC to prepare a Compliance Review, shall transmit to the Secretariat any missing information, if the relevant information has not yet been provided, within 90 days after the end of the Commission Meeting 2017 (*being 22 April 2017*).
- b. Members and CNCs that were asked by the CTC to prepare a Compliance Action Plan shall provide the Secretariat with detailed information on the steps taken to respond to and rectify the non-compliance and/or improve the implementation of the relevant obligations, within six months after the end of the Commission Meeting 2017 (*being 22 July 2017*).

**COMPLIANCE ACTION PLANS**

The 2017 Final Compliance report asked 6 Members/CNCs to develop Compliance action plans to rectify non-compliance and/or improve implementation of obligations.

Table 1 shows the current status of those obligations.

**COMPLIANCE REVIEW**

As part of their Compliance Review, 5 Members/CNCs were asked to transmit to the Secretariat any missing information, if the relevant information had not yet been provided.

Table 2 shows the current status of those obligations.

Member/CNCP	Compliance Action plan:	Current Status
<b>Colombia</b>	CMM 4.10 (CMS; 2016) Failing to submit a 2015/16 Implementation report	No compliance action plan has been received. No 2015/16 Implementation report has been received Colombia did not re-apply for CNCP status.
<b>Cook Islands</b>	CMM 4.10 (CMS; 2016) Failing to submit a 2015/16 Implementation report	No compliance action plan has been received. No 2015/16 Implementation report has been received The Cook Islands did submit an implementation report for 2016/17, however, the report did not use the agreed template and was provided 17 days late.
<b>Cuba</b>	CMM 4.10 (CMS; 2016) Failing to submit a 2015/16 Implementation report	No compliance action plan has been received. No 2015/16 Implementation report has been received Cuba has not submitted an implementation report for 2016/17
<b>Ecuador</b>	CMM 2.07 (Port inspection; 2015) Failing to submit summaries related to 26 completed port inspections	No compliance action plan has been received. No port inspection summaries have been received. The 2016/17 implementation report also indicated that 26 port inspections had been conducted (again no summaries submitted)
<b>Panama</b>	CMM 4.01 ( <i>Trachurus murphyi</i> ; 2016) Failing to submit monthly reports Failing to submit VMS data	No compliance action plan has been received. The 2016 June monthly report and 2016 Quarterly VMS data has not been received 2017 monthly reports and Quarterly VMS data has not been submitted
	CMM 4.10 (CMS; 2016) Failing to submit a 2015/16 Implementation report	No compliance action plan has been received. No 2015/16 implementation report has been received Panama has not submitted an implementation report for 2016/17
	5 <sup>th</sup> Commission Report - Annex 7: Guidance to Panama regarding its future CNCP status	The Commission Chairperson and the Executive Secretary spoke with a representative from Panama about its obligations on 7 August 2017. Subsequently, the Secretariat wrote follow-up emails on 11 August and 8 November 2017. Out of four corrective actions requested by the Commission Panama has partially addressed one (additional images have been submitted). As noted earlier, the 2016/17 implementation report has not been submitted so far.
<b>Russian Federation</b>	CMM 4.05 (Record of Vessels; 2016) Conducting transshipment in the SPRFMO Area without being on the Record of Vessels	No compliance action plan has been received.
	CMM 3.05 (Transshipment; 2015) Conducting transshipment in the SPRFMO Area without being on the Record of Vessels	On 21 June 2017, the Russian Federation submitted a report of an investigation into the activities in the SPRFMO Area conducted by a Russian fish carrier vessel.

**Table 1:** Current status of Compliance Action plans as identified in the 2017 Final Compliance Report.

<b>Member/CNCP</b>	<b>Compliance review:</b>	<b>“Missing (or late) information”</b>	<b>Current Status</b>
<b>China</b>	CMM 4.05 (Record of Vessels; 2016)	Outstanding images IMO numbers for vessels > 100 GT Updating vessel authorisations	Improved from 34 to 62% Improved from 30 missing images to 7 This year all updates were provided within the timeframe
	CMM 3.05 (Transshipment; 2015)	Transshipment without being on record	No unauthorised transshipments from the 2015_16 period. Last years associated observer transshipment report has not been submitted yet
<b>Liberia</b>	CMM 4.02 (Data standards; 2016)	2015 Transshipment data is not “by species”	2016 Transshipment data has been supplied and appears to be “by species”
<b>Panama</b>	CMM 4.02 (Data standards; 2016)	2015 Transshipment data	2015 data is still outstanding, however, 2016 Transshipment has been submitted
	CMM 4.05 (Record of Vessels; 2016)	IMO numbers for vessels > 100 GT	Improved from 3 missing to 1 missing
<b>Peru</b>	CMM 4.05 (Record of Vessels; 2016)	Outstanding images IMO numbers for vessels > 100 GT	Decrease from 80 to 69% Improved from 70 to 76%
<b>Russian Federation</b>	CMM 4.02 (Data standards; 2016)	2015 fishing activity data	Data was provided on 4 February 2017
	CMM 2.07 (Port inspection; 2015)	Failure to submit a point of contact and list of designated ports.	2016_17 implementation report indicates that the Russian Federation ports are not expected to receive SPRFM managed species

**Table 2:** Current status of Compliance Reviews as identified in the 2017 Final Compliance Report.