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## 11<sup>TH</sup> MEETING OF THE SPRFMO COMMISSION

*Manta, Ecuador, 13 to 17 February 2023*

**COMM 11 – Report ANNEX 7d**

**Conservation and Management Measure 05-2023 Record of Vessels**

*(COMM 11 – Prop 03\_rev1)*

Recommended by CTC (*10 Feb 2023, 19:00*)

ADOPTED

CMM 05-2023~~2~~

Conservation and Management Measure for ~~the Establishment of the~~  
Commission Record of Vessels Authorised to Fish in the Convention Area  
(Supersedes CMM 05-202~~2~~~~1~~)

**The Commission of the South Pacific Regional Fisheries Management Organisation;**

*RECALLING* Article 27 (1)(a) of the Convention regarding the establishment of appropriate procedures for effective monitoring, control and surveillance of fishing and to ensure compliance with this Convention and the Conservation and Management Measures (CMMs) adopted by the Commission, including the establishment and maintenance of a Commission record of vessels authorised to fish in the Convention Area;

*CONSIDERING* that according to Article 1 (1)(h) of the Convention, “fishing vessel” means any vessel used or intended for fishing, including fish processing vessels, support ships, carrier vessels and any other vessel directly engaged in fishing operations;

*NOTING* that Article 19(2)(b) of the Convention stresses the need to avoid adverse impacts on, and ensure access to fisheries by, subsistence, small-scale and artisanal fishers and women fish workers when establishing CMMs for fishery resources covered by the Convention.

*TAKING INTO ACCOUNT* the provisions of Articles 23 and 25 of the Convention, regarding Data collection, compilation and exchange and flag State duties;

*ADOPTS* the following CMM in accordance with Articles 8 and 27 of the Convention:

1. The appropriate government authorities of Members and Cooperating Non-Contracting Parties (CNCP) shall only authorise fishing vessels flying their flag to fish in the Convention Area where they are able to exercise effectively their responsibilities in respect of such vessels under the Convention, including relevant CMMs adopted by the Commission, and in accordance with relevant international law.
2. Each Member and CNCP will take into account the history of fishing vessels and operators with respect to their compliance (or non-compliance) with relevant CMMs when considering whether or not to authorise a particular fishing vessel flying its flag to fish in the Convention Area. Members and CNCPs shall ensure that no authorisation to fish in the Convention Area is issued to or maintained for a vessel included on any Regional Fisheries Management Organisation Illegal, Unreported and Unregulated (IUU) vessel list or on the IUU vessel list of the Commission for the Conservation of Antarctic Marine Living Resources.
3. Each Member or CNCP shall take necessary measures to ensure that vessels flying their flag and authorised to fish in the Convention Area have a sufficient level of ownership by citizens, residents or legal entities within its jurisdiction to allow enforcement action to be effectively taken against them.
4. Each Member and CNCP shall maintain a register of fishing vessels entitled to fly its flag and authorised to fish in the Convention Area.
5. Members and CNCPs shall collect and include for each vessel on its register of fishing vessels entitled to fly its flag and authorised to fish in the Convention Area, the data described in Annex 1 of this Measure.
6. For vessels not previously on the SPRFMO Record of Vessels, Members and CNCPs shall inform the Executive Secretary of the vessels flying their flag authorised to fish in the Convention Area at least 15 days previous to the first entry of such vessels in the Convention Area for the purposes of fishing for SPRFMO fishery resources. In doing so, Members or CNCP shall provide the Executive Secretary the vessel’s details, including the information required by Annex 1 of this Measure in order for the vessel to be validly included on the Commission Record of Vessels.



7. Members and CNCPs shall ensure that the vessel data specified in Annex 1 for the vessels flying their flag authorised to fish in the Convention Area is updated. Any modification regarding the vessel data shall be notified by the relevant Member or CNCP to the Executive Secretary within 15 days after such modification.
8. Members and CNCPs shall inform the Executive Secretary when authorisations to fish in the Convention area are revoked, relinquished or otherwise no longer valid. This information shall be submitted immediately or in any case in no more than 3 days of the date of any such change.
9. An authorisation entry on the SPRFMO Record of Vessels shall cease to be a valid entry when there is a change in any of the following details until the required information is updated:
  - a) Vessel flag State;
  - b) International Radio call sign (if any);
  - c) Flag authorisation start date;
  - d) Flag authorisation end date;
  - e) UVI (Unique Vessel Identifier)/IMO number (if issued).
10. Where a notification indicates a change of Vessel flag, the Secretariat shall inform the previous flag State on the Record of Vessels of the notification within 5 days of receiving such notification in order to confirm the Vessel flag has changed.
11. The Commission Record of Vessels shall include all the fishing vessels on Member's and CNCP's records authorised to fish in the Convention Area, including the data submitted by Members and CNCPs according to Annex 1 of this Measure
12. The Executive Secretary shall maintain the Commission Record of Vessels authorised to fish in the Convention Area. The Executive Secretary shall only include a vessel on the Commission Record of Vessels (Authorised to Fish in the Convention Area) once the mandatory information required by Annex I has been submitted<sup>1,2</sup>. A summary of the Record of Vessels shall be publicly available on the SPRFMO website, according to the provision of paragraph 6 of CMM 02-2022 (Data Standards).
13. The Record of Vessels shall indicate which of the authorised vessels have been actively fishing for each year. To this end each Member and CNCP participating in fishing activities in the Convention shall notify the Executive Secretary of the vessels that are actively fishing or engaged in transshipment in the Convention Area. In the case of vessels involved in the *Trachurus murphyi* fishery, this information shall be submitted within 20 days of the end of each month. For vessels involved in other fisheries within the Convention Area, this information shall be submitted on annual basis, within 30 days of the end of the year. The Executive Secretary shall maintain lists of the vessels so notified and will make them available on the SPRFMO website.
14. When a previously authorised vessel is no longer authorised by the Member or CNCP to fish within the Convention Area, such vessel shall not be deleted from the Record of Vessels, but labelled as "not currently authorised".
15. The Commission will review this CMM as required, to consider amendments to this measure to improve its effectiveness and to take into account, among others, the developments of the FAO Global Record initiative as relevant.

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<sup>1</sup> The Executive Secretary shall provide advice to the relevant Member and CNCP within two business days if the minimum information requirements have not been met.

<sup>2</sup> ~~By no later than 30 April 2022, the Executive Secretary shall write to any Members and CNCPs whose flagged vessels have incomplete information and request that the Member or CNCP either provide the outstanding information or request removal of such vessels from the Record of Vessels before 31 December 2022.~~



## ANNEX 1 Standard for Vessel Data

1. Members and CNCP shall collect data on an un-aggregated (vessel by vessel) basis.
2. The following fields of data shall be collected, included on Member's and CNCP's authorised vessel records, and informed to the Executive Secretary, pursuant to paragraphs 6 and 7 of this measure.
  - a) Current vessel flag (using the codes indicated in Annex 2);
  - b) Name of vessel;
  - c) Registration number;
  - d) International radio call sign (if any);
  - e) UVI (Unique Vessel Identifier)/IMO number (if issued)<sup>3</sup>;
  - f) Previous Names (if known);
  - g) Port of registry;
  - h) Previous flag (if any, and using the codes indicated in Annex 2);
  - i) Type of vessel (Use appropriate ISSCFV codes, Annex 10 of CMM 02-2022 (Data Standards));
  - j) Type of fishing method(s) (Use appropriate ISSCFG codes, Annex 9 of CMM 02-2022 (Data Standards));
  - k) Length;
  - l) Length type e.g. "LOA", "LBP";
  - m) Gross Tonnage – GT (to be provided as the preferred unit of tonnage);
  - n) Gross Register Tonnage – GRT (to be provided if GT not available; may also be provided in addition to GT);
  - o) Power of main engine(s) (kW);
  - p) Hold capacity (m<sup>3</sup>: if applicable);
  - q) Freezer type (if applicable);
  - r) Number of freezers units (if applicable);
  - s) Freezing capacity (if applicable);
  - t) Vessel communication types and numbers (INMARSAT A, B and C numbers)<sup>4</sup>;
  - u) VMS system details (brand, model, features and identification);
  - v) Name of owner(s);
  - w) Address of owner(s);
  - x) Date of inclusion into the SPRFMO Record;
  - y) Flag authorisation end date;
  - z) Flag authorisation start date;
  - aa) as required by CMM 19 2021 Good quality high resolution digital photographs of the vessel of appropriate brightness and contrast, initially no older than 5 years at the time the vessel is first authorised, which shall consist of at least:
    - i. one photograph not smaller than 12 x 7 cm showing the starboard side of the vessel displaying its full overall length and complete structural features; any
    - ii. one photograph not smaller than 12 x 7 cm showing the port side of the vessel displaying its full

<sup>3</sup> Effective 1 January 2020, Members and CNCPs shall ensure that all fishing vessels flying their flag that are authorised to fish in the Convention Area have IMO numbers issued to them. Artisanal fishing vessels from coastal developing States of no more than 15 metres in length fishing for jumbo flying squid will not be required to provide such information if not qualified to be issued one. Under that circumstance, these artisanal vessels must comply with international accepted identification and marking requirements, to identify the flag State, followed by the vessel's national registration or authorisation number (separated by a hyphen) as it appears in its flag State national registration certificate, and provide the information as an alternative of this requirement. The flag State shall notify the Secretariat of the vessels to which this derogation applies at least 15 days before their first entering into the Convention area. Unless otherwise decided by the Commission, this derogation will expire on 1 January 2026.

<sup>4</sup> Artisanal fishing vessels from coastal developing States of no more than 15 metres in length, if not capable of having an INMARSAT system on board, shall comply with the communications and safety of human life on board requirements established for the high seas by its flag State, and provide the information as an alternative of this requirement. Unless otherwise decided by the Commission, this derogation will expire on 1 January 2026.



overall length and complete structural features; ~~any~~  
iii. one photograph ~~not smaller than 12 x 7 cm~~ showing the stern taken directly from astern, including any superstructure. ~~any~~

Photos shall be updated when changes to the vessel characteristics are made (e.g., modified hull, superstructure, external markings). Photos shall be submitted in one of the following file formats: jpeg, png, or tiff.

3. Each Member and CNCP shall, to the extent practicable, also provide to the Executive Secretary at the same time as submitting information in accordance with paragraph 2 of this Annex, the following additional information:
  - a) External markings (such as vessel name and international radio call sign);
  - b) Types of fish processing lines (if applicable);
  - c) When built;
  - d) Where built;
  - e) Moulded depth;
  - f) Beam;
  - g) Electronic equipment on board (i.e., radio, echo sounder, radar, net sonde);
  - h) Name of license owner(s) (if different from vessel owner);
  - i) Address of license owner(s) (if different from vessel owner);
  - j) Name of operator(s) (if different from vessel owner);
  - k) Address of operator(s) (if different from vessel owner);
  - l) Name of vessel master;
  - m) Nationality of vessel master;
  - n) Name of fishing master;
  - o) Nationality of fishing master.
4. When Members and CNCPs provide the data indicated in paragraph 2 of this Annex, they shall do so in accordance with the specifications and format prescribed in Annex 8 of CMM 02-2022 (Data Standards).



## ANNEX 2 Flag codes

|                |     |                    |     |
|----------------|-----|--------------------|-----|
| Australia      | AUS | Italy              | ITA |
| Austria        | AUT | Korea              | KOR |
| Belgium        | BEL | Latvia             | LVA |
| Belize         | BLZ | Liberia            | LBR |
| Bulgaria       | BGR | Lithuania          | LTU |
| Chile          | CHL | Luxembourg         | LUX |
| China          | CHN | Malta              | MLT |
| Colombia       | COL | Netherlands        | NLD |
| Cook Islands   | COK | New Zealand        | NZL |
| Croatia        | HRV | Panama             | PAN |
| Cuba           | CUB | Peru               | PER |
| Curaçao        | CUW | Poland             | POL |
| Cyprus         | CYP | Portugal           | PRT |
| Czech Republic | CZE | Romania            | ROU |
| Denmark        | DNK | Russian Federation | RUS |
| Ecuador        | ECU | Slovakia           | SVK |
| Estonia        | EST | Slovenia           | SVN |
| Faroe Islands  | FRO | Spain              | ESP |
| Finland        | FIN | Sweden             | SWE |
| France         | FRA | Chinese Taipei     | TWN |
| Germany        | DEU | United Kingdom     | GBR |
| Greece         | GRC | United States      | USA |
| Hungary        | HUN | Vanuatu            | VUT |
| Ireland        | IRL |                    |     |