

6th Meeting of the Commission
Lima, Peru, 30 January to 3 February 2018

COMM 6 – PROP 08
Proposal to Amend CMM 12-2017 on Transshipment
Korea

Explanatory Note

In February 2017, Korean flagged fishing vessels conducted transshipment on the high seas outside of the Convention Area a few months after they had targeted jumbo flying squid in the Convention Area. In this process, Korea recognized the difficulty of applying provisions of CMM 12-2017 to the unloading and receiving vessels involved in the transshipment due to lack of clarity in the CMM.

To address the issue of ambiguity, Korea would like to amend the CMM 12-2017 to be applicable to the transshipment, whether it occurs within or outside of the Convention Area, if any fishing vessel intends to conduct at-sea transshipment of SPRFMO-managed fishery resources or fishery resource products derived from fishing in the Convention Area.

With this amendment, it aims to initiate monitoring of transshipment of the squid caught in the Convention Area, which has not been subject to this CMM so far; and to enhance the information base for transshipment operations including the squid fishery collecting, *inter alia*, the number of transshipments conducted, the quantity of catches transshipped and the information on unloading/receiving vessels concerned.

CMM 12-2017¹

Conservation and Management Measure for the regulation of Transshipment and Other Transfer Activities

The Commission of the South Pacific Regional Fisheries Management Organisation;

RECALLING that Article 1(1)(o) of the Convention defines "transshipment" as the unloading of all or any of the fishery resources or fishery resource products derived from fishing in the Convention Area on board a fishing vessel to another fishing vessel either at sea or in port;

RECOGNISING that transshipment at sea is a common global practice, but that unregulated and unreported transshipment of catches of fishery resources, in particular on the high seas, contributes to distorted reporting of catches of such stocks and supports illegal, unreported and unregulated (IUU) fishing in the Convention Area;

RECOGNISING the importance of adequately regulating, monitoring and controlling transshipment at sea to contribute to combating IUU fishing activities, and that States should take all necessary measures to ensure that vessels flying their flag do not engage in transshipment of fish caught by fishing vessels engaged in IUU fishing through adequate regulation, monitoring and control of such transshipment of fish;

NOTING that Article 18 (3)(f) and (h) of the *Agreement for the Implementation of the Provisions of the United Nations Convention on the Law of the Sea of 10 December 1982 relating to the Conservation and Management of Straddling Fish Stocks and Highly Migratory Fish Stocks* requires flag States to adopt measures to regulate transshipment on the high seas to ensure that the effectiveness of conservation and management measures is not undermined, and port States to adopt regulations to prohibit landings and transshipments where the catch has been taken in a manner which undermines the effectiveness of regional conservation and management measures on the high seas;

RECALLING Articles 25(1)(d), 26(2)(a) and 27(1)(c) of the Convention, which prescribe, *inter alia*, that Members of the Commission shall take all necessary measures to ensure that fishing vessels flying its flag land or tranship fishery resources caught in the Convention Area in accordance with standards and procedures adopted by the Commission;

ADOPTS the following Conservation and Management Measure (CMM) in accordance with Article 8 of the Convention:

GENERAL PROVISIONS FOR ALL VESSELS ENGAGED IN TRANSHIPMENT AND TRANSFER IN AND OUTSIDE OF THE CONVENTION AREA

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1. For the purposes of this CMM, "competent authorities" means the authorities of the Member or CNCP under whose flag the vessel is operating.
2. Transshipments at sea and in port shall only be undertaken between vessels included in the Commission Record of Vessels.
3. At sea transfer of fuel, crew, gear or any other supplies between two vessels shall only be undertaken between vessels included in the Commission Record of Vessels.

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¹ CMM 12-2017 (Transshipment) supersedes CMM 3.05 (Transshipment; 2015).

CMM 12-2017 (Transshipment)

TRANSHIPMENTS OF ~~SPRFMO-MANAGED FISHERY RESOURCES~~ CAUGHT IN THE CONVENTION AREA

4. The competent authorities of the receiving fishing vessel (carrier vessel) shall notify the Secretariat, at least 7 days in advance, of a 14-day period during which transshipments of ~~SPRFMO-managed fishery resources~~, caught in the Convention Area, regardless of where the transshipment takes place, are scheduled to occur. The receiving vessel notification shall include the relevant information available regarding the transshipment operation, including the estimated date and time, anticipated location, fishery, and information about the vessels intending to tranship, in accordance with Annex A as much as practicable. The competent authorities of both the unloading and receiving vessels shall notify the Secretariat of an intention to tranship at least 12 hours before the estimated time of such activity. The notification shall include the estimated date and time, anticipated location, fishery, and information about the vessels intending to tranship, in accordance with Annex A. The competent authorities may authorise the vessel operator to provide notification directly to the Secretariat. The Secretariat shall make this information available on the Members' section of the Commission website as soon as possible.
5. If, in accordance with the applicable CMMs, an observer¹ is on board the unloading or receiving vessel, the observer shall monitor the transshipment activities.
6. An observer monitoring transshipment under paragraph 5 shall complete a transshipment logsheet, as set out in Annex B, to verify the quantity and species of the fishery resources being transhipped, and shall provide a copy of the logsheet to the competent authorities of the observed vessel. The competent authorities of the observed vessel shall submit the observer data of the transshipment logsheet to the Secretariat, no later than 15 days from debarkation of the observer. ~~Until such time as an observer program is implemented in the jumbo flying squid fishery, it shall not be applied when jumbo flying squid caught in the Convention Area are transhipped at-sea.~~
7. For the purpose of verifying the quantity and species of the fishery resources being transhipped, and in order to ensure that proper verification can occur, the observer on board shall have full access to the observed vessel, including crew, gear, equipment, records² and fish holds.
8. The competent authorities of the unloading fishing vessel and the receiving fishing vessel shall notify all the operational details to the Secretariat, as specified in Annex C, no later than 7 days after the transshipment is carried out. The competent authorities may authorise the vessel operator to provide this information directly to the Secretariat by email; should the Secretariat require any clarification, those requests shall be directed to the competent authorities of the relevant vessel. The Secretariat shall make a summary of this information available on the Members' section of the Commission website.

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REVIEW

9. This CMM shall enter into force 30 days after the conclusion of the annual Commission meeting in ~~2018~~.
10. This CMM shall be reviewed at the regular meeting of the Commission in ~~2020~~. Such review shall take into account, *inter alia*, the latest advice of the Compliance and Technical Committee with respect to the effectiveness of this CMM in providing the Commission with information about transshipments and other transfer activities and supporting monitoring, control, and surveillance activities; appropriate levels of observer coverage; and the scope of this CMM.

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¹ Until such time as the Commission implements an observer programme, the term "observer" means a suitably qualified person with training in specialised sampling techniques and environmental observations who has been designated as a fisheries observer under a Member's or CNCP's observer programme.

² This includes electronic records.

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Annex A

TRANSHIPMENT NOTIFICATION

Members and CNCPs shall provide the following information in accordance with paragraph 4:

DETAILS OF UNLOADING VESSEL

- a) Name of vessel
- b) Registration number
- c) Radio call sign
- d) Vessel flag state
- e) IMO number/ IHS Fairplay number (if applicable)
- f) Name and nationality of vessel master

DETAILS OF RECEIVING VESSEL

- a) Name of vessel
- b) Registration number
- c) Radio call sign
- d) Vessel flag state
- e) IMO number/ IHS Fairplay number (if applicable)
- f) Name and nationality of vessel master

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Annex B**TRANSHIPMENT LOGSHEET**

The following information shall be provided by the observer monitoring transshipment, according to paragraphs 5 and 6.

I. DETAILS OF THE UNLOADING FISHING VESSEL

Name of vessel	
Registration number	
Radio call sign	
Vessel flag State	
IMO number / IHS Fairplay number (if applicable)	
Master's name and nationality	

II. DETAILS OF THE RECEIVING FISHING VESSEL

Name of vessel	
Registration number	
Radio call sign	
Vessel flag State	
IMO number / IHS Fairplay number (if applicable)	
Master's name and nationality	

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III. TRANSHIPMENT OPERATION

Date and time of commencement of transshipment (UTC)				
Date and time of completion of transshipment (UTC)				
If transshipment in port: Name, country, and code ¹ of port				
If transshipment at sea: Position (nearest 1/10th degree) at commencement of transshipment				
If transshipment at sea: Position (nearest 1/10th degree) at completion of transshipment				
Description of product type by species (e.g. whole, frozen fish in 20 kg cartons)				
Species		Product type		
Species		Product type		
Species		Product type		
Species		Product type		
Species		Product type		
Species		Product type		
Number of cartons, net weight (kg) of product, by species.				
Species		Cartons	Net weight	
Species		Cartons	Net weight	
Species		Cartons	Net weight	
Species		Cartons	Net weight	
Species		Cartons	Net weight	
Species		Cartons	Net weight	
Total net weight of product transhipped (kg)				
Hold numbers in reefer vessel in which product is stowed				
Destination port and country of receiving fishing vessel				
Estimated arrival date				
Estimated landing date				

IV. OBSERVATIONS (IF APPLICABLE)

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¹ United Nations Code for Trade and Transport Locations (UN/LOCODE).

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V. VERIFICATION

Name of observer	
Authority	
Signature and Stamp	

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Annex C**TRANSHIPMENT INFORMATION TO BE REPORTED**

In accordance with paragraph 9, no later than 7 days after a transshipment is carried out, the competent authorities of the vessels involved shall report to the Secretariat:

DETAILS OF UNLOADING VESSEL

- a) Name of vessel
- b) Registration number
- c) Radio call sign
- d) Vessel flag state
- e) IMO number/ IHS Fairplay number (if applicable)
- f) Name and nationality of vessel master

DETAILS OF RECEIVING VESSEL

- a) Name of vessel
- b) Registration number
- c) Radio call sign
- d) Vessel flag state
- e) IMO number/ IHS Fairplay number (if applicable)
- f) Name and nationality of vessel master

DETAILS OF TRANSHIPMENT OPERATION

- a) Date and time of commencement of transshipment (UTC)
- b) Date and time of completion of transshipment (UTC)
- c) If transhipped in port:
 - i. Port State, name of port and port code
- d) If transhipped at sea:
 - i. Position (nearest 1/10th degree) at commencement of transshipment (decimal)
 - ii. Position (nearest 1/10th degree) at completion of transshipment (decimal)
- e) Hold numbers in receiving vessel in which product is stowed
- f) Destination port of receiving vessel
- g) Arrival date estimate
- h) Landing date estimate

DETAILS OF FISHERY RESOURCES TRANSHIPPED

- a) Species transhipped
 - i. Description of fish, by product type (e.g., whole, frozen fish)
 - ii. Number of cartons and net weight (kg) of product, by species
 - iii. Total net weight of product transhipped (kg)
- b) Fishing gear used¹

VERIFICATION (IF APPLICABLE)

- a) Name of observer
- b) Authority

¹ International Standard Classification of Fishing Gears (ISSCFG). This information only needs to be provided by the unloading vessel.