



SPRFMO
South Pacific Regional Fisheries Management Organisation

COMPLIANCE AND TECHNICAL COMMITTEE
11th Annual Meeting Report

23 to 26 January 2024

Document name: SPRFMO CTC11 Report 2024

Meeting location: Manta, Ecuador

URL: <https://www.sprfmo.int/meetings/ctc/11th-ctc-2024/>



Contents

1.	Opening of the Meeting	3
	a. Meeting Arrangements.....	3
	b. Adoption of the Agenda	3
	c. Meeting Documents	3
2.	Matters Concerning Implementation of Current CMMs	3
	a. SPRFMO Fisheries	3
	b. Commission Record of Vessels	4
	c. Commission VMS Implementation report	4
	d. Inspection Implementation report	5
	a. SPRFMO Observer Programme.....	5
3.	Assessment of Compliance of Members and CNCPs	6
	a. Consideration of the Revised Draft Compliance Report.....	6
	b. Follow-up actions taken since the last meeting	6
	c. Development of Provisional Compliance Report.....	7
4.	Examination of Current and Draft IUU Vessel List	7
	a. Examination of the 2024 Draft IUU Vessel List	7
	b. Examination of the Current (2023) IUU Vessel List	7
	c. Development of a 2024 Provisional IUU Vessel List	7
5.	Assessment of CNCPs Applications.....	7
	a. Current CNCPs	7
	b. Other applicants	7
6.	Conservation and Management Measures (CMMs).....	8
	a. Proposals to Amend current CMMs	8
	b. Proposals for new CMMs.....	10
	c. CMMs for review in 2024	11
7.	Climate Change	11
8.	Other Matters.....	12
	a. Election of a CTC Chairperson	12
9.	Adoption of the CTC11 Report	12
10.	Close of the Meeting	12
	ANNEX 1 – List of Participants	13
	ANNEX 2 – CTC 11 Meeting Agenda	19
	ANNEX 3 – Provisional Compliance Report	21
	ANNEX 4 – Provisional IUU Vessel List.....	22



11TH MEETING OF THE COMPLIANCE AND TECHNICAL COMMITTEE

Manta, Ecuador, 23 to 26 January 2024

CTC 11 – Report

1. Opening of the Meeting

a. Meeting Arrangements

- 1 The Chairperson of the Compliance and Technical Committee (CTC), Ms Katherine Bernal (Chile), opened the 11th meeting of the CTC. She welcomed all participants and thanked Ecuador and the Secretariat for the work involved in preparing for the meeting.
- 2 The Executive Secretary, Mr Craig Loveridge, thanked Ecuador for hosting this year's meeting, then detailed the meeting arrangements.
- 3 The Rapporteur (Mr Jacques Chaumont) was appointed. Interpretation was also provided for the meeting.
- 4 The list of Participants is contained in Annex 1.

b. Adoption of the Agenda

- 5 **The CTC adopted** the agenda (CTC11-Doc01_rev1) without modification (Annex 2). The Chairperson of the CTC presented and briefly overviewed the meeting programme (CTC11-Doc4), explaining that the implementation reports prepared by the Secretariat would be discussed in parallel with the draft compliance report.

c. Meeting Documents

- 6 **The CTC noted** the List of Meeting Documents (CTC11-Doc03_rev1).

2. Matters Concerning Implementation of Current CMMs

a. SPRFMO Fisheries

- 7 The Secretariat presented the SPRFMO Fisheries Implementation report (CTC11-Doc05_rev1). While the Executive Secretary pointed out that jack mackerel, squid, and deepwater fisheries sections are fully updated, due to changes in staff, the section on transshipments was not fully updated with data from the reporting year.
- 8 One Member noted that regarding jack mackerel, although the Scientific Committee recommended an increase of 15%, there is also a table presented in which values other than 15% are recommended for consideration.
- 9 China commented that all squid fishing occurs outside of China, and all products are regarded as imported products, and they must be capable of verifying the quantity of catch through customs declaration and inspection.
- 10 The Cook Islands is working with the Secretariat to resolve the apparent discrepancy highlighted in Table 8 of the paper.
- 11 The CTC supported the Secretariat's proposed approach to channel its transshipment data management and verification work through the Data and Information Working Group. **The CTC recommended** that the Working Group report any progress to CTC12.
- 12 **The CTC noted** the implementation report.



b. Commission Record of Vessels

- 13 The Data Manager, Mr Bernard Vigga, presented the Commission Record of Vessels Implementation report (CTC11-Doc06_rev1). The Data Manager highlighted the intention to make the Record of Vessels (RoV) into a self-upload system for Members, which would allow the Secretariat to serve the purpose of approving and authorising updates. The intended changes also would allow for implementation of useful analytics to generate insights with RoV data.
- 14 The CTC acknowledged the information provided in this report and welcomed the new Data Manager to his role. The CTC thanked the Secretariat for the support to progress the Commission's request and for the continued effort and assistance throughout the year.
- 15 Many Members expressed that the List of Authorized Active Vessels appeared to have inaccuracies that should be addressed.
- 16 One Member noted that the Secretariat identified a range of useful work to improve RoV implementation and recommended that the work be sequenced logically to prioritise the most critical work, balancing available Secretariat capacity.
- 17 The CTC urged the Secretariat to complete its report identifying any outstanding data as a priority, while also urging Members with outstanding data to provide those to the Secretariat as soon as possible.
- 18 The CTC noted the importance of Members and CNCPs meeting their obligation to provide complete vessel data in accordance with Annex 1 of CMM 05-2023 and noted that failure to fulfil this obligation should be included in the annual compliance process.
- 19 **The CTC noted** the Implementation report.

c. Commission VMS Implementation report

- 20 The Compliance Manager, Mr Randy Jenkins, presented the Commission VMS Implementation report (CTC11-Doc07). The Compliance Manager noted that the VMS was a useful and reliable tool for tracking vessels in the SPRFMO Convention Area.
- 21 The CTC commended the Secretariat on its continued development and enhancement of the Commission VMS Implementation report, and for the efforts made to respond to last year's feedback. The CTC noted that the number of identified VMS issues of Members and CNCPs had decreased from previous years.
- 22 One Member noted that the current issues experienced by the Secretariat related to SKYWAVE units is a solvable issue, requiring engagement with the SPRFMO VMS service provider, *Collecte Localisation Satellites* (CLS). Resolution of the issue will require CLS to reconfigure its system to access the SKYWAVE data portal, and the Member urged the Secretariat to continue work with CLS on this and offered its assistance in this regard.
- 23 One Member asked if research vessels operating in the Convention Area and not reporting VMS had conducted research activities without any fishing, and if they had fished, the amounts of fish caught during trips.
- 24 Chile clarified that its research vessel did not make any catches, and Australia clarified that it directed its research vessel to conduct its planned research only within the Australian EEZ.
- 25 One Member recalled that during CTC6 there had been a discussion of a Peruvian research vessel operating in the Convention Area without being included in the Commission RoV and not reporting to VMS. While there was a decision at that time not to include the vessel on the IUU Vessel List, CTC6 agreed that it should have been in the Commission RoV and transmitting VMS positions. The Member noted that CMM 06-2023 (VMS) should be amended to require research vessels to transmit VMS positions to the Commission VMS, and to require such vessels to notify the Secretariat in advance of their research plan and intended research activities for transparency purposes.



26 The CTC agreed on the importance of clarifying the requirements for research vessels operating in the Convention Area.

27 **The CTC noted** the VMS Implementation Report.

d. Inspection Implementation report

28 The Secretariat presented the Inspection Implementation Report (CTC11-Doc08).

29 The CTC expressed its thanks to Members that carry out port inspections and noted the high number of port inspections as well as the generally high level of compliance detected from the port inspections.

30 **The CTC noted** that paragraph 7 of CMM 07-2022 only requires Members to designate ports if they intend to allow access to foreign fishing vessels in accordance with CMM 07-2022. Based on this common understanding, the CTC did not recommend any amendment to paragraph 7.

31 Some Members shared their view that it is too early to include the operationalisation of the FAO Global Information Exchange System (GIES) into CMM 07-2022 but that this could be considered at a later stage in view of first experiences with the system.

32 One Member expressed that it wishes to carry forward a GIES pilot project to improve the systemic submission of information to the Secretariat.

33 One Member reported that all of its vessels which operate on the high seas are already in the global record, and an agenda at the upcoming GIES Information Exchange Working Group Meeting will discuss connections with RFMOs.

34 Some Members noted the potential benefits of using GIES to support port inspections information exchange.

35 Several Members recommended Members, CNCPs and the Secretariat to explore how the GIES can be used to support port inspection reporting and data management.

36 The United States shared that if Members are interested in training, the US Coast Guard has done such training on high seas boarding and inspection before and welcomed engagement with other Members on this issue.

37 One Member sought clarification on how to access the SPRFMO inspection flag, pennant, and handbook, and suggested learning from existing practices of other RFMOs such as NPFC.

38 **The CTC noted** the Inspection Implementation report and **recommended** that the Secretariat make available high-resolution images of the SPRFMO inspection flag and pennant on the SPRFMO website to allow Members to procure their own if needed.

a. SPRFMO Observer Programme

39 The Secretariat presented the SPRFMO Observer Programme Implementation report (CTC11-Doc09).

40 One Member expressed the impossibility for its observer programme to be assessed by the current observer accreditation provider and asked the CTC to consider the circumstances and recommend that the Commission seek an alternative provider. In relation to the accreditation deadline, the Member also noted potential differences in the duties and requirements for fishing vessels and carrier vessels. One Member noted that existing data collection for transshipment applies to all fishing vessels including carriers.

41 One Member commented that fishery or gear-specific accreditations should not apply to Members who have already received accreditation in most fisheries. The Member also suggested automatic renewal of accreditation for Members who have already been accredited.

42 One Member voiced concern about observer programmes not being accredited due to lack of experience related to Convention resources and sought to carry out intersessional collaboration with the Secretariat on such matters.



- 43 The European Union noted that the review by the accreditation evaluator of the European Union's Observer Programme covered the national programmes of four European Union Member States but that the accreditation was relevant for all European Union Member States' programmes.
- 44 **The CTC noted** the Observer Programme implementation report **and recommended** the Commission adopt the Final Evaluation Report by the SPRFMO Observer Programme Accreditation Evaluator and grant accreditation to the European Union Observer Programme.
- 45 **The CTC noted** that the contract with the SPRFMO Observer Programme Accreditation Evaluator had expired **and recommended** that the discussions on an extension of the contract or the selection of an alternative provider should continue at the Commission, taking into account the need for all Members to have the opportunity to have their programmes accredited.

3. Assessment of Compliance of Members and CNCPs

a. Consideration of the Revised Draft Compliance Report

- 46 The Compliance Manager introduced the revised Draft Compliance Report (CTC11-Doc10) which also included an Excel summary of VMS issues (CTC11-Doc10.1). The Chairperson noted that, consistent with paragraph 11 of CMM 10-2020, the revised Draft Compliance Report had been reviewed in advance of the meeting and a status of "Non-Compliant, No Further Action" had been assigned to those situations that met the criteria.
- 47 The CTC reviewed each of the 72 possible compliance issues, assigned a compliance status, and where appropriate, identified further actions for each issue as reflected in the Provisional Compliance Report (COMM12-WP02). CTC was not able to reach consensus on one issue pertaining to CMM 13 (Exploratory Fisheries), and that matter is being forwarded to the Commission for resolution.
- 48 The Russian Federation shared its view that the fishing vessel *Admiral Shabalin* was authorised to engage in fishing in the Convention Area and its catch composition consisted of redbait as the target species with Alfonsino as the bycatch species. The bycatch of Alfonsino is not qualified as an exploratory fishery and proposed a compliance status of "Not Assessed." One Member supported the proposal.
- 49 The Russian Federation further noted that existing SPRFMO CMMs have no identified appropriate level of bycatch and "direct fishery" as in other RFMOs, such as NAFO, where for any one haul, the species which comprises the largest percentage, by weight, of the total catch shall be considered as being taken in a directed fishery for stock concerned. Russia indicated, that in accordance with FAO standards pelagic trawling, the fishing method, also referred to as midwater trawling could not be identified as bottom fishery.
- 50 Many Members recommended a compliance status of "Priority Non-Compliant," noting that the vessel had indicated that its target species was jack mackerel, that SC11 (SC11-Doc11) had advised that the alfonsino and redbait catches of the vessel were inconsistent with a targeted jack mackerel fishery, that the vessel did not have authorisation to engage in an exploratory fishery for these species, and considering that the Russian Federation had not provided any additional information.
- 51 **The CTC agreed** on a compliance status of "Priority Non-Compliant; Further Action" for VMS and transshipment compliance issues pertaining to Liberia. Liberia agreed to submit an Action Plan within four months to ensure the compliance issues are addressed.

b. Follow-up actions taken since the last meeting

- 52 The Secretariat presented document CTC11-Doc11 summarising the actions taken by Members and CNCPs in response to issues identified from previous meetings requiring follow-up actions.
- 53 **The CTC noted** the current status of commitments made last year on follow-up actions.



c. Development of Provisional Compliance Report

54 The Secretariat, in consultation with the CTC Chairperson, developed the Provisional Compliance Report (COMM12-WP02) based on the CTC discussions and the 2024 Draft Compliance Report (Revised).

55 **The CTC adopted** the Provisional Compliance Report (COMM12-WP02_rev2) and the executive summary and forwarded it to the Commission for consideration.

4. Examination of Current and Draft IUU Vessel List

a. Examination of the 2024 Draft IUU Vessel List

56 The CTC examined the Draft IUU Vessel List (CTC11-Doc12), which included one vessel.

57 The Russian Federation confirmed that it was authorized directed fishing for jack mackerel and redbait which have been subject to fishing in the previous ten years that was confirmed by Secretariat CIRC. Russia fishing vessel used midwater trawl for this fishery. Russia has identified alfonsino as a bycatch in the above fishery. The Russian Federation expressed that Scientific Committee did not adopted report of the Species Composition Task Team (SC11-Doc11). The Russian Federation further expressed that in paragraph 240 of the 11th meeting of the Scientific Committee Report, SC noted SC11-Doc11 and provided different recommendations to the Commission. In this regards Russia do not see any grounds to keep fishing vessel *Admiral Shabalin* in the draft IUU Vessel List.

58 Many Members disagreed with the views expressed by the Russian Federation and considered that there were clear grounds for including the vessel in the Draft IUU Vessel List.

b. Examination of the Current (2023) IUU Vessel List

59 **The CTC noted** that there are no vessels on the Current IUU Vessel List (CTC11-Doc12), and therefore no discussions were held, and no recommendations were made with respect to the Current IUU Vessel List.

c. Development of a 2024 Provisional IUU Vessel List

60 Following discussion, no consensus was reached to remove the vessel from the Draft IUU Vessel List, and so the vessel was retained on the 2024 Provisional IUU Vessel List.

5. Assessment of CNCPs Applications

a. Current CNCPs

61 The CTC considered two applications for renewal of CNCP status, from Curaçao and Liberia.

62 Curaçao was unable to attend the meeting in person and provided a written explanation to the Secretariat in this regard upon request of the CTC.

63 One Member expressed that a hybrid attendance option for the meeting should be arranged to maximize future attendance of Members and CNCPs.

64 The CTC reflected on the compliance issues identified for Liberia and requested that it work diligently over the next year to prevent the reoccurrence of compliance issues.

65 **The CTC recommended** the renewal of the CNCP status of Curaçao and Liberia to the Commission.

b. Other applicants

66 There were no new applications for CNCP status.



6. Conservation and Management Measures (CMMs)

a. Proposals to Amend current CMMs

i. CMM 01-2023 *Trachurus murphyi*

67 There were five proposals submitted to the Commission to amend CMM 01-2023. Each proponent introduced its proposal to the CTC: COMM12-Prop05 (Belize), COMM12-Prop06 (Chile), COMM12-Prop07 (the European Union), COMM12-Prop08 (the Russian Federation), COMM12-Prop09 (Vanuatu).

68 One Member requested information from Belize on the historic catch, future plan, and where the vessels are coming from.

69 One Member expressed that the proposal from Chile would make a good starting point to find a solution.

70 The EU explained that its proposal aimed to address the finding of the 2023 review panel that insufficient attention had been given during the negotiations at the 2023 annual meeting to ideas, factors, criteria, and proposals of interest to the objecting Member and similarly situated Members. On this basis, the European Union considered that it was relevant to give consideration to the extent to which Members had actively fished for jack mackerel in the Convention Area and had actively contributed to the conduct and dissemination of scientific research on jack mackerel. The European Union noted that under its proposal, which takes the 2017-2022 allocation as a point of departure, all Members would receive an increase in their allocation in absolute terms and that no Member would see a decrease in their allocation percentage beyond what they had accepted at the 2023 annual meeting.

71 One Member expressed concern that this proposal was technically difficult to understand, and that Member's contributions to CJM research were not reflected in the figures.

72 The European Union noted that the Review Panel's recommendation for alternative measures was intended to resolve in the immediate term the objecting Member's situation for 2023 but was not intended to be an arrangement valid for the duration of the allocation adopted by the Commission at the 2023 annual meeting. The European Union noted that turning the alternative measures recommended by the Review Panel for 2023 into a long-term arrangement without giving consideration to the situation and interests of Members that are similarly situated to the objecting Member would result in unjustifiable procedural discrimination against similarly situated Members.

73 **The CTC forwarded** these proposals to the Commission for its consideration.

ii. COMM12-Prop10 regarding Bottom Fishing (CMM 03-2023)

74 Australia introduced its proposal to amend CMM 03 on Bottom Fishing. Australia noted that paragraph 19 of CMM 03-2023 requires the Commission to apply a minimum 70% protection of suitable habitat for each modelled vulnerable marine ecosystem (VME) indicator taxa from 2024. The Commission tasked SC11 to advise on modifications to boundaries of Bottom Trawl Management Areas (BTMAs) to achieve the agreed 70% protection. SC11 recommended changes to BTMAs to achieve the agreed 70% protection based on SC11-DW 05 presented by Australia and New Zealand. The proposal amends Annex 4 of CMM 03-2023 to implement the Commission's obligation under paragraph 19 of the measure, and SC11-recommended changes to BTMAs, to achieve the agreed 70% protection.



- 75 The High Seas Fishing Group (HSFG) made the following statement. *“We are now faced with a further reduced area with a move to protect 70% of the remaining area under the proposal within SC11-DW05. If this reduction is approved at Commission, the remaining open area will be reduced by an additional 50% from .13 % to .07% of the entire SPRFMO Convention Area. This proposed reduction is based entirely on models and predictions with information sourced for the most part from inside the New Zealand EEZ. On the basis of the miniscule area remaining available to us to fish in under the current level of spatial management, it is now impossible for our impacts to be significantly adverse. There are currently no Australian vessel fishing out there and no NZ vessels fishing out there due to the decisions made by this commission. there are 2 vessel that are now tied up for sale due to the measures this commission took, I asked you to keep this open until the commission meeting.”*
- 76 Some Members expressed the desire to postpone a decision on this proposal until the 2025 Annual Meeting of the Commission.
- 77 Many Members expressed strong concern at any suggestion to postpone a decision on this proposal, noting that it is merely implementing an existing Commission decision that was based on detailed and robust SC, CTC, and Commission consideration and advice in 2022 and 2023. Many Members expressed their desire to move this matter forward to the Commission in the absence of technical questions or clarifications on the proposal.
- 78 **The CTC forwarded** this proposal to the Commission for its consideration.
- iii. COMM12-Prop11 regarding Deepwater Species (CMM 03a-2023)*
- 79 New Zealand introduced its proposal to amend the CMM 03a on Deepwater species.
- 80 One Member expressed the desire to discuss the matter bilaterally with the proponent.
- 81 **The CTC forwarded** this proposal (COMM12-Prop11_rev2) to the Commission for its consideration.
- iv. COMM12-Prop12 regarding IUU Vessel List (CMM 04-2020)*
- 82 New Zealand introduced its proposal to amend CMM 04.
- 83 One Member expressed concern about the SPRFMO CMM making obligations about subsidies. Another Member expressed concern about the potential impact given the recent WTO subsidies agreement has not yet entered into force.
- 84 **The CTC forwarded** this proposal (COMM12-Prop12_rev2) to the Commission for its consideration.
- v. COMM12-Prop13 regarding Port Inspections (CMM 07-2022)*
- 85 Ecuador introduced its proposal to amend CMM 07.
- 86 Many Members provided comments on this proposal, prompting Ecuador to develop a revised version of its proposal.
- 87 **The CTC forwarded** this proposal to the Commission for its consideration.
- vi. COMM12-Prop14 regarding Transhipment (CMM 12-2023)*
- 88 The United States introduced its joint proposal with New Zealand to amend CMM 12.
- 89 Many Members discussed the joint proposal, and the United States presented a revised version for the consideration of the CTC.
- 90 The proponents of the proposal clarified that, consistent with the requirements of the existing measure, the proposal applies to all fishing vessels including carriers and catcher vessels that receive catch from another fishing vessel.
- 91 The proponents of the proposal noted, in response to a question from a Member, that observers on carrier vessels (that are not catcher vessels) are not required to be accredited under CMM 16-2023.



92 One Member suggested considering this proposal together with Proposal 18, taking into account that both proposals relate to squid fisheries.

93 The CTC discussed the need for additional revisions of the proposal.

94 **The CTC forwarded** this proposal (COMM12-Prop14_rev1) to the Commission for its consideration.

vii. COMM12-Prop16 regarding Potting (CMM 14b-2023)

95 The Cook Islands introduced its proposal to amend CMM 14b.

96 Some Members requested technical clarifications from the proponent.

97 **The CTC forwarded** this proposal to the Commission for its consideration.

viii. COMM11-Prop17 regarding Observer Programme (CMM 16-2022)

98 The Russian Federation introduced its proposal on CMM 16-2022.

99 Many Members expressed concern about the accreditation and re-accreditation process, as well as the potential discrepancies in accreditation timelines among members.

100 One Member expressed support for the proposal and suggested, alternatively, that the proponent provide an information paper to the Secretariat, and the Secretariat transmit the information paper to MRAG and facilitate further discussion if needed. The Member views the problem as not a bilateral one between the UK and Russia, but as an issue that should be addressed by the Commission.

101 Following discussions with other Members, the Russian Federation shared a second revision of its proposal.

102 **The CTC forwarded** this proposal (COMM11-Prop17_rev2) to the Commission for its consideration.

ix. COMM12-Prop18 regarding Squid (CMM 18-2023)

103 The United States and New Zealand submitted a joint proposal (COMM12-Prop18) to the Commission to amend CMM 18. Some Members expressed support for the proposal.

104 Following discussions, the proponents submitted a revised version.

105 One Member expressed concern about the proposed increase in observer coverage for squid fisheries.

106 **The CTC forwarded** this proposal (COMM12-Prop18_rev1) to the Commission for its consideration.

b. Proposals for new CMMs

i. COMM12-Prop21 Proposed CMM for a new Exploratory Toothfish fishery (EU)

107 The European Union put forward its proposal seeking to create a new Exploratory Toothfish Fishery.

108 **The CTC recommended** that this proposal be adopted by the Commission.

ii. COMM12-Prop22 Proposed CMM for a new Exploratory Toothfish Fishery (AUS)

109 Australia put forward its proposal seeking to create a new Exploratory Toothfish Fishery.

110 **The CTC noted** that no technical or compliance issues were raised with the proposal.

111 **The CTC noted** that it will be important for the Commission to consider future management of *Dissostichus mawsonii* in this exploratory fishery with regard to management arrangements in the Commission for the Conservation of Antarctic Marine Living Resources (CCAMLR) and **recommended** the Commission discuss this when considering this proposal.

112 **The CTC recommended** that this proposal be adopted by the Commission.

iii. COMM12-Prop23 Proposed CMM for a new Exploratory Hapuka Fishery (COK)

113 Cook Islands put forward its proposal seeking to create a new Exploratory Hapuka Fishery.



114 One Member expressed that it wished to discuss bilaterally with the proponent regarding this proposal.

115 **The CTC forwarded** this proposal to the Commission for its consideration.

iv. COMM12-Prop26 Proposed decision to create a WG on Surveillance and Monitoring (ECU)

116 Ecuador put forward its proposal seeking to create a Working Group on Surveillance and Monitoring.

117 Many Members expressed the importance of the WG having a focus on electronic monitoring-related work.

118 Following discussions with Members, the proponent adjusted the focus of the proposal to be on the development of electronic monitoring standards, and submitted a revised version.

119 Pew Charitable Trusts suggested the addition of language to Section 6: Collaboration and Consultation and Section 7: General arrangements on including external technology providers in the development of the electronic monitoring standards.

120 One Member identified the importance of having hybrid options when holding WG meetings.

121 **The CTC forwarded** this proposal (COMM12-Prop26_rev1) to the Commission for its consideration.

c. CMMs for review in 2024

i. C1. CMM Review Strategy

122 The Compliance Manager put forward a proposal with recommendations for a Conservation and Management Measures Review Strategy (CTC11-Doc14).

123 Many Members expressed concerns regarding the proliferation of working groups that Members would be expected to participate in, and the lowest common denominator of views shared by working group members on the CMMs being recommended to the CTC for review, potentially limiting the ambition of the organisation and Members. Those Members acknowledged the potential challenges posed by the strategy, and the importance of flexibility to review CMMs on an as-needed basis.

124 The CTC expressed gratitude to the Secretariat for preparing this proposal but **agreed to** seek an alternative direction at a future meeting with respect to the CMM review clauses.

7. Climate Change

125 ECO presented DSCC's observer paper (COMM12-Obs03) expressing its support for the Commission's adoption of Decision 13-2023, which works towards effective management mechanisms to address projected impacts of climate change within the Convention Area.

126 Many Members agreed that climate change is an important issue to discuss in this forum and that continued Commission guidance would be useful as to CTC's role in considering climate change impacts.

127 Some Members mentioned that the vulnerability of Conservation and Management Measures (CMMs) to climate change impacts should be considered to reduce the organisation's carbon footprint.

128 Some Members proposed the inclusion of hybrid meeting options as an action step to reduce travel emissions.

129 **The CTC recommended** that the Commission give advice to the CTC on specific items to be discussed under the Climate Change agenda next year.



8. Other Matters

130 The Secretariat expressed gratitude to Ecuador for the overall meeting organisation and to the United States for their ongoing funding support for compliance-related issues through the existing five-year MOU between the National Oceanic and Atmospheric Administration (NOAA) and SPRFMO, which for this funding period has largely supported the Secretariat's preparatory work and provision of logistical support for this year's CTC meeting.

a. Election of a CTC Chairperson

131 The Chairperson asked if there were any candidates or proposals for the CTC Chairperson.

132 Many Members expressed thanks to the CTC Chairperson for her hard work during her two years serving in this position.

133 There being no candidates or proposals, the CTC Chairperson requested that the position be considered further during the Commission meeting.

9. Adoption of the CTC11 Report

134 **The CTC adopted** its meeting report on 26 January 2024 at 20:38 hrs.

10. Close of the Meeting

135 The meeting was closed on 26 January 2024 at 20:40 hrs.



11TH MEETING OF THE COMPLIANCE AND TECHNICAL COMMITTEE (CTC)

Manta, Ecuador, 23 to 26 January 2024

ANNEX 1 – List of Participants

CTC CHAIRPERSON

Katherine BERNAL
CTC Chairperson
kbernal@subpesca.cl

MEMBERS

AUSTRALIA

Mat KERTESZ
Department of Agriculture, Fisheries and Forestry
mat.kerteszk@aff.gov.au

Viv FERNANDEZ
Australian Fisheries Management Authority
viv.fernandes@afma.gov.au

BELIZE

Robert ROBINSON
Belize High Seas Fisheries Unit
deputydirector@bhsfu.gov.bz

Ernie HOWE
Belize High Seas Fisheries Unit
ernie.howe@bhsfu.gov.bz

CHILE

Mauro URBINA
Undersecretariat for Fisheries and Aquaculture
murbina@subpesca.cl

Valentina MUÑOZ
Undersecretariat for Fisheries and Aquaculture
vmunoz@subpesca.cl

Salvador VEGA
Ministry of Foreign Affairs
svega@minrel.gob.cl

Pablo ORTIZ
SERNAPESCA
portiz@sernapesca.cl

Andres COUVE
SONAPESCA
andrescouve@entelchile.net

CHINA

Le LI
Ministry of Agriculture and Rural Affairs
bofdwf@126.com

Xiaobing LIU
Shanghai Ocean University
xiaobing.liu@hotmail.com



Gang ZHAO
China Overseas Fisheries Association
zhaogang@cofa.net.cn

Gang LI
Shanghai Ocean University
g-li@shou.edu.cn

Ce LIU
China Overseas Fisheries Association
liuce@cofa.net.cn

Bo BAI
Ministry of Foreign Affairs
bai_bo@mfa.gov.cn

Li YAN
Ministry of Foreign Affairs
763682202@qq.com

Leilei ZHOU
Shanghai Ocean University
llzou@hotmail.com

Bing XUE
Fujian Pingtan County Ocean Fishery Group
739124177@qq.com

Qiting LU
Zhoushan Hongrun Ocean Fisheries
baitai3353@sina.com

Youhui MAO
Zhoushan Huaying Ocean Fisheries
zshy689@126.com

COOK ISLANDS

Steve BROUWER
Ministry of Marine Resources
steve@saggitus.co.nz

ECUADOR

Andres ARENS
Viceministry of Aquaculture and Fisheries
aarens@produccion.gob.ec

Alejandro MOYA
Viceministry of Aquaculture and Fisheries
amoyad@produccion.gob.ec

Jose Isidro ANDRADE
Viceministry of Aquaculture and Fisheries
jandrade@produccion.gob.ec

Rebeca ESPINOZA
Viceministry of Aquaculture and Fisheries
respinoza@produccion.gob.ec

Hugo CHAVEZ
Viceministry of Aquaculture and Fisheries
hchavez@produccion.gob.ec

Belen INTRIAGO
Viceministry of Aquaculture and Fisheries
bintriago@produccion.gob.ec

Gaston HURTADO
Viceministry of Aquaculture and Fisheries
ghurtado@produccion.gob.ec

Tanya VINUEZA
Viceministry of Aquaculture and Fisheries
tvinueza@produccion.gob.ec

Janneth CONTRERAS
Viceministry of Aquaculture and Fisheries
ncontreras@produccion.gob.ec

Jennifer BRIONES
Viceministry of Aquaculture and Fisheries
jbriones@produccion.gob.ec

Gian PEROTTI
Transmarina
gperotti@transmarina.com



Jorge COSTAIN
Transmarina
jcostain@transmarina.com

Dana ZAMBRANO
Transmarina
danazambrano@gmail.com

Valeria PALAU
Private sector
valepalau16@gmail.com

Luigi BENINCASA
ATUNEC
info@atunec.com.ec

EUROPEAN UNION

Fiona HARFORD
European Commission
fiona.harford@ec.europa.eu

Benoit MARCOUX
European Commission
benoit.marcoux@ext.ec.europa.eu

Johanna FERRETTI
German Federal Ministry of Food and Agriculture
johanna.ferretti@bmel.bund.de

Rob BANNING
Parlevliet & Van der Plas
rba@pp-group.eu

FAROE ISLANDS

Olavur DALSGARD
Ministry for Foreign Affairs, Industry and Trade
olavursd@mfa.fo

Andras KRISTIANSEN
Ministry of Fisheries and Infrastructure
andras.kristiansen@fisk.fo

NEW ZEALAND

Duncan McDONALD
Ministry for Primary Industries
duncan.mcdonald@mpi.govt.nz

Scott McSORLEY
Ministry for Primary Industries
scott.mcsorley@mpi.govt.nz

PANAMA

Vivian QUIROS
Aquatic Resources Authority
vquiros@arap.gob.pa

RUSSIAN FEDERATION

Dmitry KREMENYUK
Federal Agency for Fisheries
d.kremenyuk@fishcom.ru

Alexander GLUBOKOV
Federal Research Institute of Fisheries and
Oceanography
glubokov@vniro.ru

Mikhail LARIONOV
Akros Company
lm@noreboafrika.com

CHINESE TAIPEI

Ming-Fen WU
Fisheries Agency
mingfen@ms1.fa.gov.tw

Han-Ching CHUANG
Fisheries Agency
hanching@ms1.fa.gov.tw

Shih-Ming KAO
National Sun Yat-Sen University
kaosm@udel.edu



UNITED STATES OF AMERICA

Alexa COLE
NOAA National Marine Fisheries Service
alexa.cole@noaa.gov

Terry BOONE
NOAA Pacific Islands Enforcement Division
terry.boone@noaa.gov

Colin BRINKMAN
US Department of State
brinkmancc@state.gov

Laura CIMO
NOAA National Marine Fisheries Service
laura.cimo@noaa.gov

Elizabeth O'SULLIVAN
NOAA Office of the General Council
elizabeth.osullivan@noaa.gov

Emily REYNOLDS
NOAA National Marine Fisheries Service
emily.reynolds@noaa.gov

Samantha EMMERT
Office of Marine Conservation
emmertsa@state.gov

VANUATU

Gerry GEEN
Unimed Glory
ggeen@bigpond.net.au

Anthony ZUANICH
Unimed Glory SA
tony@unimedshipping.com

CNCPs

LIBERIA

Frederick Jonah VARNIE
Liberia Maritime Authority
varnierosa2016@gmail.com

OBSERVER STATES

TONGA

Mele ATUEKAHO
Ministry of Fisheries
meletoaauekaho@gmail.com

Manatu SAMANI
Ministry of Fisheries
manatumaile@gmail.com

INTER GOVERNMENTAL ORGANISATIONS

FAO

Daniel MOLINA
FAO
daniel.molinacarcamo@fao.org

NON-GOVERNMENTAL ORGANISATIONS

CALAMASUR

Alfonso MIRANDA
National Society of Industries
alfonso.miranda@pezex.pe

Conservation International

Alexandra OLIVEIRA PINTO
IDDRI
alexandra.oliveira-pinto@iddri.org



Klaudija CREMERS
IDDR

Klaudija.cremers@iddri.org

ECO NZ

Barry WEEBER
Environment and Conservation Organisations of
NZ

baz.weeber@gmail.com

HSFG

Andy SMITH
High Seas Fisheries Group

andy@latsouth.co.nz

The PEW Charitable Trusts

John BOHORQUEZ
The Ocean Foundation
jbohorquez@oceanfdn.org

Robin DAVIES
PEW
rdavies@pewtrusts.org

SPRFMO CHAIRPERSONS

Jim IANELLI
SC Chairperson
jim.ianelli@noaa.gov

Jimmy VILLAVICENCIO
FAC Chairperson
jvillavicencio@v-a.com.ec

Ana CADENA
FAC Chairperson support
acadena@gmail.com

LOCAL SUPPORT

Dana ZAMBRANO
Influencers EC
danazambrano@gmail.com

Valeria PALAU
Influencers EC
valepalau16@gmail.com

Edwin ZAMBRANO
Influencers EC
edwinzambrano@gmail.com

Liana ZAMBRANO
Influencers EC
lianazambrano@gmail.com

Johana BETANCOURT
Influencers EC
jvbelu@gmail.com

RAPPORTEUR

Jacques CHAUMONT
Urban Connections
chaumont@urbanconnections.jp

INTERPRETATION

Cynthia DIEZ
CARDISO
cdiez@cardisocr.com

Gaby RETANA
CARDISO
gaby.retana@gmail.com

Carlos MONGE
CARDISO
camovas_22@hotmail.com



SPRFMO SECRETARIAT

Craig LOVERIDGE
Executive Secretary
cloveridge@sprfmo.int

Susana DELGADO SUÁREZ
Coordination and Communications Officer
sdelgado@sprfmo.int

Randy JENKINS
Compliance Manager
rjenkins@sprfmo.int

Bernard VIGGA
Data Manager
bvigga@sprfmo.int



11TH MEETING OF THE COMPLIANCE AND TECHNICAL COMMITTEE (CTC)

Manta, Ecuador, 23 to 26 January 2024

ANNEX 2 – CTC 11 Meeting Agenda

1. OPENING OF THE MEETING
 - a. Meeting Arrangements
 - b. Adoption of the Agenda
 - c. Meeting Documents
2. MATTERS CONCERNING IMPLEMENTATION OF CURRENT CMMs
 - a. SPRFMO Fisheries (CMMs 01, 03, 03a, 18)
 - b. Commission Record of Vessels (CMM 05)
 - c. Commission VMS (CMM06)
 - d. SPRFMO Inspections (CMMs 07, 11)
 - e. SPRFMO Observer Programme (*including evaluation of Observer Programmes*) (CMM 16)
3. ASSESSMENT OF COMPLIANCE OF MEMBERS AND CNCPs
 - a. Consideration of the Draft Compliance Report
 - b. Follow-up actions taken since the last meeting
 - c. Development of Provisional Compliance Report
4. EXAMINATION OF CURRENT AND DRAFT IUU LIST
 - a. Examination of the 2024 Draft IUU List
 - b. Examination of the Current (2023) IUU List
 - c. Development of a 2024 Provisional IUU List
5. ASSESSMENT OF CNCPs APPLICATIONS
 - a. Current CNCPs
 - b. Other applicants
6. CONSERVATION AND MANAGEMENT MEASURES
 - a. Proposals to amend current CMMs
 - a1. CMM 01 *Trachurus murphyi* (BLZ)
 - a2. CMM 01 *Trachurus murphyi* (CHL)
 - a3. CMM 01 *Trachurus murphyi* (EU)
 - a4. CMM 01 *Trachurus murphyi* (RUS)
 - a5. CMM 01 *Trachurus murphyi* (VUT)
 - a6. CMM 03 Bottom Fishing (AUS)
 - a7. CMM 03a Deepwater species (NZL)
 - a8. CMM 04 IUU Vessel List (NZL)
 - a9. CMM 07 Port Inspections (ECU)
 - a10. CMM 12 Transshipment (USA-NZL)
 - a11. CMM 14b Potting (COK)
 - a12. CMM 16 Observer Programme (RUS)
 - a13. CMM 18 Squid (USA-NZL)
 - b. Proposals for new CMMs
 - b1. Proposed CMM for a new Exploratory Toothfish Fishery (EU)
 - b2. Proposed CMM for a new Exploratory Toothfish Fishery (AUS)
 - b3. Proposed CMM for a new Exploratory Hapuka Fishery (COK)
 - b4. Proposed Decision to create a WG on Surveillance and Monitoring (ECU)
 - c. CMMs for review in 2024
 - c1. CMM Review Strategy



7. CLIMATE CHANGE
8. OTHER MATTERS
 - a. Election of a CTC Chairperson
9. ADOPTION OF THE CTC REPORT
10. CLOSE OF MEETING



11TH MEETING OF THE COMPLIANCE AND TECHNICAL COMMITTEE (CTC)

Manta, Ecuador, 23 to 26 January 2024

ANNEX 3 – Provisional Compliance Report

As per paragraph 18 of CMM 10-2020 (CMS), the Provisional Compliance Report shall not constitute public domain data, but the Final Compliance Report and the executive summary shall be public domain data (refer to the COMM12-Report Annex 6a).



11TH MEETING OF THE COMPLIANCE AND TECHNICAL COMMITTEE (CTC)

Manta, Ecuador, 23 to 26 January 2024

ANNEX 4 – Provisional IUU Vessel List

As per standard SPRFMO practice, the Provisional IUU Vessel List is not a public domain document, noting that the Final IUU Vessel List is contained in the COMM12-Report as Annex 6b.