

### CMM 10-2020 ANNEX 21

# Template for the Implementation Report of the SPRFMO Conservation and Management Measures

SPRFMO Secretariat

This Implementation Report covers the reporting period **01 October 2022 to 30 September 2023**. (Notwithstanding, certain data requested may reference other timeframes as noted). **All Members/CNCPs**, regardless of whether their vessels engaged in activity in the SPRFMO Convention Area, are required to submit their Implementation report with the relevant sections completed (including "NIL") no later than **90 days before** the start of the annual meeting.

**REPORT DUE: 25 October 2023** 

Reporting Member/CNCP: Chinese Taipei Date report submitted: 25/10/2023

Maximum Number of Potential Questions/Tables per CMM to Assess Obligations

| СММ       | IM Conservation and Management Measure   |     |  |  |  |  |  |
|-----------|--|-----|--|--|--|--|--|
| 01-2023   | 2023 Trachurus murphyi   |     |  |  |  |  |  |
| 02-2022   | Standards for the Collection, Reporting, Verification and Exchange of Data   | 8   |  |  |  |  |  |
| 03-2023   | Bottom Fishing in the SPRFMO Convention Area   | 19  |  |  |  |  |  |
| 03a-2023  | Deepwater Species in the SPRFMO Convention Area  | 12  |  |  |  |  |  |
| 04-2020   | Establishing a List of Vessels Presumed to have Carried Out IUU Activities in the SPRFMO Convention Area                 | 12  |  |  |  |  |  |
| 05-2023   | The Commission Record of Vessels Authorised to Fish in the SPRFMO Convention Area  | 6   |  |  |  |  |  |
| 06-2023   | The Vessel Monitoring System in the SPRFMO Convention Area   | 30  |  |  |  |  |  |
| 07-2022   | Minimum Standards of Inspection in Port  | 15  |  |  |  |  |  |
| 08-2023   | Gillnets in the SPRFMO Convention Area   | 6   |  |  |  |  |  |
| 09-2017   | Minimising Bycatch of Seabirds in the SPRFMO Convention Area   | 11  |  |  |  |  |  |
| 10-2020   | Establishment of a Compliance and Monitoring Scheme in the SPRFMO Convention Area  | 2   |  |  |  |  |  |
| 11-2015*  | Boarding and Inspection Procedures in the SPRFMO Convention Area (*Note: CMM 11-2023 doesn't take effect 1 October 2023) |     |  |  |  |  |  |
| 12-2023   | Regulation of Transhipment and Other Transfer Activities   | 11  |  |  |  |  |  |
| 13-2021   | Management of New and Exploratory Fisheries in the SPRFMO Convention Area  | 8   |  |  |  |  |  |
| 14a-2022  | Exploratory Fishing for Toothfish by NZ-Flagged Vessels in the SPRFMO Convention Area (NZ Only)                          | 9   |  |  |  |  |  |
| 14b-2023  | Exploratory Potting Fishery in the SPRFMO Convention Area (COK Only)   | 23  |  |  |  |  |  |
| 14e- 2023 | Exploratory Fishing for Toothfish by European Union-Flagged Vessels in the SPRFMO Convention Area (EU Only)              | 13  |  |  |  |  |  |
| 15-2016   | Vessels without Nationality in the SPRFMO Convention Area  | 2   |  |  |  |  |  |
| 16-2023   | The SPRFMO Observer Programme  | 13  |  |  |  |  |  |
| 17-2022   | Fishing Gear and Marine Plastic Pollution in the SPRFMO Convention Area  | 10  |  |  |  |  |  |
| 18-2023   | Management of the Jumbo Flying Squid Fishery   | 12  |  |  |  |  |  |
| 19-2021   | Markings and Identification of Fishing Vessels (entered into force 01 Jan 2023)  | 6   |  |  |  |  |  |
|           | TOTAL  | 251 |  |  |  |  |  |

 $<sup>^{\</sup>rm 1}$  Annex 2 has been amended by the Secretariat as per paragraph 5b of CMM 10-2020 (CMS).



## CMM 01-2023 Trachurus murphyi<sup>1</sup>

### **General Provisions**

| Para 1: Did your flagged vessels operate in the <i>T. murphyi</i> fishery in, or adjacent to (including waters under   |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
| National Jurisdiction), the Convention Area or did any vessels land or tranship T. murphyi within your ports?  |  |  |  |  |  |  |  |
| YES   If yes, complete the following tables and relevant questions on this CMM   |  |  |  |  |  |  |  |
| NO If no, and you did not have CJM quota, skip to the next CMM. If you had CJM quota, update Table 1 (Catch Limit/Transfers) on the next page before proceeding to the next CMM.   |  |  |  |  |  |  |  |
| ,  |  |  |  |  |  |  |  |
| Cooperation in Respect of Fisheries in Adjacent Areas Under National Jurisdiction  |  |  |  |  |  |  |  |
| Para 24: Is any part of your national jurisdiction area adjacent to the Area to which CMM 01-2023 (T.  |  |  |  |  |  |  |  |
| murphyi) applies?  |  |  |  |  |  |  |  |
| YES If yes, please answer the questions below  |  |  |  |  |  |  |  |
| <ul> <li>◆ Have you decided to apply the measures described in CMM 01-2023 (<i>T. murphyi</i>)     paragraphs 12-24 within your national jurisdiction areas?     YES □ NO □ Partial □</li> </ul>   |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |
| Have you informed the Secretariat about conservation and management measures in effect  for T. murphyi within your patiened invisidation areas (a.g., TAC resolutions)?  |  |  |  |  |  |  |  |
| for <i>T. murphyi</i> within your national jurisdiction areas (e.g., TAC resolutions)?   |  |  |  |  |  |  |  |
| YES NO   |  |  |  |  |  |  |  |
| Enter relevant details here  |  |  |  |  |  |  |  |
| NO 🗆   |  |  |  |  |  |  |  |
| Para 26: Are you a coastal State that has not given your express consent under Article 20 paragraph 4(a)(ii)   |  |  |  |  |  |  |  |
| of the Convention?   |  |  |  |  |  |  |  |
| $\gamma_{ES} \square$ If yes, please answer the questions below  |  |  |  |  |  |  |  |
| Have you established domestic measures for catches of <i>T. murphyi</i> that may result in catches in the area under your national jurisdiction exceeding 98 168 tonnes?   |  |  |  |  |  |  |  |
| YES $\square$ NO $\square$ If no, continue to the next CMM   |  |  |  |  |  |  |  |
| Enter relevant details here  |  |  |  |  |  |  |  |
| <ul> <li>If so, did you submit to the Secretariat a report explaining to the Commission how the<br/>national measures concerning the <i>T. murphyi</i> fishery in areas under your national<br/>jurisdiction are compatible with those adopted by the Commission?</li> <li>YES □ NO □</li> </ul> |  |  |  |  |  |  |  |
| NO 🗆   |  |  |  |  |  |  |  |

 $<sup>^{1}</sup>$  The implementation of the following paragraphs can be found within the corresponding CMMs: paragraph 14 (required data, annual catch report) can be found within CMM 02-2022.

paragraph 16 (VMS) can be found within CMM 06-2023.

paragraph 19 (annual report) can be found within CMM 02-2022.

paragraph 20 (CMS) can be found within CMM 10-2020.



## Catch Management, Data Collection and Reporting

**Table 1: \*Catch Management (Paras 4, 8)** – \*Note: Calendar Year Reporting for this table

| Year | CJM catch limit | CJM catch limit after applying catch transfers | CJM catch total                   |
|------|-----------------|--|-----------------------------------|
| 2022 |                 |  | (Final 2022- Annual Catch Report) |
| 2023 |                 |  | (Estimates from Monthly reports)  |

| Para 7: Do you have additional measures that limit your vessels to catches less than the amount specified in |  |                                       |                             |  |  |  |  |  |  |
|--|--|---------------------------------------|-----------------------------|--|--|--|--|--|--|
| Table 2 above?   | Table 2 above?                         |                                       |                             |  |  |  |  |  |  |
|  | lease elaborate. Was the Secretariat   | advised?                              |                             |  |  |  |  |  |  |
|  | Enter relevant details here            |                                       |                             |  |  |  |  |  |  |
| NO 🗆   |  |                                       |                             |  |  |  |  |  |  |
|  |  |                                       |                             |  |  |  |  |  |  |
| Table 2: Data Colle  | ction and Reporting (Paras :           | 11, 12, 13, 17, 19, 23)               |                             |  |  |  |  |  |  |
|  |  |                                       | 1                           |  |  |  |  |  |  |
| Nº of monthly (and/o   | r Lists of authorised, active and      | d Age-length and/or survey            |                             |  |  |  |  |  |  |
| 15-day) reports<br>provided  | transhipping vessels provided          | ? information provided to SC?         | Observer coverage level     |  |  |  |  |  |  |
| provided   |  |                                       | 1                           |  |  |  |  |  |  |
|  |  |                                       |                             |  |  |  |  |  |  |
|  | YES □ NO □ Partial □                   | YES □ NO □                            |                             |  |  |  |  |  |  |
|  |  |                                       | .1                          |  |  |  |  |  |  |
| Para 21: Did you co  | nduct any research or stock as         | ssessments for <i>T. murphyi</i> duri | ng this past year?          |  |  |  |  |  |  |
| YES 🗌 If yes, p  | lease answer the question below        |                                       |                             |  |  |  |  |  |  |
| • W  | ere they submitted to the Scier        | ntific Committee?                     |                             |  |  |  |  |  |  |
| YES  | □NO□                                   |                                       |                             |  |  |  |  |  |  |
| Ente   | r relevant details here                |                                       |                             |  |  |  |  |  |  |
| NO □   |  |                                       |                             |  |  |  |  |  |  |
|  |  |                                       |                             |  |  |  |  |  |  |
| Para 22: Did any ve  | essels land or tranship <i>T. murp</i> | <i>hyi</i> within your ports and did  | you take measures to verify |  |  |  |  |  |  |
| those catches?   |  |                                       |                             |  |  |  |  |  |  |
| YES 🗆  |  |                                       |                             |  |  |  |  |  |  |
| NO 🗆   |  |                                       |                             |  |  |  |  |  |  |



# CMM 02-2022 Standards for the Collection, Reporting, Verification and Exchange of Data<sup>1</sup>

| Annual Reports | (requirement | for all Members | and CNCPs) |
|----------------|--------------|-----------------|------------|
|                |              |                 |            |

| Para 7: Did you submit an annual SC meeting) informi previous year (including all YES 🖂 | annual report to ting them about the | he Scientific Comme<br>e fishing, research, r | management act     | ivities undertaken over the      |
|---|--------------------------------------|---|--------------------|----------------------------------|
| NO 🗆  |                                      |   |                    |                                  |
| Enter relevant details here   |                                      |   |                    |                                  |
| General   |                                      |   |                    |                                  |
| Did you have vessels in the   | Convention area                      | during the reporting                          | g neriod and/or    | during the period January        |
| to December 2022 for which  |                                      |   |                    |                                  |
| YES 🗆   |                                      |   |                    |                                  |
| NO ⊠  |                                      |   |                    |                                  |
| If YES, complete the remaining qu   | uestions; if NO, procee              | d to the next CMM.                            |                    |                                  |
| Data on Fishing Activities  | and the Impacts                      | of Fishing                                    |                    |                                  |
| Para 1a: Did you collate a  |                                      |   | eight for all spec | cies/ groups caught in the       |
| previous calendar year (as o  |                                      |   |                    |                                  |
| YES 🗆   |                                      |   |                    |                                  |
| NO □  |                                      |   |                    |                                  |
| Partial □   |                                      |   |                    |                                  |
| Enter relevant details here   |                                      |   |                    |                                  |
|   |                                      |   |                    |                                  |
| Table 3: Participation in S   |                                      |   |                    |                                  |
| Fishing method  | Activities undertaken?               | Main species caught?                          | Nº of vessels      | Activities observed?<br>(Yes/No) |
| Demersal Hand/ drop/  | YES 🗆                                |   |                    |                                  |
| dahn line   |                                      |   |                    |                                  |
| Demersal Longline   | YES 🗆                                |   |                    |                                  |
| Demersal Potting  Demersal Trawl  | YES □<br>YES □                       |   |                    |                                  |
| Pelagic Purse seine   | YES 🗆                                |   |                    |                                  |
| Pelagic Trawl   | YES 🗆                                |   |                    |                                  |
| Squid jigging   | YES 🗆                                |   |                    |                                  |
| Transhipment  | YES 🗆                                |   |                    |                                  |
| Landings  | YES 🗆                                |   |                    |                                  |
| Reefer Landings   | YES 🗆                                |   |                    |                                  |
|   |                                      |   | 1                  |                                  |
| Other fishing methods or data   | V/EC 🗔                               |   |                    |                                  |
| Other fishing methods or data (rows can be added if needed)                             | YES 🗆                                |   |                    |                                  |
| (rows can be added if needed)   |                                      |   |                    |                                  |
| (rows can be added if needed)  Para 1d: Did you compile a                               | and submit all req                   |   | _                  |                                  |
| (rows can be added if needed)   | and submit all req                   |   | _                  |                                  |
| Para 1d: Did you compile a provide those to the Secret                                  | and submit all req                   |   | _                  |                                  |
| Para 1d: Did you compile a provide those to the Secret YES  NO  NO                      | and submit all req                   |   | _                  |                                  |
| Para 1d: Did you compile a provide those to the Secret                                  | and submit all req                   |   | _                  |                                  |

 $<sup>^{1}</sup>$  The implementation of paragraph 2a (Implementation of observer programmes) can be found within CMM 16-2023



### **Observer Data**

| Para 2c Was the previous year's observer data (Jan – Dec) submitted to the Secretariat in Microsoft Excel       |
|---|
| format prior to 30 September?   |
| YES   |
| NO 🗆  |
| Partial   |
| Enter relevant details here   |
|   |
| Data Verification   |
| Para 4: Have you developed mechanisms to verify your SPRFMO fishery data?                                       |
| YES   |
| NO □  |
| Enter relevant details here   |
|   |
| Data Exchange   |
| Para 5: Have you reported the data relevant to the methods listed in Table 3 (previous page) to the Secretariat |
| in accordance with the appropriate specifications and described formats and using the templates created by      |
| the Secretariat?  |
| YES □   |
| NO □  |
| Partial □   |
| Enter relevant details here   |
|   |



## CMM 03-2023 Bottom fishing in the SPRFMO Convention Area<sup>1,2</sup>

| Definitions  |
|--|
| Para 2: Did your vessels engage (or intend to engage) in "bottom fishing" activities in the Convention Area?     |
| YES   If yes, continue to complete the questions in this CMM   |
| NO $oxed{\boxtimes}$ If no, respond to Para 10 below and then skip to the next CMM                               |
|  |
| General Provisions   |
| Para 10: Have you prohibited your vessels from participating in bottom fishing activities in the Convention      |
| Area, except when in accordance with this CMM together with CMM 03a-2023 (Deepwater species)?                    |
| YES ⊠  |
| NO 🗆   |
|  |
| Para 11: Were all your vessels that engaged in bottom fishing activities duly authorised and listed in the       |
| SPRFMO Record of Vessels in accordance with CMM 05-2023 (Record of Vessels)?                                     |
| YES 🗆  |
| NO 🗆   |
| Para 12: Under which provision have you authorised your vessels to engage in bottom fishing activities in        |
| the Convention Area? (mark all that apply)   |
| • 12. a) Authorisation has been given by the Commission under Para 21(d)(i).                                     |
| YES  |
| NO 🗆   |
| Comments:  |
|  |
| • 12. b) Approval has been given by the Commission under paragraph 14 of CMM 13-2021 (exploratory                |
| Fisheries).  |
| YES 🗆  |
| NO 🗆   |
| Comments:  |
|  |
|  |
| Bottom Fishing Management Areas  |
| Para 14: Did all the bottom fishing activity in the Convention Area by your authorised vessels occur within      |
| the three defined Management Areas (para 13) and in accordance with the terms of this CMM <sup>3</sup> (together |
| with CMM 03a-2023)?  |
| YES  |
| NO   Elaborate if activities occurred outside the management areas or contrary to the CMM                        |
|  |

<sup>&</sup>lt;sup>1</sup> The implementation of paragraph 19 (Marine mammals, Seabirds, Reptiles and Other Species of Concern) can be found within CMMs 02-2022 and 09-2017 as appropriate.

<sup>&</sup>lt;sup>2</sup> The implementation of paragraph 34 (benthic bycatch) can be found within CMMs 02-2022.

<sup>&</sup>lt;sup>3</sup> Excluding fishing covered by CMM 13-2021 (Exploratory Fisheries)



| Para 16: Did you ensure that all your vessels complied with the following three provisions <sup>1</sup> ?  a) Bottom trawling shall only occur in a bottom trawl Management Area;  |
|--|
| YES 🗆  |
| NO 🗆   |
| b) Midwater trawling shall only occur in a midwater trawl Management Area or a bottom trawl<br>Management Area;  |
| YES  —   |
| NO □ c) Bottom lining shall only occur in a Management Area.   |
| YES  |
| NO 🗆   |
| Assessment of Proposed Bottom Fishing  |
| Para 21a: During this reporting period, did you submit to the Scientific Committee, not less than 60 days  |
| before the SC annual meeting, a Bottom Fishery Impact Assessment that met the appropriate standard   |
| (SPRFMO BFIAS) and included consideration of cumulative impacts and proposed mitigation measures to prevent such impacts?  |
| YES  |
| NO 🗆   |
| Para 22: Have you ensured that a proposed assessment meeting the requirements contained in paragraph   |
| 21(a) is submitted to the Scientific Committee and Commission at least every 3 years, and also when a substantial change in the fishery has occurred such that it is likely that the risk or impact of the fishery may have changed? |
| YES  Enter relevant details here if appliable  |
| NO 🗆   |
| Encounters with Potential VMEs   |
| Para 27: Did any of your vessels trigger the VME encounter protocols by encountering VME indicator taxa  |
| above the specified thresholds?  YES   If yes, continue to answer questions below  |
| YES   If yes, continue to answer questions below  • How many Encounters occurred during this reporting period?   |
| Enter relevant details here  |
| <ul> <li>• In each case, did the vessel cease bottom fishing immediately within the encounter area?</li> <li>YES □</li> </ul>  |
| NO □   |
| • In each case, did the vessel report the encounter immediately in accordance with the Guidelines for the preparation and submission of notifications of encounters with potential VMEs, contained in Annex 7?                       |
| YES  YES   |
| NO 🗆   |
| NO 🗆   |
| Para 30: Did you ensure that your vessels have not bottom fished in any officially notified Encounter Area   |
| pending any related Commission decision?   |
| YES  |
| NO 🗆   |

<sup>&</sup>lt;sup>1</sup> Excluding fishing covered by CMM 13-2021 (Exploratory Fisheries)



| Para 31: During this reporting period, did you submit any detailed descriptions of VME Encounters to the |
|--|
| Scientific Committee (including suggested management actions) because of a temporary suspension          |
| pursuant to paragraph 27?  |
| YES □  |
| NO □   |
|  |
| Monitoring and Control of Bottom Fishing Activities  |
| Para 38a: Did you ensure that your vessels participating in bottom fishing:                              |
| • are equipped and configured so that they can comply with all relevant SPRFMO CMMs?                     |
| YES □  |
| NO □   |
| • act in accordance with CMM 06-2023 (Commission VMS), polling once every 30 minutes for the duration    |
| of the trip (i.e., port to port VMS coverage)?   |
| YES □  |
| NO □   |
| • report tow or set start and end position to 1/100 <sup>th</sup> degree resolution - decimal format?    |
| YES □  |
| NO 🗆   |
|  |
| Observer Coverage  |
| Para 39: What was your observer coverage level (during this reporting period) for:                       |
| • Vessels using bottom trawl?  |
| Enter relevant details here  |
| Vessels using mid-water trawl gear?  |
| Enter relevant details here  |

• Vessels using bottom line gear (expressed as the percentage of observed hooks)?



## CMM 03a-2023 Deepwater Species in the SPRFMO Convention Area

| l | lr  | ľ | t | e | r | p | r | e | ta | ١t | io | n  |
|---|-----|---|---|---|---|---|---|---|----|----|----|----|
| ľ | • • | ۰ | • | _ | • | _ | • | _ |    |    |    | ٠. |

| Para 2: Did | your flagged vessels engage in "bottom fishing" activities pursuant to CMM 3a-2023 in the             |
|-------------|---|
| Convention  | Area? (Note: Report Exploratory Fisheries information separately under the respective CMM 14 measure) |
| YES         | If yes, complete the table below  |
| NO 🗵        | If no, skip to the next CMM   |

Table 4: CMM 03a-2023 (Deepwater Species): Catch Limits and Monitoring (Paras 10, 13, & 15)

| Species - Area  | 2022 Catch<br>Limits (t) | 2022 Catch Limits after<br>applying transfers | Final 2022<br>Annual Catch Totals |
|---|--------------------------|---|-----------------------------------|
| Orange Roughy – Tasman Sea  | AUS 142; NZ 569          |   |                                   |
| Orange Roughy – Louisville Ridge  | AUS 114; NZ 1 026        |   |                                   |
| Orange Roughy – Westpac Bank  | AUS 13; NZ 245           |   |                                   |
| Orange Roughy – South Tasman Rise (HS)  | AUS 0; NZ 0              |   |                                   |
| All other target and non-target species   | AUS 211; NZ 762          |   |                                   |
| Species - Area  | 2023 Catch<br>Limits (t) | 2023 Catch Limits after applying transfers    | Provisional 2023<br>Catch Totals  |
| Orange Roughy – Tasman Sea  | AUS 76; NZ 302           |   |                                   |
| Orange Roughy – Louisville Ridge  | AUS 58; NZ 523           |   |                                   |
| Orange Roughy – Westpac Bank  | AUS 13; NZ 245           |   |                                   |
| Orange Roughy – South Tasman Rise (HS)  | AUS 0; NZ 0              |   |                                   |
| All other target and non-target species   | AUS 211; NZ 762          |   |                                   |
| Number of Monthly reports provided in this reporting period (Oct 2022– Sept 2023) |                          |   |                                   |

| Catch Limits and Monitoring (Paras 16, 17 & 18)  |
|--|
| Para 16: Did your vessels participating in bottom fishing reach 70% of the allocated tonnage (Para 16; Table 1)? |
| γES   If yes, continue to answer questions below   |
| <ul><li>Was the catch limit (tonnage) reached for any area(s)?</li></ul>   |
| YES □ If yes, elaborate  |
| NO □   |
| <ul><li>For each case, did the vessel(s) cease bottom fishing once the catch limit was reached?</li></ul>        |
| YES □ If yes, elaborate  |
| NO □   |
| NO □   |



|                | Did your vessels have catches of Orange Roughy from any of the following Tasman Sea stocks:          |
|----------------|--|
|                | nwest Challenger; 2) Lord Howe Rise; or 3) West Norfolk Ridge?                                       |
| YES □ /        | f yes, continue to answer questions below  |
|                | • To which of the above Stock Areas do the catches pertain (i.e., 1, 2, and/or 3)?                   |
| E              | nter relevant details here   |
|                | • In each case, were weekly (or daily if appliable) catch reports submitted to the Secretariat?      |
|                | YES  |
|                | NO ☐ If no, elaborate  |
|                | • In each case, was fishing effort by your vessels ceased once the Secretariat advised that the      |
|                | appropriate thresholds were reached to stop fishing for the remainder of the year?                   |
|                | YES 🗆  |
|                | NO □ If no, elaborate  |
| NO □           |  |
| <u>.</u>       |  |
|                |  |
|                | Did you notify the Secretariat of intention to carry forward under-caught <b>orange roughy</b> catch |
|                | area to the next fishing year?   |
| YES            |  |
|                | If yes, continue to answer questions below   |
|                | Identify the amounts being carried forward (by defined area)?  |
|                | Enter relevant details here  |
|                | • Does the <b>total</b> carry forward amount exceed 10% of your allocated catch limit for the        |
|                | appliable year?  |
|                | YES 🔲 If yes, elaborate  |
|                | NO ☐ State the total carry forward %   |
| NO □           |  |
|                |  |
|                |  |
|                | your flagged vessels, was the Secretariat notified no less than 72 hours in advance of               |
|                | fishing activities of the intent to fish in the Tasman Sea (west of 178 E) or the Westpac Bank       |
| areas?         |  |
| YES            | If yes, identify the number of trips (by vessel name)  |
| _              |  |
| Partial 🗆      | Elaborate or explain   |
| NO 🗆           | Elaborate or explain   |
| NO 🗆           | Eluborate of explain   |
|                |  |
| Para 27: For y | our flagged vessels participating in bottom fishing, was the Secretariat notified of the monthly     |
|                | ectronic format) within 20 days of the end of each month?  |
| YES 🗆          | If yes, identify the number of monthly reports submitted   |
|                |  |
| Partial 🗆      | Elaborate or explain   |
| . 4            |  |
| NO □           | Elaborate or explain   |
|                |  |
|                |  |



# CMM 04-2020 Vessels presumed to have carried out IUU fishing activities in the SPRFMO Area

| Information on alleged IUU Fishing activities  |  |  |  |
|--|--|--|--|
| Para 2: Did you transmit a list of presumed IUU fishing vessels (along with suitably documented evidence   |  |  |  |
| relevant to activities in the SPRFMO Convention Area over the past 2 years) to the Secretariat at least 120  |  |  |  |
| days before the annual meeting?  |  |  |  |
| YES   If yes, continue to answer Para 4  |  |  |  |
| • Para 4: Did you inform the relevant flag State before, or at the same time as, transmitting  |  |  |  |
| the list?  |  |  |  |
| YES  |  |  |  |
| NO □   |  |  |  |
| Enter relevant details here  |  |  |  |
| NO ⊠   |  |  |  |
| Draft IUU Vessel List  |  |  |  |
| Para 5: Were any of your flagged vessels on the draft IUU Vessel List?   |  |  |  |
| YES   If yes, answer the following question (Para 7)   |  |  |  |
|  |  |  |  |
| • Para 7: Did you notify vessel owners and inform them about the potential consequences?   |  |  |  |
| YES  |  |  |  |
| NO   Saturated at a illahara   |  |  |  |
| Enter relevant details here  |  |  |  |
| NO ⊠   |  |  |  |
| IUU Vessel List  |  |  |  |
| Para 15: Were any of your flagged vessels on the final IUU Vessel List?  |  |  |  |
| YES \( \subseteq \text{ If yes, answer the questions below } \)  |  |  |  |
| <ul> <li>Did you notify the vessel owners and inform them about the consequences of inclusion?</li> </ul>  |  |  |  |
| YES  |  |  |  |
| NO   |  |  |  |
| Were any measures were taken to eliminate these IUU activities and was the Commission  |  |  |  |
| informed of these actions?   |  |  |  |
| YES  |  |  |  |
| NO 🗆   |  |  |  |
| Enter relevant details here  |  |  |  |
| NO ⊠   |  |  |  |
|  |  |  |  |
| Para 16: Did you enforce any of the measures described in paragraph 16 of CMM 04-2020 (IUU)?   |  |  |  |
| (points a to i)  |  |  |  |
| YES 🗵 If yes, elaborate below  |  |  |  |
| NO 🗆   |  |  |  |
| According to the Act for Distant Water Fisheries, nationals shall not engage in or support IUU fishing, such as  |  |  |  |
| participating in joint fishing with, transhipping with or supplying any fishing vessel listed on the IUU fishing vessel lists  |  |  |  |
| of the international fisheries organizations or stateless vessel; or trading (e.g. purchasing, selling, marketing); or   |  |  |  |
| processing catches or fisheries products with the knowledge that such catches or fisheries products originate from catches caught by fishing vessel listed in the IUU fishing vessel lists of the international fisheries organizations. |  |  |  |



Distant water fisheries operators or distant water fisheries employees commit any of the aforementioned serious infringements are to be fined between 1 million to 30 million New Taiwan Dollars, and the fishing license may be suspended for up to 2 years or be revoked; such employees are to be fined between 200 thousand and 6 million New Taiwan Dollars, and the Fishing Vessel Crew Identifications and the Certificate of Fishing Vessel Officers may be suspended for up to 2 years or be revoked.

Along with the abovementioned penalties, the catches or fisheries products caught, transhipped, purchased, sold, or sold on assignment, or fishing gears involved may also be confiscated, regardless of the ownership. If the fines are less than the value of seized fishery products, the perpetrator would instead be fined up to five times of the value of the seized products, and repeat violations are subject to more severe punishment.

Fishing vessels involved in IUU fishing will be denied from port entry. In case that there's sufficient evidence indicating that the fishing vessel has conducted or supported IUU fishing after the inspection, the competent such fishing vessel will be denied from unloading, transhipment, packaging, processing of catches, refuelling, supplying, maintenance or using other port services, and prohibit such fishing vessel from leaving the port.



| Mc | dific: | ation | of the | THEFT | Vessel | List |
|----|--------|-------|--------|-------|--------|------|
|    |        |       |        |       |        |      |

| Para 21: Did you request the removal of any of your vessels from the IUU Vessel List, during the most recent  |
|---|
| intersessional period?  |
| YES   If yes, elaborate below   |
| NO ⊠  |
| Enter relevant details here   |
| A structure of the first state of the state |
| Action Against Nationals Involved in IUU Fishing Activities   |
| Para 25: Did you take any measures against your nationals that have been identified as engaged in,  |
| responsible for, benefiting from, or supporting the activities of any vessel engaged in IUU fishing activities  |
| in the SPRFMO Convention Area?  |
| YES If yes, elaborate below   |
| NO ⊠  |
| Enter relevant details here   |
| Dara 20. Did you take any other actions and/or massures in accordance with this CNANA that have not   |
| <b>Para 28:</b> Did you take any other actions and/or measures in accordance with this CMM that have not previously been reported in this section?  |
| YES   If yes, elaborate below   |
|   |
| NO 🗵  |
| Enter relevant details here   |
| Information Indicating a Change of Circumstances of Vessels Appearing on the Current IUU Vessel   |
| List  |
|   |
| Para 29: Did you transmit information which indicated a change in the details of a vessel (such as name International Radio Call Sign, External markings etc) of a vessel appearing on the SPRFMO IUU Vessel List to  |
| the Secretariat during this reporting period?   |
|   |
|   |
| NO 🗵  |
| Enter relevant details here   |



## CMM 05-2023 The Commission Record of Vessels authorised to fish in the Convention Area

|     | _ |   | _ |    |
|-----|---|---|---|----|
| ( - | Δ | n | Δ | ra |
| u   | _ |   | _ | ıa |

| General  |  |  |  |  |
|--|--|--|--|--|
| Para 1/3: Are you able to exercise effectively your responsibilities (including enforcement actions) for all vessels flying your flag, and authorised to fish in the Convention Area, in respect of such vessels under the |  |  |  |  |
| Convention, including relevant CMMs adopted by the Commission, and in accordance with relevant   |  |  |  |  |
| international law?   |  |  |  |  |
| YES ⊠  |  |  |  |  |
| NO □   |  |  |  |  |
| Partial ☐ If no or partial, elaborate below  |  |  |  |  |
|  |  |  |  |  |
| <b>Para 2:</b> Did you issue or maintain an authorisation to fish in the Convention Area to any vessel included on any RFMO IUU vessel list or on the IUU vessel list of CCAMLR?   |  |  |  |  |
| YES   If yes, elaborate below  |  |  |  |  |
| NO ⊠   |  |  |  |  |
|  |  |  |  |  |
| Para 4/5: Did you maintain a register of your flagged fishing vessels authorised to fish in the SPRFMO Area?   |  |  |  |  |
| YES 🗵 If yes, answer the following question (Para 5)   |  |  |  |  |
| Does it contain all the mandatory information as described in Annex 1 of CMM 05 - Record of  |  |  |  |  |
| Vessels? Elaborate as necessary.   |  |  |  |  |
| YES ⊠  |  |  |  |  |
| NO □   |  |  |  |  |
| Partial □  |  |  |  |  |
| NO □   |  |  |  |  |
| Vessel Data Submission:  |  |  |  |  |

Table 5: Vessel Data Submission Summary (Para's 4, 6, 7, 8)

| Para 4 – Total № of<br>vessels authorised for<br>SPRFMO on your vessel<br>register during the<br>reporting period? | Para 6 - № of new vessels authorised during the reporting period? (15-day advance notification prior to first entry) | modifications to vessel |   | (Para 6/7 – 15 days: Para 8 – 3 days) |
|--|--|-------------------------|---|---------------------------------------|
| 32(5 carriers)   | 7  | 5                       | 2 | YES□ NO*⊠                             |

<sup>\*</sup>Elaborate on any issues: Vessel SHUENN CHING NO.1 (Radio Call sign BI2598; IMO # 8684852) has changed the name and relevant vessel data due to change of ownership in May of 2023, and the new owner did not seek to continue the authorisation in SPRFMO. The Fisheries Agency requested the Secretariat to remove the said vessel from the authorisation list on September 7, 2023.

#### List of Active Vessels

**Para 13:** Did you notify the Executive Secretary of your list of authorised vessels that are actively fishing or engaged in transhipment in the SPRFMO Convention Area (either monthly *(for CJM)*) or prior to 30<sup>th</sup> January as appropriate)?

YES Please specify for the **2022 CALENDAR YEAR**:

- Number of Vessels actively fishing (Catcher vessels): 0
- Number of Vessels only engaged in transhipment activity: 1
- Optional Number of "other vessels" (e.g. bunker, research)

NO  $\square$ 

 $N/A \square$  We did not have any vessels authorised to operate in the SPRFMO Area during the relevant period



## CMM 06-2023 The Vessel Monitoring System in the SPRFMO Convention Area

| Application:                      | Commission Vessel Monitoring System  |  |  |  |
|-----------------------------------|--|--|--|--|
| Para 2: Did                       | any of your authorised vessels operate in the SPRFMO Convention Area or the adjacent buffer              |  |  |  |
| zone during the reporting period? |  |  |  |  |
| YES □                             | YES If yes, continue to answer remaining questions in this CMM.  |  |  |  |
|                                   | In total, how many of your individual authorised vessels reported their movements an                     |  |  |  |
|                                   | activities to the Commission VMS during the reporting period?  |  |  |  |
| NO ⊠                              | If no, answer the following question   |  |  |  |
|                                   | Did you request access to Commission VMS data during the reporting period?                               |  |  |  |
|                                   | YES  Proceed to Paragraphs 13, 21, 24, 25, 27, 28  |  |  |  |
|                                   | NO ⊠ Proceed to the next CMM   |  |  |  |
|                                   | TO ES Trocced to the next civily   |  |  |  |
|                                   |  |  |  |  |
| Purpose: Co                       | ntinuously Monitor the Movements and Activity of Fishing Vessels   |  |  |  |
| Para 4:                           |  |  |  |  |
| Are your a                        | uthorised vessels, operating in the SPRFMO Convention Area or the adjacent buffer zone,                  |  |  |  |
| continuousl                       | y reporting their positions?   |  |  |  |
| YES □                             |  |  |  |  |
| NO 🗆                              |  |  |  |  |
|                                   |  |  |  |  |
| VMS position                      | onal reports must be transmitted to, and displayed on, Commission VMS in a manner to ensure              |  |  |  |
|                                   | monitoring of the vessels active in the SPRFMO Convention Area and 100 nm Buffer zone. Have              |  |  |  |
|                                   | dvised of any disruption to the Commission VMS display for any of your flagged vessels during            |  |  |  |
| the reportir                      |  |  |  |  |
| YES 🗆                             | ,, репоч.  |  |  |  |
|                                   |  |  |  |  |
| NO 🗆                              |  |  |  |  |
| If VMC diar                       | untions or data gans were identified during the reporting period for vessels flying your flag has        |  |  |  |
|                                   | uptions or data gaps were identified during the reporting period for vessels flying your flag, has       |  |  |  |
| _                                 | VMS positional data since been uploaded to complete the Commission VMS record?                           |  |  |  |
| YES 🗆                             |  |  |  |  |
| NO* □                             |  |  |  |  |
|                                   |  |  |  |  |
|                                   | riat will provide separately a summary of VMS issues identified for vessels flagged to that Member/CNCP. |  |  |  |
|                                   | an be provided in the separate summary sheet relevant to each issue including whether VMS data was       |  |  |  |
| recovered or                      | an explanation of the situation.   |  |  |  |
|                                   |  |  |  |  |
| Nature and                        | Specification of the Commission VMS  |  |  |  |
| Para 9: Auto                      | omatically reporting of VMS positional data to the Commission VMS for your authorised vessels            |  |  |  |
|                                   | of the following options?  |  |  |  |
|                                   | To the Secretariat via your FMC; (applies to most flag states) or  |  |  |  |
|                                   | ) Simultaneously to both the Secretariat and your FMC  |  |  |  |

<sup>&</sup>lt;sup>1</sup> As per the direction from the COMM10 meeting, all VMS issues identified for a Member/CNCP will form part of the discussion at the Compliance Assessment process. The Secretariat will advise Members/CNCPs of VMS issues as they arise as well as provide an overall summary prior to the annual CTC meeting (including any comments/explanations).



| Para 11: If you have selected option 9 (a), did your FMC automatically forward VMS reports to the Secretariat  |
|--|
| at intervals of not less than one hour for all vessels that were active in the Area?   |
| YES □  |
| NO 🗆   |
| Partial 🗆  |
| Enter relevant details here  |
| Dans 42. Did all consultance de thet are required to the time of time of the time of time of the time of t |
| Para 12: Did all your flagged vessels that are required to report to the Commission VMS use a functioning  |
| ALC that complies with the Commission's Minimum Standards as specified in Annex 1, CMM 06-2023?  |
| YES 🗆  |
| NO □   |
| Procedure for Manual Reporting   |
| Para 14: (Note: applies in event of transmission failure originating from the Vessel)  |
| Did the Secretariat notify you at any time of the event of the failure of automatic VMS reporting to the   |
| Commission VMS for any of your vessels during the reporting period?  |
| YES If yes, please answer the questions below  |
| • Upon follow up, were any of the failures/VMS disruptions due to the inability of the vessel  |
| to automatically report its VMS positional data from the vessel to the FMC?  |
| YES $\square$ Answer following the questions pertaining to Annex 3 obligations   |
| NO □ Proceed to paragraph 16   |
|  |
| CMM 06-2023 Annex 3 (Manual Reporting Rules):  |
| • Para 1: Did you direct any of your vessels to apply the manual reporting procedure   |
| specified in Annex 3, of during this reporting period?   |
| YES $\square$ If yes, please list vessel(s)/date here and answer questions below   |
| Enter relevant details here  |
| NO □   |
|  |
| <ul> <li>Para 2: After commencing Manual reporting, were the manual reports provided to the</li> </ul>   |
| Secretariat/updated to Commission VMS?   |
| YES □  |
| NO □   |
| Enter relevant details here  |
| • Para 3: After commencing Manual reporting, were you able to re-establish normal automatic  |
| reporting of VMS positions to the Commission VMS?  |
| YES □  |
| NO 🗆 Elaborate   |
| Para 3: Was any vessel ordered to stop fishing, stow all fishing gear, and return immediately to   |
| port to undertake Repairs.   |
| YES □ Elaborate  |
| NO □   |
| NO 🗆   |
| INO LI   |



| Measures to Prevent Tampering with ALCs  |  |  |  |
|--|--|--|--|
| Para 16: Do you have reasons to suspect that any SPRFMO reporting ALC does not meet the requirements     |  |  |  |
| specified in Annex 1 of CMM 06-2023?   |  |  |  |
| YES  If yes, answer the question below   |  |  |  |
| NO □   |  |  |  |
| <ul> <li>Did you notify the Secretariat (and the Member or CNCP concerned)?</li> </ul>                   |  |  |  |
| YES 🗌 If yes, please answer the questions below  |  |  |  |
| NO 🗆   |  |  |  |
| <ul> <li>Did you also communicate the actions taken/investigative outcomes to the Commission?</li> </ul> |  |  |  |
| YES □  |  |  |  |
| NO 🗆   |  |  |  |
|  |  |  |  |
| Para 17: Have you ensured that vessels flying your flag install and use ALCs which fulfil the conditions |  |  |  |
| specified in Annex 4 ( <i>Minimum Standards to Prevent Tampering with ALC Units</i> ) of CMM 06-2023?    |  |  |  |
| YES  |  |  |  |
| NO 🗆   |  |  |  |
|  |  |  |  |
| Para 18: Please summarise or list the methods used to prevent tampering of ALCs on individual vessels    |  |  |  |
| Enter relevant details here  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |

**Para 19:** Please provide the following information for each vessel flying your flag and reporting to the SPRFMO VMS (note that it is perfectly acceptable to provide or attach a separate file)

| Vessel Name | Vessel Name Vessel Call ALC ALC ALC Security |      |       |       | Security       |          |
|-------------|--|------|-------|-------|----------------|----------|
| vessei name | IMO  | sign | Brand | model | identification | features |
|             |  |      |       |       |                |          |
|             |  |      |       |       |                |          |
|             |  |      |       |       |                |          |
|             |  |      |       |       |                |          |
|             |  |      |       |       |                |          |
|             |  |      |       |       |                |          |
|             |  |      |       |       |                |          |
|             |  |      |       |       |                |          |
|             |  |      |       |       |                |          |
|             |  |      |       |       |                |          |
|             |  |      |       |       |                |          |
|             |  |      |       |       |                |          |
|             |  |      |       |       |                |          |
|             |  |      |       |       |                |          |
| •           |  | _    |       |       |                |          |
|             |  |      |       |       |                |          |
|             |  |      |       |       |                |          |
|             |  |      |       |       |                |          |
|             |  |      |       |       |                |          |
|             |  |      |       |       |                |          |
|             |  |      |       |       |                |          |



| Security and C  | Confidentiality Requirements  |
|-----------------|---|
| Para 13: Did y  | ou receive or access any VMS data from the Commission VMS during the assessed period?         |
| YES □ If        | yes, please answer the question below   |
|                 | • Did you adhere with all the Security and Confidentiality requirements specified in Annex 2  |
|                 | of CMM 06-2023 as well as the Maintenance of Confidentiality Requirements of paragraph        |
|                 | 6 of CMM 02-2022 (Data Standards)?  |
|                 | YES   |
|                 | NO $\square$ If no, please elaborate  |
| NO 🗆            |   |
| Lico and Polos  | se of VMS Data Requiring the Permission of the Member or CNCP                                 |
|                 | you designated a VMS Point of Contact for the purposes of any communication regarding         |
|                 | m to the Secretariat?   |
| -               | yes, please answer the question below   |
| 112 11 7        | • If so, is the VMS Point of Contact still current?   |
|                 | YES   |
|                 |   |
| No E            | NO  If no, please update the information  |
| NO □ If         | no, please provide the information  |
| Use and Relea   | se of VMS Data not Requiring the Consent of the Member or CNCP                                |
|                 | oid you receive any VMS data from the Commission VMS for the purposes of planning or          |
| conducting ac   | tive surveillance operations and/or inspections at sea?                                       |
| YES □ If        | yes, please answer the question below   |
|                 | • Did you keep data confidential and restrict access to officials deemed necessary to know?   |
|                 | YES 🗆   |
|                 | NO ☐ If no, please explain  |
|                 | • Did you delete VMS data within 24 hours of the conclusion of the operation and notify the   |
|                 | Secretariat in writing?   |
|                 | YES   |
|                 | NO  If no, please answer the questions below  |
|                 | • If the VMS data was retained for longer than 24 hours, have you informed the Secretariat    |
|                 | about the purpose and expected timing of the additional period of data retention?             |
| F               | nter relevant details here or indicate Not Appliable  |
| NO □            | mer relevant details here of maleute Not Apphable   |
| NO LI           |   |
| Para 27: Follo  | wing active surveillance and/or inspection activity relevant to the VMS data request, was the |
| Secretariat an  | d the relevant VMS Point of Contact provided with a report that included the name of the      |
| vessel or aircr | aft on active surveillance and/or inspection activity?  |
| YES □           |   |
| NO □ If         | no, please explain  |
|                 |   |
| Para 28: Did y  | ou request VMS data for supporting search and rescue activities undertaken by a competent     |
|                 | yes, answer the question below  |
|                 | Did you ensure that the data was only used for that purpose?                                  |
|                 | YES NO NO   |
| NO 🗆            |   |



## CMM 07-2022 Minimum Standards of Inspection in Port

| Points of Contact   |   |                                      |                     |                                    |
|---|---|--------------------------------------|---------------------|------------------------------------|
| Para 5: Have you transmitted the name(s) and contact information for your Points of Contact to the                              |   |                                      |                     |                                    |
| Secretariat for the purposes of receiving notifications?  |   |                                      |                     |                                    |
| YES Please update if there are any changes required to any of the contact information on the SPRFMO website                     |   |                                      |                     |                                    |
| NO □  | , , ,   | , , , ,                              | ,                   |                                    |
| NO LI   |   |                                      |                     |                                    |
| Scope   |   |                                      |                     |                                    |
| Para 1: Did your po   | rts receive, or expect                            | to receive, foreign                  | n fishing vesse     | els carrying <b>SPRFMO-managed</b> |
| species caught in the   | Convention Area that                              | had <b>not</b> been previ            | ously landed o      | or transhipped at port or at sea?  |
| YES 🗌 If yes, cont  | tinue to answer the following                     | g questions on this CMN              | 1                   |                                    |
| NO ⊠ If no, skip t  | to the next CMM                                   |                                      |                     |                                    |
|   |   |                                      |                     |                                    |
| Table 6: Implementa   | ation of Port Standar                             | ds (Para's 9, 11, 1                  | 3, 15, 17, 19       | )                                  |
| Designated port list  | Nº of foreign fishing                             | Nº of foreign                        | Nº of               | Percentage of foreign fishing      |
| provided to   | vessels <sup>1</sup> seeking to use port services | fishing vessels<br>denied port entry | inspections         | vessel landings / port             |
| Secretariat?  | port services                                     | deflied port effility                |                     | transhipments inspected            |
| YES □ NO □  |   |                                      |                     |                                    |
|   |   |                                      |                     |                                    |
| Designated Ports  |   |                                      |                     |                                    |
| •   | sufficient capacity to c                          | conduct inspections                  | in every desig      | gnated port?                       |
| YES 🗆   |   |                                      |                     |                                    |
| NO 🗆  |   |                                      |                     |                                    |
| Enter relevant details h  | ere   |                                      |                     |                                    |
|   |   |                                      |                     |                                    |
| Prior Notification  |   |                                      |                     |                                    |
| •   | quire foreign fishing v                           | vessels to provide                   | information p       | orior to any landing or port       |
| transhipment?   |   |                                      |                     |                                    |
| YES 🗌 If yes, ans   | wer the questions below                           |                                      |                     |                                    |
| • Does  | s that information com                            | nply with paragraph                  | 11 of CMM 0         | 7-2022 (Port Inspection)?          |
| YES   |   |                                      |                     |                                    |
| NO  | ☐ If no, please answer the                        | auestion helow                       |                     |                                    |
|   |   | ·                                    | intly inform th     | e Secretariat of any request for   |
|   | services made under t                             | •                                    | iptiy iiiioiiii tii | e secretariat of any request for   |
| •   |   |                                      |                     |                                    |
|   | (No Port Call Requests                            |                                      |                     |                                    |
| YES Partial Enter relevant details here   |   |                                      |                     |                                    |
| NO   Enter relevant details here  |   |                                      |                     |                                    |
| NO ☐ Enter relevant details here  |   |                                      |                     |                                    |
|   |   |                                      |                     |                                    |
| <b>Para 12:</b> Is the notification period for a foreign fishing vessel's port call request different than "at least 48 hours"? |   |                                      |                     |                                    |
|   |   |                                      |                     |                                    |
| YES  If yes, elaborate below  |   |                                      |                     |                                    |
| NO 🗆  |   |                                      |                     |                                    |
| Enter relevant details h  | Enter relevant details here                       |                                      |                     |                                    |

<sup>&</sup>lt;sup>1</sup> Limited to foreign fishing vessels carrying SPRFMO species caught in the SPRFMO Convention Area that have not been previously landed or transshipped at port, or at sea following the appliable SPRFMO Procedures.



| Port Inspections  |
|---|
| Para 16a): Did you receive any requests from other Members, CNCPs or relevant RFMOs to inspect a              |
| particular vessel?  |
| YES 🗌 If yes, elaborate below   |
| NO □  |
| Enter relevant details here   |
| Lance Mark Broad and  |
| Inspection Procedure  |
| Para 20: Did you encounter any issues or difficulties with the inspection procedures described in paragraphs  |
| 20-25 of CMM 07-2022 (Port Inspection)?   |
| YES If yes, elaborate below   |
| NO 🗆  |
| Enter relevant details here   |
| Procedure in the Event of Infringements   |
| Para 26: Did any of your inspections uncover evidence that a foreign fishing vessel has committed a breach    |
| of the SPRFMO CMMs?   |
| YES 🔲 If yes, elaborate below   |
| NO 🗆  |
| Enter relevant details here   |
|   |
| Para 27: Did any of your inspections uncover a breach that you (as a port State) acted on in accordance with  |
| your domestic laws?   |
| YES 🗌 If yes, elaborate below   |
| NO □  |
| Enter relevant details here   |
|   |
| Para 28: Did you, because of a port inspection, refer any alleged infringements to the relevant flag State so |
| that they may promptly investigate?   |
| YES If yes, elaborate below   |
| NO □  |
| Enter relevant details here   |
|   |
| Para 29: Were you authorised by another flag State to undertake an investigation in relation to alleged       |
| infringements detected because of your port inspection?   |
| YES If yes, elaborate below   |
| NO □  |
| Enter relevant details here   |
| Requirements of Developing Members and CNCPs  |
| Para 31: Have you provided (or received) any assistance as described under Paragraph 31 of CMM 07-2022        |
| (Port Inspection)? (Such as the development of capacity, facilitation of participation and assessment of      |
| requirements for developing Members and CNCPs)  |
| YES   |
|   |
| NO   Enter relevant details here  |
| Enter relevant details here   |



## CMM 08-2023 Gillnets in the SPRFMO Convention Area

## Gillnets

| Para 1: Have you prohibited your vessels from using large scale pelagic driftnets and deepwater gillnets in   |  |  |
|---|--|--|
| the SPRFMO Convention Area?   |  |  |
| YES ⊠   |  |  |
| NO □  |  |  |
| Enter relevant details here   |  |  |
|   |  |  |
| Para 2: Have any of your vessels transited any part of the Convention Area while carrying gillnets?   |  |  |
| <b>YES</b> $\square$ If yes, answer the questions $2a - 2d$ below   |  |  |
| <ul><li>Para 2a): Was 72 hours advance notice given to the Secretariat prior to entry?</li></ul>  |  |  |
| YES   |  |  |
| NO 🗆  |  |  |
| <ul><li>Para 2b): Did the vessels operate a VMS polling at least once every hour?</li></ul>   |  |  |
| YES □   |  |  |
| NO □  |  |  |
| • Para 2c): Were VMS reports submitted automatically to the FMC while transiting the  |  |  |
| Convention Area?  |  |  |
| YES   |  |  |
| $ullet$ Were the reports forwarded to SPRFMO FMC? YES $\square$ NO $\square$  |  |  |
| NO   Enter relevant details here  |  |  |
| <ul> <li>Para 2d): Were any Gillnets accidently lost and/or abandoned overboard?</li> </ul>   |  |  |
| YES ☐ Enter relevant details here   |  |  |
| <ul> <li>If yes, was the lost reported reported to the Secretariate within 48 hours of the gea<br/>being lost using the ALDFG process?</li> <li>YES □ NO □</li> </ul> |  |  |
| NO □  |  |  |
| NO ⊠  |  |  |
| NO A  |  |  |
|   |  |  |



## CMM 09-2017 Minimising bycatch of seabirds in the SPRFMO Convention Area<sup>1</sup>

#### **General Provisions**

| Para 1 and  | 2: Do you have flagged vessels participating in SPRFMO fisheries using demersal Longlines or   |
|-------------|--|
|             |  |
| trawl gear? |  |
| \/F0 🗖      | and the second s |
| YES 🗆       | If yes, continue to answer the following questions on this CMM   |
| NO M        | the state of the s |
| NO oxtimes  | If no, skip to the next CMM  |

(Note: Complete only if your vessels conducted demersal Longline or Trawl Fishing in the Convention Area)

## Fishing with Demersal Longlines

Table 7\*: Seabird mitigation measures in Demersal Longline (Para 9 & Annex 1 para 1)

| Biological discharge during<br>shooting/hauling prohibited?<br>(Annex 1, Para 1.a) | Identify the Seabird<br>mitigation measures used in<br>SPRFMO Area?<br>(Annex 1, Para 1.b) | Observer<br>coverage<br>level <sup>2</sup> ? | Nº of<br>Seabird<br>interactions? | Approx. Seabird mortality rate (per 1000 hooks observed) |
|--|--|--|-----------------------------------|--|
| YES □ NO □   |  |  |                                   |  |

<sup>\*</sup>References the Reporting Period

| Annex 1, para 1b) ii: Have you maintained spatially and tempor  | ally appropriate observer coverage for the   |  |  |  |
|---|--|--|--|--|
| previous 5 consecutive calendar years at levels greater than 10% and recorded a seabird mortality rate less |  |  |  |  |
| than 0.01 birds/ 1000 hooks?  |  |  |  |  |
| YES 🔲 If yes, your vessels only needed to apply 1 measure; please answ                                      | er questions below                           |  |  |  |
| <ul> <li>Are any of your demersal longline vessels only re</li> </ul>                                       | equired to apply one of the three specified  |  |  |  |
| mitigation measures (i.e., line weighting, bird sca   | ring, setting at night)?                     |  |  |  |
| YES □   |  |  |  |  |
| NO □  |  |  |  |  |
| <ul> <li>During the reporting period have you maintained</li> </ul>   | a minimum of 10% observer coverage over      |  |  |  |
| your demersal longline fleet?   |  |  |  |  |
| YES 🗆   |  |  |  |  |
| NO □  |  |  |  |  |
| NO □  |  |  |  |  |
|   |  |  |  |  |
| Annex 1 para 2: Have any of your demersal longline vessels exc  | ceeded a mortality rate of 0.01 birds/1000   |  |  |  |
| hooks during the reporting period?  |  |  |  |  |
| YES 🔲 If yes, answer the following question   |  |  |  |  |
| Annex 1, para 2a): Please list those vessels and stat   | te if, after the mortality event(s), whether |  |  |  |
| these vessels applied at least one additional measur  | e?   |  |  |  |
| Enter relevant details here   |  |  |  |  |
| NO □  |  |  |  |  |
|   |  |  |  |  |

 $<sup>^{1}</sup>$  When completing this section Members and CNCPs should consider paragraph 19 of CMM 03-2023, as relevant.

<sup>&</sup>lt;sup>2</sup> Observer coverage focused on recording seabird bycatch; provided as a percentage of number of hooks.



## **Trawl Fishing**

Table 8\*: Seabird mitigation measures in Trawl Fisheries (Para's 3, 9 & Annex 2 para 1, 2)

|   | № of vessels that<br>do not discharge<br>Biological material?<br>(Exempt from<br>Mitigation<br>Measures) | No. of vessels discharging<br>Biological material?<br>(Apply the Mitigation<br>Measures) | Identify the seabird<br>mitigation measures<br>used in SPRFMO<br>Area | Observer<br>coverage<br>level <sup>1</sup> | Nº of seabird interactions | Approx. seabird<br>mortality rate (per<br>tow observed) |
|---|--|--|---|--|----------------------------|---|
| Ĺ | ***************************************  |  |   |  |                            |   |

<sup>\*</sup>References the Reporting Period

| Annex 2, para 2: Have you maintained 100% observer coverage for the previous 5 consecutive calendar years with less than 1 recorded mortality per vessel per year within your SPRFMO trawl fisheries?  VES   If yes, your vessels only needed to apply 1 measure; please answer questions below |
|---|
| • Have you exempted any of your vessels from applying the specified mitigation measures   |
| (bird scaring lines, responsible discharge management, net cleaning, surface time minimisation)?  |
| YES If yes, please list the vessel(s) and identify the fisheries concerned  Enter relevant details here   |
| NO □  |
| <ul> <li>During the reporting period did any mortality events occur?</li> </ul>   |
| YES   If yes, please list the vessels concerned and stipulate whether, after the mortality, these vessels applied appropriate mitigation measures   |
| Enter relevant details here  NO   |
| 112 —   |
| NO 🗆  |
| Para 3: Did you have any trawlers which are exempt from applying seabird mitigation measures because  |
| they discharge no biological material?  |
| YES If yes, please list those vessels and stipulate when these vessel exemptions were last reviewed   |
| Enter relevant details here   |
| NO 🗆  |

 $<sup>^{\</sup>mathrm{1}}$  Observer coverage focused on recording seabird by catch; provided as a percentage of number of tows.



## CMM 10-2020 Compliance Monitoring Scheme

(NOTE: This Section is Optional – if NIL response please proceed to next CMM)

#### Purpose

**Para 1b:** If appliable, please identify any areas in which you feel technical assistance or capacity building may be needed to assist Members and CNCPs to achieve compliance.

Enter relevant details here

N/A

**Para 1c:** If applicable, please identify any aspects of CMMs which you feel may require improvement or amendment to facilitate or advance their implementation.

Enter relevant details here

N/A



## CMM 11-2015 Boarding & Inspection Procedures

(NOTE: CMM 11-2023 is not in effect until 1 October 2023 – i.e., the next reporting period.

This reporting period the assessment continues to be modelled on CMM 11-2015)

**Para 1:** The Commission's procedures on at sea inspection in the SPRFMO Convention Area shall be those contained in Articles 21 and 22 of the <u>1995 Agreement</u> (Agreement for the Implementation of the Provisions of the United Nations Convention on the Law of the Sea of 10 December 1982 relating to the Conservation and Management of Straddling Fish Stocks and Highly Migratory Fish Stocks).

#### General

| Para 2: Did any of your flagged vessels fish in the SPRFMO Area during the Reporting period?                |
|---|
| YES   If yes, please answer the questions below   |
| <ul> <li>Have you designated, and publicised through SPRFMO, an appropriate authority to receive</li> </ul> |
| Boarding and Inspection related notifications as described in Article 21.4 of the 1995                      |
| Agreement? (please advise if any updates required)  |
| YES   |
| NO 🗆  |
| <ul> <li>Have you ensured that vessel masters comply with stipulations (a) through to (f) under</li> </ul>  |
| Article 22.3 of the 1995 Agreement?   |
| YES □   |
| NO □  |
| NO ☑ If no, Proceed to next page (Article 21.3)   |
|   |
| Member's Vessels Inspected  |
| Para 2: Were any of the vessels flying your flag inspected by a SPRFMO Contracting Party?                   |

| Member's Vessels Inspected   |
|--|
| Para 2: Were any of the vessels flying your flag inspected by a SPRFMO Contracting Party?  |
| YES  If yes, please answer the questions below   |
| <ul> <li>Were there any cases in which there were clear grounds for believing that a vessel has<br/>engaged in any activity contrary to the CMMs of the SPRFMO?</li> </ul> |
| YES $\square$ If yes, please answer the question below   |
| • If yes, how were the procedures described under Article 21.6 and 21.12 addressed? Enter relevant details here  |
| <ul><li>Did you encounter any problems?</li></ul>  |
| YES □ NO □ Enter relevant details here   |
| NO □   |
| NO □   |



## Conducting At-Sea Inspections

|       | 3: Have you conducted any at-sea inspections in SPRFMO during the recent reporting period?  |
|-------|---|
| YES 🗆 | If yes, please answer the questions below   |
|       | <ul> <li>Article 21.4: Have you informed the SPRFMO Secretariat of the form of identification issued<br/>to your duly authorised inspectors?</li> <li>YES □ NO □</li> </ul>                               |
|       | 1   |
|       | <ul> <li>Article 21.4: Are your vessels used for boarding and inspection clearly marked and<br/>identifiable as being on government service?</li> <li>YES □ NO □</li> </ul>                               |
|       | • Have you ensured that your inspectors observe all provisions under Articles 21.10 and 22.1 of the 1995 Agreement?   |
|       | YES NO S  |
|       | <ul><li>Enter relevant details here</li><li>How many at-sea inspections did you conduct?</li></ul>  |
|       | Enter relevant details here   |
|       | <ul> <li>Article 21.5: Following a boarding and inspection, have you had clear grounds for believing<br/>that a vessel has engaged in any activity contrary to the CMMs of the SPRFMO?</li> </ul>         |
|       | YES $\square$ NO $\square$ If yes, please list all cases below and answer the following 2 questions Enter relevant details here   |
|       | <ul> <li>Article 21.8: In any of these cases, did you have clear grounds for believing that a vessel has committed a serious violation in accordance with Article 21.11 of the 1995 Agreement?</li> </ul> |
|       | YES NO  |
|       | Enter relevant details here   |
|       | <ul> <li>Article 21.9: Did you secure evidence and promptly notify the flag State of the alleged<br/>violation and the results of any further investigation?</li> </ul>                                   |
|       | YES NO NO   |
|       | Enter relevant details here   |
|       | Did you encounter any problems?   |
|       | YES NO If yes, please provide details below   |
| NO ⊠  | Enter relevant details here  If no, Proceed to next CMM   |
| NU 🖂  | ון ווט, רוטנפבע נט וופגנ כועוועו  |



## CMM 12-2023 Transhipment and Other Transfer Activities

| First Question Applies to All Members/CNCPs:   |
|--|
| Have any of your authorised vessels conducted transhipments or other transfer activities (including at-sea transfer of fuel, crew, gear or other supplies) during the reporting period?  |
| N/A   Member/CNCP did not have any vessels in SPRFMO during the reporting period. Skip to next CMM   |
| YES   If yes, complete the following tables and questions on this CMM  |
| NO 🗵 If no, skip to the next CMM   |
|  |
| General Provisions for all Vessels Engaged in Fishing in the Convention Area   |
| Paras 2: TRANSHIPMENTS: Were all transhipments at-sea and in-port undertaken between authorised  |
| vessels included in the Commission Record of Vessels at the time of the activity?  |
| YES □  |
| NO ☐ Enter relevant details here   |
| N/A ☐ (Select if your Vessels did not engage in transhipments)   |
|  |
| Paras 3: TRANSFERS: In the Convention Area, were at-sea transfers of fuel, crew, gear or any other supplies limited to only vessels on the Commission Record of Vessels at the time of the activity?  YES □  |
| NO ☐ Enter relevant details here   |
| N/A ☐ (Select if your Vessels did not engage in at-sea transfers)  |
| Conly complete the rest of this CMM if your flagged vessels transhipped SPRFMO Fisheries Resources> Paragraph 10: Standard Notification and Reporting Did you provide all data relating to transhipment events such as such as notifications, observer logsheets, and operational details, to the Secretariat in a standardised format using the appropriate templates? YES  NO  Enter relevant details here |
| Transhipments of Fisheries Resources Caught in the Convention Area Paragraph 4:  |
| Transhipment <b>7- day advance</b> notifications ( <i>not applicable to Jumbo Flying Squid (GIS)</i> ): Were advance transhipment notifications of the 14-day transhipment period, sent to the Secretariat at least 7 days in advance, for all receiving vessels (Carriers) receiving SPRFMO resources (non-GIS)?  |
| N/A ☐ Member/CNCP Flagged Vessels were not Carrier Vessels or only received Jumbo Flying Squid  YES ☐  NO ☐ Enter relevant details here  |
| YES  NO  Enter relevant details here  Paragraph 5:   |
| YES  NO Enter relevant details here  |



|  | Paragraph | n 9: Transhi | pment O | perational | Details: |
|--|-----------|--------------|---------|------------|----------|
|--|-----------|--------------|---------|------------|----------|

| Paragraph 9: Transhipment Operational Details:  |  |  |  |  |
|---|--|--|--|--|
| Species other than Jumbo Flying Squid:  |  |  |  |  |
| Were the transhipment operational details sent to the Secretariat no later than 7 days after the  |  |  |  |  |
| transhipment was carried out (applies to both the receiving vessels (carriers) and the unloading (catcher)  |  |  |  |  |
| vessels)?   |  |  |  |  |
| N/A   Member/CNCP Flagged Vessels only involved in transhipment of Jumbo Flying Squid   |  |  |  |  |
| YES   |  |  |  |  |
|   |  |  |  |  |
| NO   Enter relevant details here  |  |  |  |  |
|   |  |  |  |  |
| Jumbo Flying Squid only:  |  |  |  |  |
| Were the transhipment operational details sent to the Secretariat within 20 days of the end of each quarter   |  |  |  |  |
| for which the transhipment was carried out. This applies to both the receiving vessels (carriers) and the   |  |  |  |  |
| unloading (catcher) vessels?  |  |  |  |  |
| N∕A ☐ Member/CNCP Flagged Vessels not involved in transhipment of Jumbo Flying Squid  |  |  |  |  |
| YES □   |  |  |  |  |
| NO   Enter relevant details here  |  |  |  |  |
|   |  |  |  |  |
| Complete the following 3 tables (enter a Zero or Nil in the box if not appliable):  |  |  |  |  |
| complete the following 5 tables (enter a zero or fill in the box is not apphable).  |  |  |  |  |
| Table 9: Transhipments of T. murphyi caught in the Convention Area (Para's 5, 6, 9)   |  |  |  |  |
| Nº of transhipments in which your Nº of transhipments in which your How many of the <i>T. murphyi</i>   |  |  |  |  |
| vessels unloaded <i>T. murphyi</i> catch vessels received <i>T. murphyi</i> catch transhipments were observed?  |  |  |  |  |
|   |  |  |  |  |
|   |  |  |  |  |
| Please list the vessels that conducted transhipments of <i>T. murphyi</i> during the reporting period   |  |  |  |  |
| Enter relevant details here or attach separate list   |  |  |  |  |
|   |  |  |  |  |
|   |  |  |  |  |
| Table 10: Transhipments of jumbo flying squid caught in the Convention Area (Para's 5, 6, 9)  |  |  |  |  |
| Nº of transhipments in which your № of transhipments in which your How many of the jumbo flying squid   |  |  |  |  |
| vessels unloaded jumbo flying squid? vessels received jumbo flying squid? transhipments were observed?  |  |  |  |  |
|   |  |  |  |  |
|   |  |  |  |  |
| Please list the vessels that conducted transhipments of <i>jumbo flying squid</i> _during the reporting period  |  |  |  |  |
|   |  |  |  |  |
| Enter relevant details here or attach separate listing.   |  |  |  |  |
| Enter relevant details here or attach separate listing.   |  |  |  |  |
| Enter relevant details here or attach separate listing.   |  |  |  |  |
| Table 11: Transhipments of demersal species¹ caught in the Convention Area (Para's 5, 6, 9)   |  |  |  |  |
| Table 11: Transhipments of demersal species¹ caught in the Convention Area (Para's 5, 6, 9)  Nº of transhipments in which your  Nº of transhipments in which your  How many of the demersal   |  |  |  |  |
| Table 11: Transhipments of demersal species¹ caught in the Convention Area (Para's 5, 6, 9)   |  |  |  |  |
| Table 11: Transhipments of demersal species¹ caught in the Convention Area (Para's 5, 6, 9)  Nº of transhipments in which your  Nº of transhipments in which your  How many of the demersal   |  |  |  |  |
| Table 11: Transhipments of demersal species¹ caught in the Convention Area (Para's 5, 6, 9)  Nº of transhipments in which your  Nº of transhipments in which your  How many of the demersal   |  |  |  |  |
| Table 11: Transhipments of demersal species¹ caught in the Convention Area (Para's 5, 6, 9)  Nº of transhipments in which your  Nº of transhipments in which your  How many of the demersal   |  |  |  |  |
| Table 11: Transhipments of demersal species¹ caught in the Convention Area (Para's 5, 6, 9)  Nº of transhipments in which your vessels unloaded demersal catch vessels received demersal catch transhipments were observed?   |  |  |  |  |
| Table 11: Transhipments of demersal species¹ caught in the Convention Area (Para's 5, 6, 9)  Nº of transhipments in which your vessels unloaded demersal catch vessels received demersal catch vessels that conducted transhipments of demersal species during the reporting period |  |  |  |  |

 $<sup>^1</sup>$  Demersal species generally live on or near the ocean floor. Jumbo flying squid (GIS) in SPRFMO is  $\underline{not}$  considered to be a demersal species.



Interpretation

## CMM 13-2021 Exploratory Fisheries

| Para 4: Are any of your vessels currently permitted to fish in an approved SPRFMO exploratory fisheries?  YES □  NO ☒   |
|---|
| If Yes: did the fishing activities for your flagged vessels conform with the "Fishing Activity" requirements outlined in Para 18 (abide to the Operational Plan), Para 19 (completed Data Collection Plan was submitted), and Para 20 (Independent Observers assigned to collect data)  YES  NO (elaborate)   |
| Requirements for Exploratory Fisheries  |
| Para 5: Are you seeking to permit a vessel(s) under your flag to fish in an exploratory fishery?  YES   If yes, answer the following questions  |
| <ul> <li>Para 5a: Have you submitted an application to the Commission in respect of these activities?</li> <li>YES □ NO □</li> </ul>  |
| <ul> <li>Para 5b: If have you submitted a Fisheries Operation Plan to the Scientific Committee in respect of these activities?</li> <li>YES \( \subseteq \) NO \( \subseteq \)</li> <li>(If YES, answer the following 2 questions)</li> </ul>   |
| <ul> <li>Was the succinct description of the intended Fisheries Operational Plan for information purposes submitted no less than 120 days prior to the next Annual Meeting of the Scientific Committee.</li> <li>YES \( \subseteq \) NO \( \subseteq \)</li> </ul>  |
| <ul> <li>Was the <b>full</b> Fisheries Operational Plan submitted no less than <b>60 days</b> in advance of the next Annual Meeting of the Scientific Committee.</li> <li>YES □ NO □</li> </ul>   |
| NO ⊠  |
| Fishing Activity  |
| Para 17: During the reporting period did any of your flagged vessels fish in an exploratory fishery (as defined in Paragraph 4- referenced below) without approval from the Commission?  YES □  NO ☒  |
| Para 4: For the purposes of this CMM, a fishery is an "exploratory fishery":<br>a) If it has not been subject to fishing in the previous ten years; or<br>b) For the purposes of fishing with a particular gear type or technique, if it has not been subject to fishing<br>by that particular gear type or technique in the previous ten years; or |

c) If fishing in that fishery has been undertaken in the previous ten years pursuant to this CMM, and a decision has not yet been taken in accordance with paragraph 25 or 26 of this CMM to either close or

manage the fishery as an established fishery; or

d) If it is of a kind listed in paragraph 15 of CMM 03-2023 (Bottom Fishing).



## CMM 14a-2022 Exploratory Fishing for Toothfish by New Zealand-Flagged Vessels in the SPRFMO Convention Area

(This CMM is only applicable to New Zealand; all other Members and CNCPs can move to the next CMM).

| Details and Specification of Exploratory Fishing Activities   |
|---|
| Para 6: How many exploratory toothfish fishing trips occurred in total during 2023?                           |
| Of these, how many trips occurred between August and October (post-spawning)?                                 |
| Enter relevant details here   |
| NIL 🗆 If "nil" then skip to the next CMM  |
|   |
| Para 5: Was the exploratory fishing for toothfish all conducted within the eight exploratory fishing research |
| blocks (refer L, M, N, O, P, Q, R, and S in Table 1 of CMM14a-2022)?  |
| YES □   |
| NO 🗆  |

### Table 12: Total Allowable Catch\* (Paras 8, 9e, 9f and 9h)

| Authorised Vessel | Exploratory fishing block | Post spawning Catch (Aug - Oct) | Non post-spawning Catch |
|-------------------|---------------------------|---------------------------------|-------------------------|
|                   | L                         |                                 |                         |
|                   | M                         |                                 |                         |
|                   | N                         |                                 |                         |
| Can Acnirina      | 0                         |                                 |                         |
| San Aspiring      | Р                         |                                 |                         |
|                   | Q                         |                                 |                         |
|                   | R                         |                                 |                         |
|                   | S                         |                                 |                         |
| Janas             | L                         |                                 |                         |
|                   | M                         |                                 |                         |
|                   | N                         |                                 |                         |
|                   | 0                         |                                 |                         |
|                   | Р                         |                                 |                         |
|                   | Q                         |                                 |                         |
|                   | R                         |                                 |                         |
|                   | S                         |                                 |                         |

<sup>\* 40</sup>t max per exploratory research fishing block; overall maximum combined catch limit of 240t/yr; 50% max taken outside Aug to Oct.

### Table 13: Total Allowable Catch – Effort Limit\* (Paras 9a and 9c)

| Exploratory fishing block | Total number of Clusters | Number of Sets |
|---------------------------|--------------------------|----------------|
| L                         |                          |                |
| M                         |                          |                |
| N                         |                          |                |
| 0                         |                          |                |
| Р                         |                          |                |
| Q                         |                          |                |
| R                         |                          |                |
| S                         |                          |                |

<sup>\*</sup> Conduct at least 3 clusters for each research block, with each cluster no more than 5 sets.



Table 14: Management Measures (Para's 9, 16 and 18)

| Protocol   | Value | Reference |
|--|-------|-----------|
| Other than the 2 vessels named, did any additional vessels fish? (Identify vessel)                                     |       | Para 13   |
| Number of clusters composed of more than 5 sets  |       | Para 9a   |
| Number of clusters within 10nm of another cluster? (within the same voyage or fishing season)                          |       | Para 9b   |
| Number of sets beginning, or ending, deeper than 2,200 m?  |       | Para 9d   |
| Number of sets with more than 6,900 hooks?   |       | Para 9a   |
| Number of clusters with more than 17,250 hooks?  |       | Para 9a   |
| Number of toothfish tagged   |       | Para 16b  |
| Minimum tagging size overlap statistic   |       | Para 16b  |
| Number of occasions where over 250 kg of Deepwater sharks (class Chondrichthyes) were caught within a cluster of sets? |       | Para 18   |

| Marine Mamma            | ls Seahirds    | Turtles and    | other Species | s of Concern  |
|-------------------------|----------------|----------------|---------------|---------------|
| ivial life ivial lillia | is. Scapii us. | i ui uca, ai u | OUTE SUCCE.   | 3 OI COILCEII |

| Marine Marinials, Scabil as, Farties, and other species of concern                                    |
|---|
| Para 22: Was there any occasion when any of the mitigation methods described in paragraph 21 a) to i) |
| were not employed as appropriate?   |
| YES 🗌 If yes, describe below  |
| Enter relevant details here   |
| NO □  |
|   |

Table 15: Marine Mammals, Seabirds, Turtles, and other Species of Concern (Para 23)

| Paragraph 22 information  | Value | Reference |
|---|-------|-----------|
| Number of Seabird/Marine mammal abundance counts taken during setting   |       | Para 22a  |
| Number of Seabird/Marine mammal abundance counts taken during hauling   |       | Para 22a  |
| % of hooks hauled that were observed for incidental captures            |       | Para 22c  |
| Were set and haul operations video recorded with multi-camera EM system |       | Para 22d  |
| Number of captures of Marine Mammals                                    |       | Para 22e  |
| Number of captures of Seabirds  |       | Para 22e  |
| Number of captures of Turtles   |       | Para 22e  |
| Number of captures of other Species of Concern                          |       | Para 22e  |
| Number (%) of dead birds retained for ID and necropsy                   |       | Para 22 f |

| Monitoring   |
|--|
| Para 24: Was a New Zealand government observer onboard during the exploratory fishing?                   |
| YES   If yes, answer the following question  |
| <ul><li>Was there a dedicated assistant available?</li></ul>   |
| YES 🗆 NO 🗆   |
| NO □   |
|  |
| Para 25: Has recorded footage of each voyage showing all hauled lines and hooks been provided to the New |
| Zealand Ministry for Primary Industries?   |
| YES □  |
| NO □   |
|  |
| Para 26: Was the vessel equipped with at least 2 tamperproof ALCs meeting SPRFMO standards for VMS       |
| reporting?   |
| YES □  |
| NO □   |



**Objectives** 

## CMM 14b-2023 Exploratory Potting Fishery in the SPRFMO Convention Area<sup>1</sup>

(This CMM is only applicable to the Cook Islands; all other Members and CNCPs can move to the next CMM).

Para 1: Did your vessels conduct exploratory pot fishing for lobster and/or crab during the reporting period?

| YES   |                            |
|---|----------------------------|
| NO ☐ If "no" skip to the end (para 30)  |                            |
|   |                            |
| Details and Specification of Exploratory Fishing Activities (Para 5 and 6)            |                            |
| Para 5: Did all the fishing use the bottom potting method?                            |                            |
| YES If yes, answer the following question   |                            |
| • Was it all conducted within the exploratory areas specified in Para 5 -             | Table 1 of this measure?   |
| YES □   |                            |
| NO 🗆  |                            |
| NO ☐ If no, elaborate   |                            |
|   |                            |
| Para 6: Was any fishing carried out in the Kopernik Valley (as per coordinates in     | n Para 6 - Table 2) below  |
| depths of 250 metres?   | •                          |
| YES If yes, elaborate   |                            |
| NO 🗆  |                            |
|   |                            |
| Table 16: Details and Specification of Exploratory Fishing Activities (Paras 5,       | 8 and 10)                  |
| Information   | Value Reference            |
| Total number of Seamounts (or other features) fished                                  | Para 5                     |
| The most lines set in a single day  | Para 8                     |
| The most lines hauled in a single day   | Para 8                     |
| The most traps used per line on a single day (Lobster fishing)                        | Para 8                     |
| The most traps per line on a single day (Crab fishing below 300m)                     | Para 8                     |
| Was the CPUE limit of 4 Kg per trap applied (and assessed) for the Kopernik Seamount  | Para 10                    |
| Was the CPUE limit reached, and the Kopernik Seamount closed                          | Para 10                    |
| Days Or Did the respect test altermetive twent designs to evaluate grown selectivity. |                            |
| Para 9: Did the vessel test alternative trap designs to evaluate gear selectivity?    |                            |
| YES  Enter relevant details here  |                            |
| NO 🗆  |                            |
| Para 11: Did any fishing trip on the Kopernik Seamount exceed the 20t TAC for Ja      | sus caveotum?              |
| YES □ Enter relevant details here   |                            |
| NO □  |                            |
| Para 12: Did any lobster fishing occur during the July - September period?            |                            |
| YES □ Enter relevant details here   |                            |
| NO 🗆  |                            |
| Para 12: Was lobster retained onboard while fishing other target species during the   | e July - September period? |
| YES □ Enter relevant details here   |                            |
| NO 🗆  |                            |
| 1   |                            |
|   |                            |

 $<sup>^{1}</sup>$  The implementation of paragraph 29 (VMS) can be found within CMM 06-2023.

The implementation of paragraph 22 (Data collection) can be found within CMM 02-2022.



| Para 13: What was the tonnage of lobster and crab (combined) caught during the reporting period (effective   |
|--|
| start date from 01 July 2022 to 30 Sept 2022)?   |
| (Note: Unless the amount is 300t or more this amount will carry forward to next report to capture the full "July to June" fishing year as per the CMM)   |
| Para 14: Did the vessel conducting the exploratory fishery provide the Member with weekly reports on its   |
| fishing activity?  |
| YES   Enter relevant details here  |
| NO 🗆   |
| Para 16a: At any time during the exploratory fishing did the stock indicators show sustainability concerns?  |
| YES   Enter relevant details here  |
| NO □   |
| Para 17: Did the Member ensure that the fishing vessel authorised had experience working within catch  |
| limits and used intensive monitoring of all catch?   |
| YES   Enter relevant details here  |
| NO □   |
|  |
| Authorised Vessels   |
| Para 19: Which vessel(s) were authorised and undertook the exploratory potting?  |
| Enter relevant details here  |
| Marina managarah, arabinda tumtlar and athan anasiar af armann   |
| Marine mammals, seabirds, turtles, and other species of concern  |
| Para 24: Were all the mitigation methods specified in paragraph 24 a)-d) implemented during the  |
| exploratory fishing?   |
| YES   NO Description of the state of the sta |
| NO   Enter relevant details here   |
| Para 25: Was the information described in paragraph 25 a)-e) relating to marine mammals, seabirds, turtles,  |
| and other species of concern collected?  |
| YES 🗆  |
| NO D Enter relevant details here   |
|  |
| Monitoring & Data Collection   |
| Para 27: Were there Cook Islands' Government observer(s) on board the vessel during the exploratory  |
| fishing?   |
| YES ☐ Enter relevant details here  |
| NO 🗆   |
|  |
| Para 28: Was there a video monitoring and recording system installed over the hauling position and was the   |
| footage provided to the Cook Islands Government at the end of each voyage?   |
| YES  |
| NO ☐ Enter relevant details here   |
| Exploratory Fishing Proposal (Amended)   |
| Para 30: Did the Cook Islands present a full and comprehensive amended exploratory fishing proposal to the   |
| 11 <sup>th</sup> meeting of the SPRFMO Scientific Committee as described in para 30 including points a) to d)?   |
| YES   YES  |
| NO  Enter relevant details here  |
|  |



## CMM 14e-2023 Exploratory Fishing for Toothfish by European Union Vessels in the SPRFMO Convention Area

(This CMM is only applicable to the European Union; all other Members and CNCPs can move to the next CMM).

### Duration, catch and effort limits

| Para 6: Did authorised EU vessels engage in exploratory toothfish fishing pursuant to this CMM during the reporting period (01 October 2022 and 30 September 2023)?  1) 2022 Fishing Season: Fishing in the portion between 01 and 31 October 2022?  YES □  NO □  2) 2023 Fishing Season: Fishing in the portion between 1 May 2022 and 31 October 2023?  (Note: Activity occurring between 01-15 November 2023 can be reported in the next implementation report).  YES □  NO □  Para 5: Was all exploratory toothfish fishing conducted within the George V Fracture Zone Research Block? (refer to coordinates in Table 1)?  YES □  NO □ If no, please elaborate | Paration) outon and enorthmiss   |
|---|--|
| YES  NO  2) 2023 Fishing Season: Fishing in the portion between 1 May 2022 and 31 October 2023?  (Note: Activity occurring between 01-15 November 2023 can be reported in the next implementation report).  YES  NO  Para 5: Was all exploratory toothfish fishing conducted within the George V Fracture Zone Research Block? (refer to coordinates in Table 1)?  YES  |  |
| (Note: Activity occurring between 01-15 November 2023 can be reported in the next implementation report).  YES □  NO □  Para 5: Was all exploratory toothfish fishing conducted within the George V Fracture Zone Research Block? (refer to coordinates in Table 1)?  YES □   | YES 🗆  |
| (refer to coordinates in Table 1)? YES $\square$  | (Note: Activity occurring between 01-15 November 2023 can be reported in the next implementation report). YES $\Box$ |
| (refer to coordinates in Table 1)? YES $\square$  |  |
| YES □   | Para 5: Was all exploratory toothfish fishing conducted within the George V Fracture Zone Research Block?            |
|   | (refer to coordinates in Table 1)?   |
| NO 🗌 If no, please elaborate  | YES  |
|   | NO 🗌 If no, please elaborate   |

### Table 17: Duration, catch and effort limits

(Given the uniqueness of covering 2 seasons the reported information is separated by fishing season in this report for ease of reference and completion)

| 2021 Fishing season report (No fishing occurred May-Sept 2021)   | Value | Reference                  |
|--|-------|----------------------------|
| Total number fishing days (1 Oct to 15 Nov 2021) (Max 60)  |       | Para 6                     |
| Greenweight catch of Toothfish (tonnes) (Max 75t)  |       | Para 7                     |
| Number of hooks used per single set (Max 5000)   |       | Para 8                     |
| Total number of sets per annum (Max 120)   |       | Para 8                     |
| Number of times shorter lines used due to approach quota limit   |       | Para 9                     |
| Minimum depth fished (Between 600-2500m)   |       | Para 10                    |
| Maximum depth fished (Between 600-2500m)   |       | Para 10                    |
| 2022 Fishing season report (1 May – 31 October, 2022)*   | Value | Reference                  |
|  |       |                            |
| Total number fishing days ( <i>Max 60</i> )  |       | Para 6                     |
|  |       | Para 6<br>Para 7           |
| Total number fishing days (Max 60)   |       |                            |
| Total number fishing days ( <i>Max 60</i> )  Green weight catches of Toothfish (tonnes) ( <i>Max 75t</i> )   |       | Para 7                     |
| Total number fishing days ( <i>Max 60</i> )  Green weight catches of Toothfish (tonnes) ( <i>Max 75t</i> )  Number of hooks used per single set ( <i>Max 5000</i> )                |       | Para 7<br>Para 8           |
| Total number fishing days (Max 60)  Green weight catches of Toothfish (tonnes) (Max 75t)  Number of hooks used per single set (Max 5000)  Total number of sets per annum (Max 120) |       | Para 7<br>Para 8<br>Para 8 |

<sup>\*</sup>If data is not available for October, please report to 30 September

#### **Management Measures**

| Para 12: Were all hooks uniquely marked indicating the vessel and fishing campaign and the Executive |
|--|
| Secretary notified before the beginning of the trip?   |
| YES  |
| NO 🗌 If no, please elaborate   |
|  |



## **Authorised Vessels**

| Para 13: Was the FV <i>Tronio</i> used to conduct the exploratory fishing activity?                              |
|--|
| YES  |
| NO □   |
| If NO, what was the name of the vessel that was authorised to undertake fishing pursuant to this CMM and was the |
| Executive Secretary notified?  |
| Enter relevant details here  |
|  |

### Table 18: Data collection

| 2021 Fishing Season report            | Value      | Reference |
|---------------------------------------|------------|-----------|
| Number of toothfish tagged            |            | Para 16   |
| Overlap tagging statistic achieved    |            | Para 17   |
| Were recaptured tagged fish retained? | YES □ NO □ | Para 18   |
| 2022 Fishing Season report            | Value      | Reference |
| Number of tooth fish tagged           |            | Para 16   |
| Overlap tagging statistic achieved    |            | Para 17   |
| Were recaptured tagged fish retained? | YES NO NO  | Para 18   |

## Marine Mammals, Seabirds, and other Species of Concern

| Marine Marinias, Seabirds, and other Species of Concern  |
|--|
| Para 20: Was there any occasion when any of the mitigation methods described in paragraphs 20 a) to k) |
| were not employed as appropriate?  |
| YES   If yes, please describe  |
| NO □   |
|  |

## Table 19: Mitigation measures\* (Para 20)

| Table 19: Miligation measures (Para 20)   |            |              |
|---|------------|--------------|
| Protocol  | Value      | Reference    |
| 1) Seabird mitigation measures:   |            | Para 20 a-g  |
| Did the vessel release weights before line tension occurred   | YES □ NO □ | Para 20a     |
| Was offal dumped or discards while fishing lines were being set or hauled   | YES □ NO □ | Para 20b     |
| Were the other discarding protocols followed  | YES □ NO □ | Para 20c,d,e |
| Were two bird scaring devices deployed when setting lines   | YES □ NO □ | Para 20      |
| Was at least one bird exclusion device used   | YES □ NO □ | Para 20f     |
| Was the trigger level of 0.01 birds per/1000 hooks exceeded?  | YES □ NO □ | Para 20g     |
| 2) Seal and Cetacean bycatch mitigation measures:   |            | Para 20h     |
| Was there any seal or cetacean bycatch/entanglement?  | YES □ NO □ | Para 20h     |
| If yes, was the one-hour observation period conducted prior to more hauls   | YES □ NO □ | Para 20h     |
| 3) Shark, skate and macrourid mitigation measures:  |            | Para 20 i-k  |
| Was the "move-on" provision relating catches of more than 4 individuals from <i>Somniosidae</i> , <i>Lamnidae</i> , <i>Cetorhinidae</i> or <i>Alopiidae</i> family triggered? | YES □ NO □ | Para 20i     |
| Was the "move-on" provision relating to catches of more than 2 individuals of any one of these families of sharks triggered?  | YES □ NO □ | Para 20i     |
| Was the "move-on" provision relating to skate bycatch triggered?  | YES □ NO □ | Para 20j     |
| Was the "move-on" provision relating to Macrourus Bycatch triggered?  | YES □ NO □ | Para 20k     |

<sup>\*</sup>If necessary, add any clarification/explanation of exceptions to mitigation measures here (or add separate attachment):



| 2021 Fishing Season: Paragraph 21 information   | Value | Reference |
|---|-------|-----------|
| Number of Seabird/Marine mammal abundance counts taken during the setting of each line  |       | Para 21a  |
| Number of Seabird/Marine mammal abundance counts taken during the hauling of each line  |       | Para 21a  |
| % of hooks hauled that were observed for marine mammal, seabird and turtle interactions   |       | Para 21b  |
| Number of captures of Marine Mammals,   |       | Para 21c  |
| Number of captures of Seabirds (excluding collisions)   |       | Para 21c  |
| Number of captures of Sharks  |       | Para 21c  |
| Number of captures of Skates  |       | Para 21c  |
| Number of captures of other Species of Concern  |       | Para 21c  |
| Number of Seabirds collisions with vessel   |       | Para 21c  |
| Number of Seabirds released alive   |       | Para 21c  |
| Number of dead birds retained   |       | Para 21d  |
| 2022 Fishing Season: Paragraph 21 information   | Value | Reference |
| Number of Seabird/Marine mammal abundance counts taken during the setting of each line  |       | Para 21a  |
| Number of Seabird/Marine mammal abundance counts taken during the hauling of each line  |       | Para 21a  |
| % of hooks hauled that were observed for marine mammal, seabird and turtle interactions   |       | Para 21b  |
| Number of captures of Marine Mammals,   |       | Para 21c  |
| Number of captures of Seabirds (excluding collisions)   |       | Para 21c  |
| Number of captures of Sharks  |       | Para 21c  |
| Number of captures of Skates  |       | Para 21c  |
| Number of captures of other Species of Concern  |       | Para 21c  |
| Number of Seabirds collisions with vessel   |       | Para 21c  |
| Number of Seabirds released alive   |       | Para 21c  |
| Number of dead birds retained   |       | Para 21d  |
| ME Para 22: Was there evidence of any VME encounter during fishing activities? 2022 Fishing Season: YES  NO  2023 Fishing Season: YES  NO |       |           |
| Monitoring  |       |           |
| Para 23: Was a scientific observer onboard during the exploratory fishing? 2022 Fishing Season:   |       |           |
| YES if yes, please answer the question below  |       |           |
| • Was there a dedicated assistant available? YES \( \sigma \) NO \( \sigma \)   |       |           |
| NO   NO   |       |           |
| 0000 5: 1: 0  |       |           |
| 2023 Fishing Season:  |       |           |
| 2023 Fishing Season:  YES  if yes, please answer the question below   |       |           |
| -   |       |           |

Para 26: Did the vessel use a tamper-proof electronic monitoring system (CCTV) to record sensor and video data, overlaid with accurate GPS and timestamp on video records, to monitor all setting and hauling activity?

2022 Fishing Season: YES □ NO □ 2023 Fishing Season: YES □ NO □

#### **Environmental Data Collection**

Para 27: Did the vessel record additional environmental data including *in situ* imagery of seabed species and habitats, and CTD (conductivity, temperature, depth) sensors deployed on longlines?

2022 Fishing Season: YES □ NO □ 2023 Fishing Season: YES □ NO □



## CMM 15-2016 Vessels Without Nationality

(Non-mandatory: Members, CNCPs, and non-parties are encouraged to take the following measures or actions.)

| nationality | ring the reporting period did you share any information about the activities of vessels without, and/or where appropriate, enact domestic legislation, to prevent and deter vessels without from engaging in fishing or fishing related activities in the Convention Area?  If yes, please provide additional details  Enter relevant details here |
|-------------|--|
| NO ⊠        |  |
|             |  |
|             | ing the reporting period did you take any actions, in accordance with international law, against hout nationality? (e.g. by prohibiting landing, transhipment or access to port services).   |
| YES 🗆       | If yes, please provide additional details Enter relevant details here  |
| NO ⊠        |  |



## CMM 16-2023 the SPRFMO Observer Programme<sup>1</sup>

| Observer Pro                                   | gram Accreditation (Information Collection)   |
|--|---|
|  | ently have an accredited SPRFMO Observer Programme (i.e., the observer program has been   |
| 1  | the Accreditation Evaluator and the Commission has granted accreditation)?  |
| YES 🗵 🛭 🗓                                      | f appliable, continue to General Rules Section below  |
| NO □ /j  | f NO respond to Paragraph 24  |
| Evaluation of                                  | observer programmes (Information Collection)  |
|  | observer programmes (Information Collection)  |
|  | ou intend to pursue accreditation under the SPRFMO OP?  If yes, please indicate when you intend to begin the accreditation process?   |
| YES 🗆  | 2023 (We are currently being assessed by the SPRFMO OP Accreditation Evaluator)   |
|  | 2024 (Note: effective <b>1 Jan 2025</b> observers must be from accredited programs)   |
|  |   |
| NO $\square$                                   | Other timeframe (please specify): *We have no plans to deploy observers in the SPRFMO Area  |
|  | Not applicable to Chinese Taipei.   |
| Comments.                                      | riot applicable to clililese Talpel.  |
| during the report to the next CN  General Rule |   |
|  | ou deploy any observers (to facilitate the collection of verified scientific data and additional  |
|  | related to fishing activities and its impacts on the ecosystem in the Convention Area)?   |
| YES □  |   |
| NO □ /   | f NO, Skip to the next CMM; no further information required in this section   |
|  |   |
|  |   |
|  | e you ensured that that observers from your national observer programmes or alternative have been granted their rights and perform their duties as set out in Annex 1 of the CMM? |
| NO □   |   |
|  |   |
|  | you ensured that your owners and fishing operators, vessel captains, officers, and vessel crews:  |
| YES  | ne rights of observers set out in Annex 1 of the CMM?   |
|  |   |
| NO 🗆   |   |
|  | rith the standards and duties set out in Annex 2 of the CMM?  |
| YES 🗆  |   |
| NO 🗆   |   |
|  |   |

 $<sup>^{1}</sup>$  The implementation of paragraphs 3 (Observer coverage) and 13 (Levels of coverage) are within the relevant fishery CMM. The implementation paragraph 44 (Data collection) can be found within CMM 02-2022

The implementation of paragraph 8 (independent and impartial observers) and paragraphs 23-43 (Evaluation of observer programmes) shall be assessed by the SPRFMO Observer Programme Accreditation Evaluator



| Den | lovment | of Oh | servers          |
|-----|---------|-------|------------------|
|     |         |       | <b>3CI VCI 3</b> |

| Para 10: Were the observers you deployed sourced from a 1) national observer programme or 2) SPRFMO              |
|--|
| accredited programmes <sup>1</sup> ?   |
| Specify:   |
|  |
| Para 11: Did you deploy any observers on board vessels flagged to another Member or CNCP?                        |
| YES If yes, please list the others Members/CNCPs involved  Enter relevant details here                           |
| NO □   |
| NO L   |
| Para 12: Did any of your observers refuse a deployment onboard a fishing vessel during the reporting period?     |
| YES   If yes, please specify reason(s):  |
| NO □   |
|  |
| Levels of Coverage   |
| Para 14: Did any of your scientific research vessels fish for research purposes in the Convention Area during    |
| the reporting period?  |
| YES   If yes, please answer the question below   |
| <ul> <li>Did the scientific personnel collect and provide the information specified in Annex 7 of CMM</li> </ul> |
| 02-2021 (Data Standards) in the manner set forth in that CMM?  |
| YES □ NO □   |
| NO 🗆   |
|  |
| Reporting  |
| Para 16 & 46: Did you include a brief overview of your national observer programmes (or service providers)       |
| as a component of your Annual National Report submitted to the Scientific Committee?                             |
| YES   If yes, please answer the question below   |
| • Did you document the methods used to allocate observers on fishing vessels to meet                             |
| observer coverage requirements?  |
| YES □ NO □   |
| NO 🗆   |
|  |

 $<sup>^{1}</sup>$  Noting that Members and CNCPs may continue using their own non-accredited national observer programme or service provider to meet observer coverage requirements until 31 December 2024.



## CMM 17-2022 Fishing Gear and Marine Plastic Pollution in the SPRFMO Convention Area

| Applicability  |
|--|
| Did any of your flagged vessels operate in the SPRFMO Convention Area during the reporting period?   |
| YES  |
| NO 🗵 If no, skip to the next CMM   |
| The Ed. of the property of the contract of the |
| Retrieval of Abandoned, Lost or Otherwise Discarded Fishing Gear   |
| Para 1: Please explain how you ensure that paragraphs 1a to 1f are implemented by your vessels:  |
| Enter relevant details here  |
|  |
| Para 3: Did any of your vessels abandon, lose, or otherwise discard any fishing gear?  |
| YES Number of Notifications submitted:   |
| NO 🗆   |
| Did any of your vessels retrieve any abandoned, lost or otherwise discarded fishing gear?  |
| YES Number of Notifications submitted:   |
| NO 🗆   |
|  |
| Marine Plastic Pollution   |
| Para 4: Are vessels flying your flag prohibited from discharging into the sea all plastics, including but not  |
| limited to synthetic ropes, synthetic fishing nets, plastic garbage bags and incinerator ashes from plastics?  |
| YES  |
| NO 🗆   |
| Enter relevant details here  |
|  |
| Other Marine Pollution (Note: Non-Mandatory provisions)  |
| Para 6: Have you implemented appropriate onboard storage and prohibited your fishing vessels operating   |
| within the SPRFMO Convention Area from discharging: oil or fuel products or oily residues into the sea;  |
| other garbage, including fishing gear, food waste, domestic waste, incinerator ashes and cooking oil; and  |
| sewage, except as would be permitted under applicable international instruments.   |
| YES  |
| NO 🗆   |
| Para 7: Have you requested that vessels flying your flag inform you about any ports, in countries that are   |
| Party to the annexes of MARPOL, which do not have adequate port reception facilities for MARPOL wastes?  |
| YES  |
| NO 🗆   |
| Para 8: During this reporting period did you undertake research into marine pollution related to fisheries in  |
| the SPRFMO Convention Area to further develop and refine measures to reduce marine pollution?  |
| YES If yes, continue to answer question below  |
| <ul> <li>Was information derived from these efforts submitted to the SPRFMO Scientific Committee</li> </ul>  |
| and/or the Compliance and Technical Committee?   |
| YES □ NO □   |
| NO 🗆   |
| Para 9: Have you conducted training and awareness programmes for the crew and master of fishing vessels  |
| flying your flag regarding the impacts of marine pollution and operational practices to eliminate marine   |
| pollution caused by fishing vessels?   |
| YES □  |
| NO 🗆   |



# CMM 18-2023 Management of the Jumbo Flying Squid Fishery in the SPRFMO Convention Area<sup>1</sup>

| General Provisions   |
|--|
| Para 1: Did your flagged vessels engage in, or intend to engage in, the <i>Dosidicus gigas</i> (jumbo flying squid)          |
| fishery in the Convention Area? (Includes catcher and carrier vessels)   |
| YES $\square$ If yes, complete the following tables and questions on this CMM  |
| NO 🗵 If no, skip to the next CMM   |
|  |
| Para 2: Did any of your flagged vessels engage in the <i>Dosidicus gigas</i> fishery in the Convention Area without          |
| being duly authorised pursuant to Article 25 of the Convention and included on the Commission Record of                      |
| Vessels?   |
| YES   If yes, please provide details   |
| NO 🗆   |
|  |
| Management of Jumbo Flying Squid   |
| Para 3: China, Korea and Chinese Taipei:   |
| Were the vessel numbers and/or gross tonnage limitations set out in Table 1 of the measure exceeded?                         |
| YES   YES  |
|  |
| NO 🗆   |
|  |
| Data Collection and Reporting  |
| Para 9: Have you collected, verified, and provided all required data to the Executive Secretary in accordance                |
| with CMM 02-2022 (Data standards) using the appropriate templates?   |
| YES  |
| NO If no, please explain   |
|  |
| Monitoring and Control   |
| Para 11: Have you implemented the Commission VMS requirements (CMM 06-2023) for all flagged vessels                          |
| engaged in the <i>Dosidicus gigas</i> fishery?   |
| YES  |
| NO 🗆 If no, please explain   |
|  |
| Para 12: (2 components)  |
| Have you provided the Executive Secretary a list of vessels <b>authorised to fish</b> in the <i>Dosidicus gigas</i> fishery? |
| YES □ NO □   |
|  |
| Have you notified the Executive Secretary of the vessels that have actively fished or engaged in                             |
| <b>transhipment</b> in the <i>Dosidicus gigas</i> fishery in the Convention Area within 30 days of the end of the calendar   |
| year?  |
| YES □ NO □   |
|  |
|  |

<sup>&</sup>lt;sup>1</sup> The questions for this CMM are specific to the Jumbo Flying Squid fishery. The implementation of the following paragraphs can also be found more broadly (all species/activities) within the corresponding CMMs: paragraphs 2 (vessel duly authorised) and 12 (active vessels)-Reference: CMM 05-2023; paragraphs 9 (Data collection) and 14 (annual reports)-Reference: CMM 02-2022; and paragraph 11 (VMS) Reference: CMM 06-2023.



| Scier | ntific | Com | mittee | Repo  | rts |
|-------|--------|-----|--------|-------|-----|
| 00.0. | 101110 |     |        | 11000 |     |

| Para 14: Did you submit your annual report including your<br>Executive Secretary at least one month before the most recen      | ,   |
|--|---|
| YES   YES  | it scientific committee meeting:                |
| NO ☐ If no, please explain   |   |
|  |   |
| Para 15: Did you conduct any research or stock assessments for   | or <i>D. gigas</i> during the reporting period? |
| YES If yes, please answer the question below   |   |
| • Was it submitted to the Scientific Committee?  | ?   |
| YES □ NO □   |   |
| Enter relevant details here  |   |
| NO 🗆   |   |
| Observer coverage  |   |
| Para 16: What was your observer coverage level on Jigging ves<br>(Complete one of the follo                                    |   |
| Number of  | Percentage of                                   |
| full time at-sea observers   | fishing days observed                           |
|  |   |
| (Minimum coverage of 5 full time at-sea observers <b>OR</b> 5% of fishing days)  |   |
|  |   |
| Special Requirements of Developing States  |   |
|  |   |
| Para 17: Did you provide financial, scientific and/or technical a  | , , ,   |
| Para 17: Did you provide financial, scientific and/or technical a States and territories and possessions to implement this CMM | , , ,   |
| Para 17: Did you provide financial, scientific and/or technical a  | , , , ,   |



## CMM 19-2021 Marking and Identification of Fishing Vessels

(This Measure entered into force on January 1, 2023)

| From 1 January 2023, did you have authorised vessels operating in the Convention Area?  |
|---|
| YES   |
| NO ⊠  |
| If NO, you have finished the Implementation report.   |
|   |
| <b>Para 1</b> : Commencing 1 January 2023, were your authorised vessels, operating in the Convention Area, marked in such a way that they can be readily identified, with markings prominently displayed at all times consistent with the specifications as outlined in Para 1, points a,b,c? |
| YES   |
| NO  If no, elaborate.   |
|   |
| Para 2: Was the placement of the markings as high as possible above the waterline on both sides and placed so as not to be obscured in part or in full by the fishing gear (stowed or in use) and not to extend below the waterline at any time?  |
| YES 🗆   |
| $NO \square$ If no, elaborate.  |
|   |
| Para 3: Did the vessel markings for the international Radio Call Sign (IRCS) meet the technical specifications outlined in Paragraph 3, points a) through I) inclusive?  YES □  NO □ If no, elaborate.  |
|   |
| Para 4: Have you ensured that your authorised vessels only have external markings as identified and permitted in this CMM?  YES □   |
| NO If no, please explain  |
|   |
| Para 5: Have you provided the marking and identification information for your authorised vessels to the Executive Secretary as part of the information required for the Commission Record of Fishing Vessels under CMM 05-2023 (Record of Vessels)?  YES □                                    |
| NO 🗌 If no, please explain  |
|   |

PLEASE RETURN YOUR COMPLETED IMPLEMENTATION REPORT TO THE SECRETARIAT ON OR BEFORE 25 OCTOBER 2023.