

The logo for the South Pacific Regional Fisheries Management Organisation (SPRFMO) is a blue rectangular banner with a repeating pattern of fish. The text "South Pacific Regional Fisheries Management Organisation" is written in white, bold, sans-serif font across the banner.

South Pacific Regional Fisheries Management Organisation

**1st Meeting of the Compliance and Technical Committee,
Manta, Ecuador: 27 - 31 January 2014**

CTC-01-15

**Proposed Conservation and Management Measure for the
establishment of the Record of Vessels authorized to fish in the
SPRFMO Convention Area**

Chile

**Conservation and Management Measure for the establishment of
the SPRFMO Record of Vessels authorized to fish in the Convention Area**

The Commission of the South Pacific Regional Fisheries Management Organization,

Recalling Article 27, 1, (a) of the Convention regarding the establishment of appropriate procedures for effective monitoring, control and surveillance of fishing and to ensure compliance with this Convention and the conservation and management measures adopted by the Commission, including the establishment and maintenance of a Commission record of vessels authorized to fish in the Convention Area;

Considering that according to Article 1, 1, (h) of the Convention, 'fishing vessel' means any vessel used or intended for fishing, including fish processing vessels, support ships, carrier vessels and any other vessel directly engaged in fishing operations;

Taking into account the provisions of Articles 23 and 25 of the Convention, regarding Data collection, compilation and exchange and Flag State duties,

Adopts the following conservation and management measure in accordance with Articles 8 and 20 of the Convention:

1. The appropriate government authorities of Members and Cooperating Non-Contracting Parties (CNCP) shall only authorize fishing vessels flying their flag to fish in the Convention Area where they are able to exercise effectively their responsibilities in respect of such vessels under the Convention, including relevant conservation and management measures adopted by the Commission, and in accordance with relevant international law.
2. Each Member and CNCP will take into account the history of fishing vessels and operators with respect to their compliance (or non-compliance) with relevant conservation and management measures when considering whether or not to authorize a particular fishing vessel flying its flag to fish in the Convention Area. Members and CNCPs shall ensure that no authorization to fish in the Convention Area is issued to a vessel that has a history of illegal, unreported or unregulated (IUU) fishing, unless the ownership of the vessel has subsequently changed and the new owner has provided sufficient evidence demonstrating that the previous owner or operator has no legal, beneficial or financial interest in, or control of the vessels, or the Member or CNCP concerned is satisfied that, having taken into account all relevant facts, the vessel is no longer engaged in or associated with IUU fishing.
3. Each Member or CNCP shall take necessary measures to ensure that the owners of vessels authorized to fish in the Convention Area on the SPRFMO Record of Vessels flying its flag are citizens, residents or legal entities within its jurisdiction so that any enforcement action can be effectively taken against them.

4. Each Members and CNCP is to establish a national record of its flagged vessels authorized to fish in the Convention Area.
5. Members and CNCPs are to collect and include for each vessel on its national record, the data described in Annex I of this Measure.
6. Members and CNCPs shall inform the Executive Secretariat of the vessels flying their flag authorized to fish in the Convention Area at least 30 days previous to the entering of such vessels in the Convention Area. In doing so, Members or CNCP shall provide the Executive Secretariat the details included in Annex I of this Measure.
7. Members and CNCP shall ensure that the data of the vessels flying their flag authorized to fish in the Convention Area is updated. Any modification regarding the vessel data shall be informed by the relevant Member or CNCP to the Executive Secretariat within 30 days after such modification.
8. Members and CNCP shall inform the Executive Secretariat when authorizations to fish in the Convention area are revoked, relinquished or otherwise no longer valid within 30 days of the date of invalidity of the authorization.
9. The SPRFMO Record of Vessels shall include all the fishing vessels on Member's and CNCP's national record authorized to fish in the Convention area, including the data submitted by Members and CNCPs according to Annex I of this Measure. The Record shall also include the name and ownership history of the vessel, and, where this is known, the history of non-compliance by that vessel, in accordance with national laws, with conservation and management measures or provisions adopted at a national, regional or global/international level.
10. The Executive Secretariat shall maintain the SPRFMO Record of Vessels authorized to fish in the Convention Area. A summary of the Record of Vessels shall be publicly available at the SPRFMO website, according to the provision of paragraph 8 of CMM 1.03.
11. The Record of Vessels shall indicate which of the authorized vessels have been actively fishing for each year. To this end each Member and CNCP participating in fishing activities in the Convention shall notify the Executive Secretary of the vessels that are actively fishing or engaged in transshipment in the Convention Area within 10 days of the end of each month. The Executive Secretariat shall maintain lists of the vessels so notified and will make them available on the SPRFMO website.
12. When a previously authorized vessel is no longer authorized by the Member or CNCP to fish within the Convention area, such vessel shall not be deleted from the Record of Vessels, but labeled as "not currently authorized".

13. The Commission will revise this conservation and management measure at the latest in 2016 to take into account the developments of the FAO Global Record initiative as relevant.

Annex I
Standard for vessel data

1. Members and CNCP are to collect data on an un-aggregated (vessel by vessel) basis
2. The following fields of data are to be collected, included on Member's and CNCP's national records, and informed to the Executive Secretariat pursuant to paragraph 7 and 8 of this measure:
 - i. Current vessel flag (including ISO 3-alpha country code)
 - ii. Name of vessel
 - iii. Registration number
 - iv. International radio call sign (if any)
 - v. UVI (Unique Vessel Identifier)/IMO number (if allocated)
 - vi. Previous Names (if known)
 - vii. Port of registry
 - viii. Previous flag (if any), including ISO 3-alpha country code
 - ix. External markings
 - x. Type of vessel (Use appropriate ISSCFV codes, Annex 11 of CMM 1.03)
 - xi. Type of fishing method(s) (Use appropriate ISSCFG codes, Annex 10 of CMM 1.03)
 - xii. Types of fish processing lines (if applicable)
 - xiii. When built
 - xiv. Where built
 - xv. Length
 - xvi. Length type e.g. "LOA", "LBP"
 - xvii. Moulded depth
 - xviii. Beam
 - xix. Gross Tonnage – GT (to be provided as the preferred unit of tonnage)
 - xx. Gross registered tonnage – GRT (to be provided if GT not available; may also be provided in addition to GT)
 - xxi. Power of main engine(s) (kw)
 - xxii. Hold capacity
 - xxiii. Freezer type (if applicable)
 - xxiv. Number of freezers (if applicable)
 - xxv. Freezing capacity (if applicable)
 - xxvi. Vessel communication types and numbers (INMARSAT A, B and C numbers);
 - xxvii. VMS system details (brand, model, features and identification);
 - xxviii. Electronic equipment on board (i.e., radio, echo sounder, radar, netsonda)
 - xxix. Name of owner(s)
 - xxx. Address of owner(s)
 - xxxi. Name of license owner(s) (if different from vessel owner)
 - xxxii. Address of license owner(s) (if different from vessel owner)
 - xxxiii. Name of operator(s) (if different from vessel owner)
 - xxxiv. Address of operator(s) (if different from vessel owner)
 - xxxv. Vessel authorization start date
 - xxxvi. Vessel authorization end date

- xxxvii. Name of vessel master
 - xxxviii. Nationality of vessel master
 - xxxix. Name of fishing master
 - xl. Nationality of fishing master
 - xli. Good quality high resolution photograph of the vessel of appropriate brightness and contrast, no older than 5 years, which shall consist of:
 - one photograph not smaller than 12 x 7 cm showing the starboard side of the vessel displaying its full overall length and complete structural features;
 - one photograph not smaller than 12 x 7 cm showing the port side of the vessel displaying its full overall length and complete structural features;
 - one photograph not smaller than 12 x 7 cm showing the stern taken directly from astern;
3. When Members and CNCPs provide the data indicated in paragraph 2 of this Annex, they will do so in accordance with the specifications and format prescribed in Annex 9 of CMM 1.03.