

**3rd Meeting of the Compliance and Technical Committee  
Valdivia, Chile, 21-23 January 2016**

**CTC-03-06**

Template for Annual Implementation Reports  
*Secretariat*

---

This paper contains a draft template for the SPRFMO Annual Implementation reports and is intended to assist discussions related to paragraph 4.bis of CMM 3.03 (Compliance and Monitoring Scheme) which states:

*“4.bis At least 90 days before the start of the CTC meeting Members and CNCs should provide the Secretariat with their Implementation reports as required by article 24 (2) of the Convention and any other relevant CMMs on the basis of a template to be developed by the Commission at its 2016 Annual meeting.*

*Until the template referred to above is adopted, the provisional template in Annex II will be used.”*

The attached template was previously circulated as letter 0116-2015 and was used by most Members and CNCs when submitting their 2014/15 Annual Implementation Report(s).

## CMM 3.03 Compliance and Monitoring Scheme

### ANNEX II: Provisional template for the Implementation Report on Implementation of the SPRFMO Conservation and Management Measures

Reporting Member/CNCP: [Click here to enter text.](#)

Calendar Year to which this report refers:

Date report prepared: [Click here to enter a date.](#)

#### **CMM 1.02 Gillnets in the SPRFMO Convention Area ([download](#))**

1. Members shall require that vessels flying their flag prohibit the use of large-scale pelagic driftnets and all deep-water gillnets in the Convention Area.

*Please describe how this requirement has been implemented*

[Click here to enter text.](#)

*Have any of your vessels transited the Area while carrying gillnets? YES  NO*

*(If so, was 36hrs advance notice given YES  NO ,*

*did the vessels operate a VMS YES  NO  Partial,*

*were VMS reports submitted YES  NO  Partial and*

*were any Gillnets lost overboard YES  NO ?*

*(refer Paras 2a, b, c & d)*

[Click here to enter text.](#)

## **CMM 1.04 Vessels presumed to have carried out IUU fishing activities in the SPRFMO Area ([download](#))**

*Did you transmit a list of presumed IUU fishing vessels to the Secretariat? YES  NO*   
*If so, did you inform the relevant flag state before, or at the same time as, transmitting the list? YES  NO*

[Click here to enter text.](#)

*Were any of your flagged vessels on the draft IUU list? YES  NO*   
*If so, did you notify vessel owners and inform them about the potential consequences? YES  NO*

[Click here to enter text.](#)

*Were any of your flagged vessels on the final IUU list? YES  NO*   
*If so, did you notify the vessel owners and inform them about the consequences of inclusion? Also what measures were taken to eliminate these IUU activities?*

[Click here to enter text.](#)

*Did you enforce any of the measures described under paragraph 12? YES  NO*   
*If so, please elaborate.*

[Click here to enter text.](#)

CMM 2.01 *Trachurus murphyi* (now CMM 3.01) ([download](#))

Do your vessels fish for *T. murphyi* in, or adjacent to, the Convention Area? YES  NO   
If yes, then please complete the following tables and questions:

**Table 1: CMM 2.01 Effort Management**

Gross Tonnage (GT) Limit	GT of Active fishing vessels	Number of vessels actively fishing for CJM	Number of vessels only engaged in Transshipment(s)

Enter n/a if not applicable.

**Table 2: CMM 2.01 Catch Management**

CJM catch limit	CJM catch limit taking into account any catch transfers	Provisional CJM catch total

**Table 3: CMM 2.01 Data collection and reporting**

Number of Monthly reports provided	VMS implemented and data provided?	List of authorised vessels provided?	Annual report provided to SC?	Observer coverage level
	YES <input type="checkbox"/> NO <input type="checkbox"/> Partial <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/> Partial <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>	

Do you have additional measures that limit the amount of *T. murphyi* available to your vessels to an amount less than that specified in Table 2 above (refer para 8)? YES  NO   
If so, please elaborate

[Click here to enter text.](#)

Did you conduct any research or stock assessments for *T. murphyi* during this past year and were they submitted to the Scientific Committee? YES  NO

[Click here to enter text.](#)

Did any of your vessels land or tranship *T. murphyi* within your ports and did you take measures to verify those catches? YES  NO

Is any part of your national jurisdiction area adjacent to the Area to which CMM 2.01 applies? YES  NO

If so, have you decided to apply the measures described in Para 11 -22 within your national jurisdiction areas? YES  NO  Partial

Also, have you submitted measures in effect for *T. murphyi* within your national jurisdiction areas to the Secretariat? YES  NO

[Click here to enter text.](#)

**CMM 2.02 Standards for the Collection, Reporting, Verification and Exchange of Data (now CMM 3.02) ([download](#))**

**Table 4: Participation in SPRFMO fisheries during the past year**

<i>Fishing method</i>	<i>Activities undertaken?</i>	<i>Main species?</i>	<i>Number of vessels</i>	<i>Activities observed?</i>
<i>Demersal Drop/dahn line</i>				
<i>Demersal Longline</i>				
<i>Demersal Potting</i>				
<i>Demersal Trawl</i>				
<i>Pelagic Purse seine</i>				
<i>Pelagic Trawl</i>				
<i>Squid jigging</i>				
<i>Transshipment</i>				

Were you able to meet the data collection requirements described in paragraphs 1(b) (c) and (d)? (Being information on fishing activity, non-target species impacts and transshipments/landings) YES  NO  Partial

[Click here to enter text.](#)

Do you have a national observer programme YES  NO , and if so have you submitted an annual observer implementation report to the Secretariat? YES  NO   
Note this section could be expanded to include the observer implementation report.

[Click here to enter text.](#)

Have you implemented systems to ensure that all of your vessels that fish in the Convention Area have an operational VMS system (that conforms to the requirements in Paras 3(b) and 3(c)? YES  NO

[Click here to enter text.](#)

Please describe the systems you use to verify your SPRFMO fishery data.

[Click here to enter text.](#)

**CMM 2.03 Bottom fishing in the SPRFMO Convention Area**  
[\(download\)](#)

Do you fish in the Convention Area using bottom fishing methods? YES  NO

If yes, then please complete the following tables and questions:

**Table 5: Management of Bottom Fishing and Fisheries**

Bottom fishing assessment submitted?	2002-06 Bottom fishing footprint submitted?	Bottom fishing restricted to footprint?	2002-06 average annual catch (t)	Provisional catch total for last year (t)	Observer coverage level (Trawl)	Observer coverage level (other)	Number of VME encounters above the threshold	Number of monthly reports provided
YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>						

Have you prohibited your vessels from participating in bottom fishing activities in the Convention Area, except when in accordance with this measure? YES  NO

Have you established threshold levels for VME encounters? YES  NO   
 If so what are they?

[Click here to enter text.](#)

Also, do you require your vessels to cease fishing operations within 5 miles of any site where threshold levels are exceeded? YES  NO

Have you divided your footprint into sub-areas for the purposes of preventing significant adverse impacts to VMES? YES  NO . If so, please elaborate.

[Click here to enter text.](#)

Do you have additional measures that apply to your bottom fishing vessels in the Convention Area? YES  NO . If so, please elaborate.

[Click here to enter text.](#)

Have you identified any sites within the Convention Area where VMEs are likely to occur? YES  NO

If so have you provided this information to the Secretariat? YES  NO

Have you taken steps to ensure that your bottom fishing vessels are able to comply with all of the requirements detailed in paragraph 24? YES  NO

[Click here to enter text.](#)

Are you aware of any non-member (or non- CNCP) that has recently bottom fished in the Convention Area? YES  NO .

And if so, did you communicate a request to them to cooperate and to consider participating in the work of SPRFMO as a matter of priority? YES  NO

[Click here to enter text.](#)

**CMM 2.04 Minimising bycatch of seabirds in the SPRFMO Convention Area ([download](#))**

Are your vessels required to implement appropriate seabird mitigation measures while fishing in the Convention Area? YES  NO

Do you have any vessels which are exempt from applying seabird mitigation measures? YES  NO  . And if so, when was the last time these vessels were reviewed?

[Click here to enter text.](#)

Do you require your observers to record seabird interactions? YES  NO   
If so, are the records in accordance with CMM 2.02 and is the resulting data reported to the Secretariat? YES  NO

[Click here to enter text.](#)

**Table 6: Seabird mitigation measures in Demersal Longline**

<i>Biological discharge during shooting/hauling prohibited?</i>	<i>List of Seabird mitigation measures used in SPRFMO Area</i>	<i>Observer coverage level<sup>1</sup></i>	<i>Number of Seabird captures</i>	<i>Approximate seabird mortality rate (per 1000 hooks observed)</i>
YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>			

**Table 7: Seabird mitigation measures in Trawl Fisheries**

<i>Number of vessels that do not discharge Biological material</i>	<i>Number of vessels discharging Biological material</i>	<i>List of Seabird mitigation measures used in SPRFMO Area</i>	<i>Observer coverage level<sup>2</sup></i>	<i>Number of Seabird captures</i>	<i>Approximate seabird mortality rate (per tow observed)</i>

<sup>1</sup> Provided as a percentage of number of hooks

<sup>2</sup> Provided as percentage of number of tows

## **CMM 2.05 Commission record of Vessels authorised to fish in the Convention Area ([download](#))**

*Describe how you take into account the vessel and operator compliance history when considering whether or not to authorise a particular fishing vessel.*

[Click here to enter text.](#)

*Describe the measures you have taken to ensure that you are able to take effective enforcement actions against the owners of vessels flying your flag should the need arise.*

[Click here to enter text.](#)

*Do you maintain your own register of fishing vessels for the SPRFMO Area and does it contain all the necessary information (as described in Annex 1 of the measure)?*

*YES  NO*

### **Table 8: Vessel data submission summary**

<i>Number of vessels authorised to fish in the SPRMO Area</i>	<i>Number of new authorisations within past year</i>	<i>Number of revoked authorisations within past year</i>	<i>Authorisation details submitted to Secretariat?</i>	<i>Number of Active fishing vessels*</i>	<i>Number of vessels only engaged in Transshipment(s)*</i>
---	--	--	--	--	--

*YES  NO*

*\* These numbers may be equal to or larger than the figures provided in Table 1.*



**CMM 2.06 VMS in the SPRFMO Convention Area ([download](#))**

At this time, this CMM does not have readily measurable implementation requirements.

**CMM 2.07 Minimum Standards of inspection in Port ([download](#))**

Are your have ports able, or expected, to receive SPRFMO-managed species? **YES**  **NO**

*If so please complete the following table and questions.*

**Table 9: Implementation of Port Standards**

<i>Point of Contact transmitted to Secretariat?</i>	<i>Designated port list provided to Secretariat?</i>	<i>Number of foreign fishing vessels seeking to use port services</i>	<i>Number of foreign fishing vessels denied entry</i>	<i>Number of inspections</i>	<i>Percentage of foreign landings /transhipments inspected</i>
YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>				

*Do you have sufficient capacity to conduct inspections in every designated port?*

YES  NO

[Click here to enter text.](#)

*Do you require foreign fishing vessels to provide the information prior to any landing or port transhipment? YES  NO  If so, does that information comply with paragraph 11?*

YES  NO

[Click here to enter text.](#)

*Is the notification period for a foreign fishing vessels port request different than 48 hours?*

YES  NO  . *If so, please elaborate.*

[Click here to enter text.](#)

*Did you receive any requests from other Members, CNCPs or relevant RFMOs to inspect particular vessels? YES  NO  . If so, please elaborate.*

[Click here to enter text.](#)

*Did you encounter any difficulties with the inspection procedures described in paragraphs 17-21? YES  NO  . If so, please elaborate.*

[Click here to enter text.](#)

*Did any of your inspections discover evidence that a SPRFMO had occurred? YES  NO  If so, did you encounter any difficulties with the infringements procedures described in paragraphs 22-25? YES  NO*

*Have you provided (or received) any assistance as described under Paragraph 26? (Being the development of capacity, facilitation of participation and assessment of requirements for developing members and CNCPs) YES  NO*

[Click here to enter text.](#)