

ANNEX 21

Template for the Implementation Report of the SPRFMO Conservation and Management Measures

SPRFMO Secretariat

Number of obligations reported on per CMM

СММ	Conservation and Management Measure	Nº of Obligations
01-2020	Trachurus murphyi	22
02-2020	Standards for the Collection, Reporting, Verification and Exchange of Data	10
03-2020	Bottom Fishing in the SPRFMO Convention Area	23
03a-2020	Deepwater Species in the SPRFMO Convention Area	4
04-2020	Establishing a List of Vessels Presumed to have Carried Out IUU Activities in the SPRFMO Convention Area	12
05-2019	Establishment of the Commission Record of Vessels Authorised to Fish in the SPRFMO Convention Area	11
06-2020	Establishment of the Vessel Monitoring System in the SPRFMO Convention Area	24
07-2019	Minimum Standards of Inspection in Port	19
08-2019	Gillnets in the SPRFMO Convention Area	5
09-2017	Minimising Bycatch of Seabirds in the SPRFMO Convention Area	21
10-2020 Establishment of a Compliance and Monitoring Scheme in the SPRFMO Convention Area		2
11-2015	Boarding and Inspection Procedures in the SPRFMO Convention Area	15
12-2020	Regulation of Transhipment and Other Transfer Activities	14
13-2020	Management of New and Exploratory Fisheries in the SPRFMO Convention Area	4
14a-2020	Exploratory Fishing for Toothfish by NZ-Flagged Vessels in the SPRFMO Convention Area	12
14b-2020	Exploratory Potting Fishery in the SPRFMO Convention Area	14
14c-2019	Exploratory Fishing for Toothfish by EU Vessels in the SPRFMO Convention Area	17
14d-2020	Exploratory Fishing for Toothfish by Chilean-Flagged Vessels in the SPRFMO Convention Area	15
15-2016	Vessels without Nationality in the SPRFMO Convention Area	2
16-2019	The SPRFMO Observer Programme	12
17-2019	Fishing Gear and Marine Plastic Pollution in the SPRFMO Convention Area	8
18-2020	Management of the Jumbo Flying Squid Fishery	5
	TOTAL	271

To be filled out by the Member/CNCP

Reporting Member/CNCP: CHILE

Reporting period to which this report refers: 1 October 2019 – 30 September 2020

Date report prepared: 22/10/2020

 $^{^{\}mathrm{1}}$ Annex 2 has been amended by the Secretariat as per paragraph 5b of CMM 10-2020 (CMS).



CMM 01-2020 Trachurus murphyi¹

General Provisions

Para 1: Did your flagged vessels operate in the *T. murphyi* fishery in, or adjacent to, the Convention Area or did any vessels land or tranship *T. murphyi* within your ports?

YES oxtimes If yes, complete the following tables and questions on this CMM

NO If no, skip to the next CMM

Table 1: Effort Management (Para 4)

Gross Tonnage (GT) Limit	GT of active fishing vessels	Nº of vessels actively fishing for CJM	Nº of vessels only engaged in transhipment(s)
96,867.24 GT +3,755.81 GRT	0	0	0

Enter n/a if not applicable.

Table 2: Catch Management (Paras 5, 9)

CJM catch limit	CJM catch limit taking into account any catch transfers	CJM catch total (year to date)
439 034	566 761	526 944

Table 3: Data Collection and Reporting (Paras 12,13, 18, 20, 24)

№ of monthly (and/or 15-day) reports provided	Lists of authorised, active and transhipping vessels provided?	Age-length and/or survey information provided to SC?	Observer coverage level
16	YES ⊠ NO □ Partial □	YES ⊠ NO □	12.2

Para 8: Do	you have additional measures that limit your vessels to catches less than the amount specified
in Table 2 a	bove?
YES □	If yes, please elaborate
	Enter relevant details here
NO ⊠	

Para 22: Did you conduct any research or stock assessments for *T. murphyi* during this past year?

YFS 🖂 If yes, please answer the question below

• Were they submitted to the Scientific Committee?

YES 🖾 NO 🗆

Research for T. murphyi developed during the period has been provided to the 2020 Scientific Committee in the stipulated timeline.

NO 🗆

 $^{^1}$ The implementation of the following paragraphs can be found within the corresponding CMMs: paragraph 15 (required data, annual catch report) can be found within CMM 02-2020. paragraph 17 (VMS) can be found within CMM 06-2020. paragraph 20 (annual report) can be found within CMM 02-2020. paragraph 21 (CMS) can be found within CMM 10-2020.



those catches?	
YES ⊠ NO □	
Cooperation in respect of fisheries in adjacent areas under national jurisdiction	
Para 25: Is any part of your national jurisdiction area adjacent to the Area to which CMM 01-2020	(T.
murphyi) applies?	`
YES 🗵 If yes, please answer the questions below	
 Have you decided to apply the measures described in CMM 01-2020 (<i>T. murph</i> paragraphs 12-24 within your national jurisdiction areas? YES ⋈ NO □ Partial □ 	yi)
 Have you informed the Secretariat about conservation and management measures effect for <i>T. murphyi</i> within your national jurisdiction areas (e.g. TAC resolutions)? YES ⋈ NO □ 	in
Conservation and management measures in effect for Trachurus murphyi in the Chilean EEZ include - Minimum Size: In force since 1980, through Decree (Minecon) No. 458 as of 1981 and Decree (Minecon) No. 34 as of 1983.	H
- Suspended Access to the fishery: In force since 1991, through General Law of Fisheries and Aquaculture No. 18.892 and No. 20.657.	
- Catch Global Quota: Adopted annually since 1999. The 2020 quota for jack mackerel adopted was 439 014 tons (Exempted Decree Minecon N°275 of 2019).	
- Maximum Catch Limits per Shipowner: In force since 2001, through General Law of Fisheries and Aquaculture No. 19.713.	
- Individual Transferable Quota (ITQ): In force since 2013, through General Law of Fisheries and Aquaculture No. 20.657.	
- Exempted Resolution (Subpesca) N°826 of 2020 approving CMM 01-2020.	
NO 🗆	
Para 27: Are you a coastal State that has not given your express consent under Article 20 paragraph 4(a)	/::\
of the Convention?	(11)
YES If yes, please answer the questions below	
 Have you established domestic measures for catches of <i>T. murphyi</i> that may result catches in the area under your national jurisdiction exceeding 61 999 tonnes? 	in
YES NO If no, continue to the next CMM Enter relevant details here	
 • If so, did you submit to the Secretariat a report explaining to the Commission how the national measures concerning the <i>T. murphyi</i> fishery in areas under your nation jurisdiction are compatible with those adopted by the Commission? YES □ NO □ 	
NO ⊠	

Para 23: Did any vessels land or tranship T. murphyi within your ports and did you take measures to verify



CMM 02-2020 Standards for the Collection, Reporting, Verification and Exchange of Data^1

Data on Fishing Activities a Para 1a: Did you collate annu			for all species/s	pecies groups caught in the
previous calendar year (as de	escribed in Annex	13) and provide this	s to the Secretari	at prior to 30 September?
YES 🗵				
NO 🗆				
Partial □				
Enter relevant details here				
Table 4. Danishania in Cr	ODENAO fiele este e	ali india a alaka mana ana	:	-/- 4 -/- 2 -/-\
Table 4: Participation in SF	Activities		ing period (Para № of	Activities observed?
Fishing method	undertaken?	Main species caught?	vessels	(Yes/No)
Demersal Hand/drop/dahn		2.3.0		(122,112)
line	YES 🗆			
Demersal Longline	YES □			
Demersal Potting	YES □			
Demersal Trawl	YES 🗆			
Pelagic Purse seine	YES 🗵	CJM		Yes
Pelagic Trawl	YES □			
Squid jigging	YES □			
Transhipment	YES □			
Landings	YES ⊠	CJM		Yes
Reefer Landings	YES □			
Other fishing methods or data (rows can be added if needed)	YES 🗆			
Para 1d: Did you compile ar	•		-	
provide those to the Secreta	riat using the SPRF	-MO data submissio	on templates pric	or to the 30" June?
YES 🗵				
NO 🗆				
Partial 🗆				
Enter relevant details here				
Observer Data				
Para 2bc Did you compile ar	nd submit all requ	ired observer data	and provide the	se to the Secretariat using
the SPRFMO data submission	ı templates prior t	o the 30 th Septemb	er?	
YES 🖾				
NO 🗆				
Partial □				
Observer data was delivered	in an Access file, i	in an effort to facili	tate the work of	the Executive Secretariat

risk of error and difficulty of reproducibility.

and to avoid the generation of multiple Excel files, which had to be prepared manually, with the consequent

 $^{^{1}}$ The implementation of paragraph 2a (Implementation of observer programmes) can be found within CMM 16-2019



Data Verification

Para 4: Have you developed mechanisms to verify your SPRFMO fishery data?
YES ⊠
NO □
Enter relevant details here
Data Exchange
Para 5: Have you reported the data relevant to the methods listed in Table 4 (above) to the Secretariat in
accordance with the appropriate specifications and described formats and using the templates created by
the Secretariat?
YES ⊠
NO □
Partial □
Observer data was delivered in an Access file, in an effort to facilitate the work of the Executive Secretariat
and to avoid the generation of multiple Excel files, which had to be prepared manually, with the
consequent risk of error and difficulty of reproducibility.
Annual Reports
Para 7: Did you submit an annual report to the Scientific Committee informing them about the fishing,
research, management activities undertaken over the previous year (including all required sections)?
YES ⊠
NO □
SC8-Doc26 Chile Annual report Jack mackerel; SC8-Doc27 Chile Annual report Jumbo Squid



CMM 03-2020 Bottom fishing in the SPRFMO Convention Area¹²

Definitions
Para 2: Did you engage (or intend to engage) in "bottom fishing" activities in the Convention Area?
YES If yes, continue to complete the questions in this CMM
NO 🗵 If no, skip to the next CMM
General provisions
Para 10: Have you prohibited your vessels from participating in bottom fishing activities in the Convention
Area, except when in accordance with this CMM together with CMM 03a-2020 (Deepwater species)?
YES
NO 🗆
Para 11: Were all your vessels that engaged in bottom fishing activities duly authorised and listed in the SPRFMO Record of Vessels in accordance with CMM 05-2019 (Record of Vessels)? YES □ NO □
 Para 12: Under which provision have you authorised your vessels to engage in bottom fishing activities in the Convention Area? (mark all that apply) Para 20(d)(i) The activity was authorised by the Commission (post 2019), after it considered an SC review of the Member conducted Bottom Fishery Impact Assessment YES □ NO □ Para 21 You have previously engaged in bottom fishing consistently with the previous iterations of CMM 03 (effective for 2019 and 2020 seasons only) YES □ NO □
 The activity has been approved by the Commission under CMM 13-2020 (Exploratory fisheries) YES □ NO □
Bottom fishing Management Areas
Para 14: Did all your bottom fishing in the Convention Area occur within the three defined Management
Areas and in accordance with the terms of this CMM ³ ?
YES —
NO 🗆

¹ The implementation of paragraph 18 (Marine mammals Seabirds, Reptiles and Other Species of Concern) can be found within CMMs 02-2020 and 09-2017 as appropriate.

² The implementation of paragraph 35 (benthic bycatch) can be found within CMMs 02-2020.

³ Excluding fishing covered by CMM 13-2020 (Exploratory Fisheries)



 a) Bottom trawling shall only occur in a bottom trawl Management Area; YES □ NO □ b) Midwater trawling shall only occur in a midwater trawl Management Area or a bottom trawl Management Area; YES □ NO □
NO □ b) Midwater trawling shall only occur in a midwater trawl Management Area or a bottom trawl Management Area; YES □
b) Midwater trawling shall only occur in a midwater trawl Management Area or a bottom trawl Management Area; YES □
b) Midwater trawling shall only occur in a midwater trawl Management Area or a bottom trawl Management Area; YES □
Management Area; YES □
YES 🗆
$NO \sqcup NO$
c) Bottom lining shall only occur in a Management Area.
YES
NO 🗆
Assessment of proposed bottom fishing
Para 20a: During this reporting period, did you submit to the Scientific Committee a Bottom Fishery
Impact Assessment that met the appropriate standard (SPRFMO BFIAS) and included consideration of
cumulative impacts and proposed mitigation measures to prevent such impacts?
YES
NO 🗆
NO LI
Para 22: Have you ensured that a proposed assessment meeting the requirements contained in paragraph
20(a) is submitted to the Scientific Committee and Commission at least every 3 years, and also when a
substantial change in the fishery has occurred such that it is likely that the risk or impact of the fishery
may have changed?
YES □
NO 🗆
Encounters with potential VMEs
Para 28: Did any of your vessels trigger the VME encounter protocols by encountering VME indicator taxa
above the specified thresholds?
YES If yes, continue to answer questions below
How many Encounters occurred during this reporting period?
Enter relevant details here
• In each case, did the vessel cease bottom fishing immediately within the encounter area?
• In each case, did the vessel cease bottom fishing immediately within the encounter area?
\bullet In each case, did the vessel cease bottom fishing immediately within the encounter area? YES \Box
 • In each case, did the vessel cease bottom fishing immediately within the encounter area? YES □ NO □
 In each case, did the vessel cease bottom fishing immediately within the encounter area? YES NO NO In each case, did the vessel report the encounter immediately in accordance with the
 In each case, did the vessel cease bottom fishing immediately within the encounter area? YES NO In each case, did the vessel report the encounter immediately in accordance with the Guidelines for the preparation and submission of notifications of encounters with potential
 In each case, did the vessel cease bottom fishing immediately within the encounter area? YES NO NO In each case, did the vessel report the encounter immediately in accordance with the Guidelines for the preparation and submission of notifications of encounters with potential VMEs, contained in Annex 7?
 • In each case, did the vessel cease bottom fishing immediately within the encounter area? YES □ NO □ • In each case, did the vessel report the encounter immediately in accordance with the Guidelines for the preparation and submission of notifications of encounters with potential VMEs, contained in Annex 7? YES □
 • In each case, did the vessel cease bottom fishing immediately within the encounter area? YES □ NO □ • In each case, did the vessel report the encounter immediately in accordance with the Guidelines for the preparation and submission of notifications of encounters with potential VMEs, contained in Annex 7? YES □ NO □
 In each case, did the vessel cease bottom fishing immediately within the encounter area? YES NO NO • In each case, did the vessel report the encounter immediately in accordance with the Guidelines for the preparation and submission of notifications of encounters with potential VMEs, contained in Annex 7? YES NO NO NO NO NO NO NO NO NO NO
 • In each case, did the vessel cease bottom fishing immediately within the encounter area? YES □ NO □ • In each case, did the vessel report the encounter immediately in accordance with the Guidelines for the preparation and submission of notifications of encounters with potential VMEs, contained in Annex 7? YES □ NO □
 • In each case, did the vessel cease bottom fishing immediately within the encounter area? YES □ NO □ • In each case, did the vessel report the encounter immediately in accordance with the Guidelines for the preparation and submission of notifications of encounters with potential VMEs, contained in Annex 7? YES □ NO □ Para 31: Did you ensure that your vessels have not bottom fished in any officially notified Encounter Area
 • In each case, did the vessel cease bottom fishing immediately within the encounter area? YES □ NO □ • In each case, did the vessel report the encounter immediately in accordance with the Guidelines for the preparation and submission of notifications of encounters with potential VMEs, contained in Annex 7? YES □ NO □ Para 31: Did you ensure that your vessels have not bottom fished in any officially notified Encounter Area pending any related Commission decision?

¹ Excluding fishing covered by CMM 13-2020 (Exploratory Fisheries)



Para 32: During this reporting period, did you submit any detailed descriptions of VME Encounters to the
Scientific Committee (including suggested management actions) as a result of a temporary suspension
pursuant to paragraph 28?
YES 🗆
NO □
Monitoring and control of bottom fishing activities
Para 39a: Did you ensure that your vessels participating in bottom fishing:
are equipped and configured so that they can comply with all relevant SPRFMO CMMs?
YES
NO 🗆
• act in accordance with CMM 06-2020 (Commission VMS), polling once every 30 minutes for the
duration of the trip?
YES 🗆
NO □
• report tow or set start and end position to 1/100 th degree resolution - decimal format?
YES 🗆
NO □
Observer coverage
Para 40: What was your observer coverage level (during this reporting period) for:
• Vessels using bottom trawl?
Enter relevant details here
• Vessels using mid-water trawl gear?
Enter relevant details here
 Vessels using bottom line gear (expressed as the percentage of observed hooks)?
Enter relevant details here



CMM 03a-2020 Deepwater Species in the SPRFMO Convention Area

Interpretation

Para 2: Did	you engage in "bottom fishing" activities in the Convention Area?
YES	If yes, complete the table below
NO ⊠	If no, skip to the next CMM

Table 5: CMM 03a-2020 (Deepwater Species): Catch Limits and Monitoring (Paras 10, 13, 15 & 17)

Species - Area	2020 Catch Limit	2020 Catch Limit after applying transfers	Provisional 2020 Catch Total
Orange Roughy – Tasman Sea			
Orange Roughy – Louisville Ridge			
Orange Roughy – Westpac Bank			
Orange Roughy – South Tasman Rise (HS)			
All other target and non-target species			
Number of Monthly reports provided in this reporting period (Oct – Sept)			



CMM 04-2020 Vessels presumed to have carried out IUU fishing activities in the SPRFMO Area

information on alleged IOO Fishing activities
Para 2: Did you transmit a list of presumed IUU fishing vessels to the Secretariat?
YES If yes, continue to answer Para 4
 Para 4: Did you inform the relevant flag State before, or at the same time as, transmitting the list? YES □ NO □ Enter relevant details here
Draft IUU Vessel List
Para 5: Were any of your flagged vessels on the draft IUU Vessel List?
YES If yes, answer the following question (Para 7)
 Para 7: Did you notify vessel owners and inform them about the potential consequences? YES □ NO □
Enter relevant details here
NO ⊠
IUU Vessel List
Para 15: Were any of your flagged vessels on the final IUU Vessel List?
YES If yes, answer the questions below
 Did you notify the vessel owners and inform them about the consequences of inclusion? YES NO NO Were any measures were taken to eliminate these IUU activities and was the Commission informed of these actions? YES NO NO Enter relevant details here
NO ⊠
Para 16: Did you enforce any of the measures described in paragraph 16 of CMM 04-2020 (IUU)?
(letters a to i) YES □ If yes, elaborate below
NO M
No Chilean fishing vessels were included on the IUU list neither in the draft IUU list. No foreign fishing vessels included in the SPRFMO IUU list have requested to fly the Chilean flag or requested access to domestic ports. Any landing in domestic ports shall be certified, and any information on this kind of activities that we may know shall be communicated to the SPRFMO through the Executive Secretary.



Modification of the IUU Vessel List

Para 21: Did you request the removal of one of your vessels from the IUU Vessel List, during the most
recent intersessional period?
YES 🗌 If yes, elaborate below
NO ⊠
Enter relevant details here
Action against nationals involved in IUU fishing activities
Para 25: Did you take any measures against your nationals that have been identified as engaged in,
responsible for, benefiting from, or supporting the activities of any vessel engaged in IUU fishing activities
in the SPRFMO Convention Area?
YES 🗌 If yes, elaborate below
NO ⊠
Enter relevant details here
Para 28: Did you take any other actions and/or measures in accordance with this CMM that have not
previously been reported in this section?
YES If yes, elaborate below
NO ⊠
Enter relevant details here
Information indicating a change of circumstances of vessels appearing on the current IUU Vessel List
Para 29: Did you transmit information which indicated a change of name and/or an International Radio
Call Sign (IRCS) of a vessel appearing on the SPRFMO IUU Vessel List to the Secretariat during this
reporting period?
YES If yes, elaborate below
NO ⊠
Enter relevant details here



CMM 05-2019 Commission Record of Vessels authorised to fish in the Convention Area

Para 2: Did you issue or maintain an authorisation to fish in the Convention Area to any vessel included on

	, , ,							
Enter relevant	Enter relevant details here							
Para 3: Do all vessels flying your flag and authorised to fish in the Convention Area have a sufficient level of ownership by citizens, residents or legal entities within your jurisdiction to allow enforcement action to be effectively taken against them? YES NO Partial If no, elaborate below Enter relevant details here								
Para 4: Do you maintain your own register of fishing vessels for the SPRFMO Area? YES ☑ If yes, answer the following question (Para 5) Para 5: Does it contain all the necessary information? (as described in Annex 1 of CMM 05-2019 [Record of Vessels]). YES ☑ NO □ Partial □ NO □								
Current number of vessels authorised to fish in the SPRFMO	ssel data submission su Nº of new vessels authorised within reporting period	Nº of revoked, relinquished or invalid authorisations within reporting period	Authorisation details submitted to Secretariat?	№. of Active fishing vessels	Nº of vessels only engaged in Transhipments(s)			
155	0	3	YES ⊠ NO □	0	0			
Para 13: Did you notify the Executive Secretary of your list of vessels that are actively fishing or engaged in transhipment in the Convention Area (either monthly or prior to 30 th January as appropriate)? YES ☑ NO ☐ We did not have any vessels authorised to operate in the SPRFMO Area during the relevant period								



CMM 06-2020 VMS in the SPRFMO Convention Area

A Commission Vessel Monitoring System
Para 2: Did any of your vessels fish, or support fishing, in the SPRFMO Area during the reporting period, or
did you request VMS data?
YES If yes, answer the following question
How many of your vessels reported their movements and activities to the Commission VMS
during the reporting period?
Enter relevant details here
NO 🗵 If no, skip to the next CMM
Purpose
Para 4: Are you ensuring that your vessels that are on the SPRFMO Record of Vessels are continuously
reporting their movements and activities to the Commission VMS in order to support the implementation
of SPRFMO CMMs?
YES ⊠
NO □
Partial
Enter relevant details here
Nature and Specification of the Commission VMS
Para 9: What means of automatically reporting VMS data have you chosen for your flagged vessels?
☐ (b) Simultaneously to both the Secretariat and your FMC
Para 11: If you have ticked option (a) above, then did your FMC automatically forward VMS reports to the
Secretariat at intervals of not less than 1 hour for all vessels that were active in the Area?
YES ⊠
NO 🗆
Partial
Enter relevant details here
Para 12: Did all your flagged vessels that are required to report to the Commission VMS use a functioning
ALC that complies with the Commission's Minimum Standards as specified in Annex 1, CMM 06-2020?
YES 🗵
NO 🗆
Para 13: Did you receive any VMS data from the Commission VMS during the assessed period?
YES If yes, please answer the question below
• Did you adhere with all the Security and Confidentiality requirements specified in Annex 2
of CMM 06-2020 as well as the Maintenance of Confidentiality Requirements of
·
paragraph 6 of CMM 02-2020 (Data Standards)?
paragraph 6 of CMM 02-2020 (Data Standards)? YES □
paragraph 6 of CMM 02-2020 (Data Standards)?



NO 🗆

Procedure for Manual Reporting

Troccadic for Managineporting
Para 14:
Did the Secretariat notify you at any time of the event of the failure of automatic VMS reporting to the
Commission VMS for any of your vessels?
YES If yes, please answer the questions below
 In all cases were you able to re-establish normal automatic reporting of VMS positions to the Commission VMS?
YES
Enter relevant details here
NO □
Enter relevant details here
 Did you direct any of your vessel masters to apply the manual reporting procedure
specified in Annex 3, of CMM 06-2020 during this reporting period?
YES If yes, please enter relevant details below
Enter relevant details here
NO 🗆
NO ⊠
Measures to Prevent Tampering with ALCs
Para 16: Do you have reasons to suspect that any SPRFMO reporting ALC does not meet the
requirements specified in Annex 1 of CMM 06-2020?
YES \(\square \text{If yes, answer the question below} \)
• Did you notify the Secretariat (and the Member or CNCP concerned)?
YES If yes, please answer the questions below
NO Did not also a company is at a three ations to how (in shorting any investigation outcomes) to the
• Did you also communicate the actions taken (including any investigative outcomes) to the
Commission?
YES
NO 🗆
NO ⊠
Para 17: Have you ensured that vessels flying your flag install and use ALCs which fulfil the conditions
specified in Annex 4 of CMM 06-2020?
YES ⊠

Para 18: Please list the methods used to prevent tampering

Tamper-proof seals are used on ALCs. Besides, these devices have alarms included in the software that are activated when the enclosure of the equipment is tampered, turned on/off and disconnected from the power source.

Para 19: Please provide the following information for each vessel flying your flag and reporting to the SPRFMO VMS (note that it is perfectly acceptable to provide a separate file)

Vessel Name	Vessel IMO	Call sign	ALC Brand	ALC model	ALC identification	Security features
QUERELEMA (transit)	9073646	CB5103	IRIDIUM	TRITON	524196	Seal and alerts
GLOBAL PESCA I (transit)	9262376	CB7961	IRIDIUM	TRITON	524253	Seal and alerts



Use and Release of VMS Data Requiring the Permission of the Member or CNCP

Para 21: Have you designated a VMS Point of Contact for the purposes of any communication regarding
the VMS system to the Secretariat?
YES 🗵 If yes, please answer the question below
If so, is the VMS Point of Contact still current?
YES 🖂
NO 🗆
NO 🗆
Use and Release of VMS Data not Requiring the Consent of the Member or CNCP
Para 25: Did you receive any VMS data from the Commission VMS for the purposes of planning or
conducting active surveillance operations and/or inspections at sea?
YES If yes, please answer the question below
 Did you delete VMS data within 24 hours of the conclusion of the operation? YES □
NO \square If no, please answer the questions below
• If the VMS data was retained for longer than 24 hours, have you informed the Secretariat
about the purpose and expected timing of the additional period of data retention?
Enter relevant details here
NO ⊠
Para 27: Did you receive any VMS data from the Commission VMS for the purpose of conducing active
surveillance operations and/or inspections at sea?
YES If yes, answer the question below
Was the Secretariat and the relevant VMS Point of Contact provided with a report that
included the name of the vessel or aircraft on active surveillance and/or inspection
activity?
YES 🗆
NO 🗆
NO ⊠
Para 28: Did you request VMS data for supporting search and rescue activities undertaken by a
competent MRCC?
YES If yes, answer the question below
Did you ensure that the data was only used for that purpose?
YES 🗆
NO 🗆
NO ⊠
NO 🖾



CMM 07-2019 Minimum Standards of Inspection in Port

Points of Contact						
	Para 5: Have you transmitted the name(s) and contact information for your Points of Contact to the					
Secretariat?	()		,			
YES ⊠						
NO 🗆						
110 🗆						
Scope						
Para 1: Are your ports	expected to receive SPF	RFMO-managed spec	ies?			
YES 🗵 If yes, contin	ue to answer the following qu	estions on this CMM				
NO ☐ If no, skip to	the next CMM					
Table	7: Implementation of	Port Standards (Pa	ara's 9, 11, 13	3, 15, 17, 19)		
Designated port list provided to Secretariat?	Nº of foreign fishing vessels¹ seeking to use port services	Nº of foreign fishing vessels denied port entry	Nº of inspections	Percentage of foreign landings /transhipments inspected		
YES ⊠ NO □	6*	1*	4	80%		
	*Numbers correcte	d after initial report	L			
Designated Ports						
Para 8: Do you have su	ifficient capacity to cond	duct inspections in ev	very designate	d port?		
YES ⊠						
NO 🗆						
Enter relevant details her	re					
Prior Notification						
Para 11: Do you requ	uire foreign fishing ves	sels to provide info	rmation prior	to any landing or port		
transhipment?						
YES 🗵 If yes, answ	er the questions below					
• Does	that information comply	with paragraph 11 o	of CMM 07-20	19 (Port Inspection)?		
YES 🗵						
NO 🗆	If no, please answer the que	estion below				
• Did yo	ou, in your capacity as a	a port State, prompt	ly inform the	Secretariat of any request		
for port services made under this CMM?						
YES 🗵	YES 🗵					
NO □						
The Draft Compliance Report 2018/19 identified that Chile did not reported port call requests to the						
	Executive Secretariat. On this situation, Chile recognized its failure to submit relevant information					
				ation and that arrangements		
				ed in the Final Draft Report		
				d since November 2019, date		
on which non-compliance was evidenced. Due to the foregoing, for the current reporting period, two port call requests were received in October 2019, which were not reported to the Executive						
Secretariat.						
NO □						

Para 12: Is the notification period for a foreign fishing vessel's port request different than 48 hours?

 $^{^{\}mathrm{1}}$ Limited to foreign fishing vessels carrying SPRFMO species caught within the SPRFMO Area



YES ☐ If yes, elaborate below NO ☒
Enter relevant details here
Port Inspections
Para 16a): Did you receive any requests from other Members, CNCPs or relevant RFMOs to inspect a
particular vessel?
YES If yes, elaborate below
NO ⊠
Enter relevant details here
Litter relevant details here
Inspection Procedure
Para 20: Did you encounter any difficulties with the inspection procedures described in paragraphs 20-25
of CMM 07-2019 (Port Inspection)?
YES \(\text{ If yes, elaborate below}\)
NO ⊠
Enter relevant details here
Procedure in the Event of Infringements
Para 26: Did any of your inspections discover evidence that a foreign fishing vessel has committed a
breach of the SPRFMO CMMs?
YES If yes, elaborate below
NO ⊠
Enter relevant details here
Para 27: Did any of your inspections discover a breach that you (as a port State) took action against in
accordance with your domestic laws?
YES If yes, elaborate below
NO ⊠
Enter relevant details here
Dave 20. Did you as a wearly of a most increasing reference allowed infining research to the relevant flow Chate
Para 28: Did you, as a result of a port inspection, refer any alleged infringements to the relevant flag State
so that they may promptly investigate?
YES If yes, elaborate below
NO ⊠
Enter relevant details here
Para 29: Were you authorised by another flag State to undertake an investigation in relation to alleged
infringements detected as a result of your port inspection?
YES If yes, elaborate below
NO ⊠
Enter relevant details here
Requirements of developing Members and CNCPs
Para 31: Have you provided (or received) any assistance as described under Paragraph 28 of CMM 07-
Para 31: Have you provided (or received) any assistance as described under Paragraph 28 of CMM 07-2019 (Port Inspection)? (Being the development of capacity, facilitation of participation and assessment of
Para 31: Have you provided (or received) any assistance as described under Paragraph 28 of CMM 07-2019 (Port Inspection)? (Being the development of capacity, facilitation of participation and assessment of requirements for developing Members and CNCPs)
Para 31: Have you provided (or received) any assistance as described under Paragraph 28 of CMM 07-2019 (Port Inspection)? (Being the development of capacity, facilitation of participation and assessment of
Para 31: Have you provided (or received) any assistance as described under Paragraph 28 of CMM 07-2019 (Port Inspection)? (Being the development of capacity, facilitation of participation and assessment of requirements for developing Members and CNCPs)



CMM 08-2019 Gillnets in the SPRFMO Convention Area

Para 1: Have you prohibited your vessels from using large scale pelagic driftnets and all deepwater gillnets

Gillnets

in the SPRFMO Convention Area?	
YES ⊠	
NO 🗆	
Decree 1516 of 1993 promulgates Protocol II of the Convention on the Prohibition of Fishing with Drift and Large-	
Scale Networks in the South Pacific.	
Para 2: Have any of your vessels transited the Area while carrying gillnets?	
YES If yes, answer the questions below	
Para 2a): Was 36 hours advance notice given?	
YES	
NO □	
Partial □	
• Para 2b): Did the vessels operate a VMS?	
YES	
NO 🗆	
Partial □	
• Para 2c): Were VMS reports submitted?	
YES 🗆	
NO 🗆	
Partial □	
 Para 2d): Were any Gillnets lost and/or abandoned overboard? 	
YES	
NO 🗆	
Enter relevant details here	
NO ⊠	



CMM 09-2017 Minimising bycatch of seabirds in the SPRFMO Convention Area¹

General Provisions	
Para 1 and 2: Are your vessels required to implement appropriate seabird mitigation measures while	5
fishing in the Convention Area?	
YES $oxtimes$ If yes, continue to answer the following questions on this CMM	
NO ☐ If no, skip to the next CMM	
Annex 1, para 1b) ii: Have you maintained and temporally appropriate observer coverage for the previou	S
5 consecutive years at levels greater than 10% and recorded a seabird mortality rate less than 0.01 birds	/
1000 hooks?	
This question does not apply to Chile; no Chilean demersal longline vessel has been operating in the Convention Area	3
YES If yes, continue to answer questions below	
 Are any of your demersal longline vessels only required to apply one of the three specified 	t
mitigation measures (i.e. line weighting, bird scaring, setting at night)?	
YES	
NO □	
 During the recent reporting period have you maintained a minimum of 10% observe 	r
coverage over your demersal longline fleet?	
YES □	
NO □	
NO □	
	_
Annex 1 para 2: Have any of your demersal longline vessels exceeded a mortality rate of 0.01 birds/1000)
hooks?	
This question does not apply to Chile; no Chilean demersal longline vessel has been operating in the Convention	า
Area.	
YES 🗌 If yes, answer the question below	
Annex 1, para 2a): Please list those vessels and state if, after the mortality event(s), whether	
these vessels applied at least one additional measure?	
Enter relevant details here	
NO 🗆	
Annex 2, para 2: Have you maintained 100% observer coverage for the previous 5 consecutive years with	h
less than 1 recorded mortality per vessel per year within your SPRFMO trawl fisheries?	
This question does not apply to Chile; no Chilean trawl vessel has been operating in the Convention Area.	
YES If yes, continue to answer questions below	
Have you exempted any of your vessels from applying the specified mitigation measures	
(bird scaring lines, responsible discharge management, net cleaning, surface time	5
minimisation)?	
YES \square If yes, please list the vessel(s) and identify the fisheries concerned	
Enter relevant details here	
NO 🗆	
During the recent reporting period did any mortality events occur?	
YES \square If yes, please list the vessels concerned and stipulate whether, after the mortality, these vessels applied	d
appropriate mitigation measures	
Enter relevant details here	
NO □	

 $^{^{1}}$ When completing this section Members and CNCPs should consider paragraph 18 of CMM 03-2020, as relevant.



NO □	
they discha	d you have any trawlers which are exempt from applying seabird mitigation measures because arge no biological material?
YES 🗆	If yes, please list those vessels and stipulate when these vessel exemptions were last reviewed
NO □	Enter relevant details here

Table 8: Seabird mitigation measures in Demersal Longline (Para 9 & Annex 1 para 1)

Biological discharge during shooting/hauling prohibited?	List of Seabird mitigation measures used in SPRFMO Area?	Observer coverage level ¹ ?	Nº of Seabird interactions	Approx. Seabird mortality rate (per 1000 hooks observed)
YES □ NO □				

Table 9: Seabird mitigation measures in Trawl Fisheries (Para's 3, 9 & Annex 2 para 1, 2)

Nº of vessels that do not discharge Biological material?	discharging	List of seabird mitigation measures used in SPRFMO Area	Observer coverage level ²	Nº of seabird interactions	Approx. seabird mortality rate (per tow observed)

 $^{^{}m 1}$ Observer coverage focused on recording seabird bycatch; provided as a percentage of number of hooks

 $^{^{2}}$ Observer coverage focused on recording seabird by catch; provided as a percentage of number of tows



CMM 10-2020 Compliance Monitoring Scheme

Purpose

Para 1b: Please identify any areas in which you feel technical assistance or capacity building may be needed to assist Members and CNCPs to achieve compliance.

Enter relevant details here

Para 1c: Please identify any aspects of CMMs which you feel may require improvement or amendment to facilitate or advance their implementation.

Enter relevant details here



CMM 11-2015 Boarding & Inspection Procedures

Para 1: The Commission's procedures on at sea inspection in the SPRFMO Convention Area shall be those contained in Articles 21 and 22 of the 1995 Agreement (Agreement for the Implementation of the Provisions of the United Nations Convention on the Law of the Sea of 10 December 1982 relating to the Conservation and Management of Straddling Fish Stocks and Highly Migratory Fish Stocks).

Para 2: Are any of your flagged vessels fishing in the SPRFMO Area?
YES 🗵 If yes, please answer the questions below
 Have you designated, and publicised through SPRFMO, an appropriate authority to receive Boarding and Inspection related notifications as described in Article 21.4 of the 1995 Agreement?
YES ⊠
NO 🗆
 Have you ensured that vessel masters comply with stipulations (a) through to (f) under Article 22.3 of the 1995 Agreement?
YES ⊠
NO □
NO 🗆
NO L
NO L
Para 2: Were any of the vessels flying your flag inspected by a SPRFMO Contracting Party?
Para 2: Were any of the vessels flying your flag inspected by a SPRFMO Contracting Party?
Para 2: Were any of the vessels flying your flag inspected by a SPRFMO Contracting Party? YES If yes, please answer the questions below • Were there any cases in which there were clear grounds for believing that a vessel has
Para 2: Were any of the vessels flying your flag inspected by a SPRFMO Contracting Party? YES If yes, please answer the questions below • Were there any cases in which there were clear grounds for believing that a vessel has engaged in any activity contrary to the CMMs of the SPRFMO?
Para 2: Were any of the vessels flying your flag inspected by a SPRFMO Contracting Party? YES If yes, please answer the questions below • Were there any cases in which there were clear grounds for believing that a vessel has engaged in any activity contrary to the CMMs of the SPRFMO? YES If yes, please answer the question below • If yes, how were the procedures described under Article 21.6 and 21.12
Para 2: Were any of the vessels flying your flag inspected by a SPRFMO Contracting Party? YES If yes, please answer the questions below • Were there any cases in which there were clear grounds for believing that a vessel has engaged in any activity contrary to the CMMs of the SPRFMO? YES If yes, please answer the question below • If yes, how were the procedures described under Article 21.6 and 21.12 addressed? Did you encounter any problems?
Para 2: Were any of the vessels flying your flag inspected by a SPRFMO Contracting Party? YES □ If yes, please answer the questions below • Were there any cases in which there were clear grounds for believing that a vessel has engaged in any activity contrary to the CMMs of the SPRFMO? YES □ If yes, please answer the question below • If yes, how were the procedures described under Article 21.6 and 21.12 addressed? Did you encounter any problems? YES □ NO □



Article 21.3	3: Have you conducted any at-sea inspections during the recent reporting period?
YES □	If yes, please answer the questions below
	 Article 21.4: Have you informed the SPRFMO Secretariat of the form of identification issued to your duly authorised inspectors? YES □ NO □
	 Article 21.4: Are your vessels used for boarding and inspection clearly marked and identifiable as being on government service? YES □ NO □
	• How do you ensure that your inspectors observe all provisions under Articles 21.10 and 22.1 of the 1995 Agreement? Enter relevant details here
	How many at-sea inspections did you conduct? Enter relevant details here
	• Article 21.5: Following a boarding and inspection, have you had clear grounds for believing that a vessel has engaged in any activity contrary to the CMMs of the SPRFMO?
	YES \square NO \square If yes, please list all cases below and answer the following 2 questions Enter relevant details here
	 Article 21.8: In any of these cases, did you have clear grounds for believing that a vessel has committed a serious violation in accordance with Article 21.11 of the 1995 Agreement?
	YES □ NO □ Enter relevant details here
	 Article 21.9: Did you secure evidence and promptly notify the flag State of the alleged violation and the results of any further investigation? YES □ NO □
	 Did you encounter any problems? YES \(\subseteq \text{NO} \subseteq \) If yes, please provide details below
	YES NO If yes, please provide details below Enter relevant details here
NO ⊠	



CMM 12-2020 Transhipment and Other Transfer Activities

Para 10: This CMM "shall apply to the jumbo flying squid fishery 30 days after the conclusion of the annual Commission meeting in 2021". Nevertheless, Members and CNCPs whose vessels are active in the jumbo flying squid fishery are encouraged to complete this section to allow for a greater understanding of the fishery.

Have any of your vessels conducted t	•	ities during the reporting period?					
YES If yes, complete the following tables and questions on this CMM							
NO 🗵 If no, skip to the next CMM							
General Provisions for all Vessels I							
Paras 2 and 3: Were all transhipme							
vessels (i.e. on the Commission Reco	rd of Vessels at the time of the activi	ty)?					
YES □							
NO 🗆							
	<i>urphyi</i> caught in the Convention A						
Nº of transhipments in which your	Nº of transhipments in which your	How many of the <i>T. murphyi</i>					
vessels unloaded <i>T. murphyi</i> catch	vessels received T. murphyi catch	transhipments were observed?					
Diagonalist the grandle that are directed	l translations outs of T movembri division	the managerine manied					
Please list the vessels that conducted Enter relevant details here	i transnipments of <i>1. murphyi</i> _during	the reporting period					
Litter rejevant details here							
Table 11: Transhipments of jumb	oo flying squid caught in the Conv	ention Area (Para's 4, 5, 8)					
Nº of transhipments in which your	Nº of transhipments in which your	How many of the jumbo flying squid					
vessels unloaded jumbo flying squid?	vessels received jumbo flying squid?	transhipments were observed?					
Please list the vessels that conducted	l transhipments of <i>jumbo flying squi</i> d	<u>d</u> during the reporting period					
Enter relevant details here							
T							
	ersal species¹ caught in the Conve						
Nº of transhipments in which your vessels unloaded demersal catch	Nº of transhipments in which your vessels received demersal catch	How many of the demersal transhipments were observed?					
vessels unloaded demersal catch	vessels received demersal catch	transilipinents were observed?					
Please list the vessels that conducted transhipments of <i>demersal species</i> during the reporting period							
I Please list the Vessels that conducted	I transhinments of demersal species	during the reporting period					
Enter relevant details here	I transhipments of <i>demersal species</i>	during the reporting period					

¹ Demersal species generally live on or near the ocean floor. Jumbo flying squid in SPRFMO is <u>not</u> considered a demersal species.



CMM 13-2020 Exploratory Fisheries

Interpretation
Para 4: Are any of your vessels currently permitted to fish in SPRFMO exploratory fisheries?
YES ⊠
NO □
Requirements for Exploratory Fisheries
Para 5: Are you seeking to permit a vessel that flies your flag to fish in an exploratory fishery?
YES $oxtimes$ If yes, answer the following questions
• Para 5a: Have you submitted an application to the Commission in respect of these
activities?
YES □ NO ☒
• Para 5b: If have you submitted a Fisheries Operation Plan to the Scientific Committee in
respect of these activities?
YES ⊠ NO □
NO □



CMM 14a-2019 Exploratory Fishing for Toothfish by New Zealand-Flagged Vessels in the SPRFMO Convention Area

(The obligations listed under this CMM are only applicable to New Zealand; all other Members and CNCPs can skip to the next CMM).

Details and Specification of Exploratory Fishing Activities
Para 6: How many exploratory toothfish fishing trips occurred during 2020?
Enter relevant details here
NIL 🗆 If "nil" then skip to the next CMM
Para 5: Was all of the exploratory toothfish fishing conducted within the four exploratory strata
(refer L, M, N and O in Table 1 of CMM14a-2019)?
YES
NO □

Table 13: Total Allowable Catch (Paras 8, 9a, 9f and 9i)

Vessel	Exploratory fishing Strata	Post spawning Catch (Aug - Oct)	Non-post spawning Catch
	L		
San Aspiring	M		
Sull Aspiring	N		
	0		
	L		
lange	M		
Janas	N		
	0		

Table 14: Total Allowable Catch (Paras 9a and 9b)

Exploratory fishing Strata	Total number	of Sets	Number of Clusters
L			
M			
N			
0			

Table 15: Management Measures (Para's 9, 17 and 19)

Protocol	Value	Reference
Number of clusters composed of more than 5 lines		Para 9c
Number of clusters within 10nm of another cluster? (within the same voyage or fishing season)		Para 9d
Number of lines beginning, or ending, deeper than 2,200 m?		Para 9e
Number of lines with more than 6,900 hooks?		Para 17a) ii
Number of clusters with more than 17,250 hooks?		Para 17a) iii
Number of toothfish tagged		Para 17b
Minimum overlap tagging statistic		Para 17b
Number of occasions where over 250 kg of Deepwater sharks (class Chondrichthyes) were caught within a cluster of lines?		Para 19



Marine Mammals	s, Seabirds,	Turtles,	and o	ther S	pecies	of	Concern
----------------	--------------	----------	-------	--------	--------	----	---------

Para 22: Was there any occasion when any of the mitigation methods described in paragraphs 22a) to g)
were not employed as appropriate?
YES If yes, describe below
Enter relevant details here
NO 🗆

Table 16: Marine Mammals, Seabirds, Turtles, and other Species of Concern (Para 23)

Paragraph 23 information	Value	Reference
Number of Seabird/Marine mammal abundance counts taken during setting		Para 23a
Number of Seabird/Marine mammal abundance counts taken during hauling		Para 23a
% of hooks hauled that were observed		Para 23c
% of hooks hauled that have been viewed on recorded video after the voyage		Para 23d
Number of captures of Marine Mammals		Para 23e
Number of captures of Seabirds		Para 23e
Number of captures of Turtles		Para 23e
Number of captures of other Species of Concern		Para 23e

Monitoring
Para 25: Was a New Zealand government observer onboard during the exploratory fishing?
YES 🗌 If yes, answer the following question
Was there a dedicated assistant available?
YES □ NO □
NO □
Para 26: Has recorded footage of each voyage showing all hauled lines and hooks been provided to the
New Zealand Ministry for Primary Industries?
YES □
NO □



NO \square

NO 🗆

CMM 14b-2020 Exploratory Potting Fishery in the SPRFMO Convention Area¹

(The obligations listed under this CMM are only applicable to the Cook Islands; all other Members and CNCPs can skip to the next CMM).

Objectives
Para 1: Did your vessels conduct exploratory pot fishing for lobster or crab during the reporting period?
YES
NO ☐ If "no" skip down to para 27
Details and Specification of Exploratory Fishing Activities
Para 5: Did all the fishing use the bottom potting method?
YES If yes, answer the following question
$ullet$ Was it all conducted within the box specified in Table 1^2 of this measure
YES □

Table 17: Details and Specification of Exploratory Fishing Activities (Para 5/7/9)

Information	Value	Reference
Total number of Seamounts (or other features) fished		Para 5
Maximum number of fishing days per trip (Total Allowable Effort)		Para 7
Maximum number of lines set in a single day		Para 7
Maximum number of lines hauled in a single day		Para 7
Maximum number of traps per line		Para 7
Maximum days fishing on Kopernik within a single trip		Para 7
Maximum weekly CPUE recorded on Kopernik seamount		Para 9

Para 8: Were additional fishing days utilized during fishing year 2 to conduct experimental trapping (refer
appendix 7 of the revised Fisheries Operation Plan)?
YES □
NO □
Enter relevant details here
Para 10: Did any fishing occur during the July - September period?
YES □
NO 🗆

Para 11: What was the tonnage of lobster and crab (combined) caught during the second fishing year (July
2019 – June 2020)?
Enter relevant details here

The implementation of paragraph 20 (Data collection) can be found within CMM 02-2020.

² Table 1: boxed positions for the exploratory fishing

Exploratory area	latitude	longitude	
Foundation	31'00 S	100'00	
		W	
Sea mount	40'00 S	134'00	
chain		W	

 $^{^{1}}$ The implementation of paragraph 28 (VMS) can be found within CMM 06-20202.



Para 14a: At any time during the exploratory fishing did the stock indicators show sustainability concerns?
YES □
NO □
Authorised Vessels
Para 17: Which vessel(s) were authorised and undertook the exploratory potting?
Enter relevant details here
Marine mammals, seabirds, turtles, and other species of concern
Para 23: Were all the mitigation methods specified in paragraph 23 implemented during the exploratory
fishing?
YES 🗆
NO 🗆
Para 24: Was the information described in paragraph 24 relating to marine mammals, seabirds, turtles,
and other species of concern collected?
YES □
NO 🗆
Monitoring & Data Collection
Para 26: Was there a Cook Islands Government observer (and a dedicated assistant) on board the vessel
during the exploratory fishing?
YES □
NO 🗆
Para 27: Was there a video monitoring and recording system installed and was the footage provided to the
Cook Islands Government at the end of each voyage?
YES YES
NO 🗆
Para 29: Did the Cook Islands present a full and comprehensive amended exploratory fishing proposal to
the 8 th meeting of the SPRFMO Scientific Committee as described in para 29 including points a) to d)?
YES 🗆
NO 🗆



CMM 14c-2016 Exploratory Fishing for Toothfish by European Union Vessels in the SPRFMO Convention Area (*expired*)

(The obligations listed under this CMM are only applicable to the European Union; all other Members and CNCPs can skip to the next CMM).

Duration, catch and effort limits			
Para 6: How many exploratory toothfish	n fishing trips were during	g completed 2019?	
Enter relevant details here			
NIL □ If "nil" then skip to the next CMM			
Para 5: Was all of the exploratory tooth	fish fishing conducted wi	thin the five research blo	ocks
(refer A, B, C, D and E in Table 1)?			
YES □			
NO □			
Table 17: Duration, catch and effort	limits		
Limit		Value	Reference
Length of trip			Para 6
Greenweight catch of Toothfish (tonnes)			Para 7
Maximum hooks per single set			Para 8
Maximum sets in a single research block			Para 8
Total number of sets within the trip			Para 8
Minimum depth fished			Para 10
Maximum depth fished			Para 10
Management Measures			
Para 12: Were all hooks uniquely marke	ed indicating the vessel a	nd fishing campaign?	
YES □			
NO □			
Authorised Vessels			
Para 13: What was the name of the vess	sel that was authorised t	o undertake fishing nurs	uant to this CMM?
Enter relevant details here	ser that was authorised t	o andertake horning paro	dant to this civilvi.
Little relevant details here			
Table 18: Data collection (Para 17)			
Limit	Value	Reference	
Number of tooth fish tagged		Para 17	
Overlap tagging statistic		Para 17	
Marine Mammals, Seabirds, Turtles,	and other Species of C	Concern	
Para 19: Was there any occasion when	any of the mitigation m	ethods described in para	agraphs 19a) to m)
were not employed as appropriate?			
YES If yes, please describe			
Enter relevant details here			
NO □			



Table 19: Mitigation measures (Para 19)

Protocol	Value	Reference
Was the trigger level of 0.01 birds per/1000 hooks exceeded?	YES □ NO □	Para 19g
Were any turtles sighted in the area?	YES □ NO □	Para 19h
Was there any seal or cetacean bycatch?	YES □ NO □	Para 19i
Was the move-on provision relating to sharks triggered?	YES □ NO □	Para 19j
Was a move-on provision relating to skates and rays triggered?	YES □ NO □	Para 19k, 19l
Was a move-on provision relating to <i>Macrourus</i> spp. triggered?	YES □ NO □	Para 19m

Table 20: Data collection for marine mammals, seabirds, turtles, and other species of concern (Para 20)

Table 20. Data collection for marine marininas, seabilities, turties, and other species of concern (Fara 20)		
Paragraph 20 information	Value	Reference
Number of Seabird/Marine mammal abundance counts taken during start of an event		Para 20a
Number of Seabird/Marine mammal abundance counts taken during middle of an event		Para 20a
Number of Seabird/Marine mammal abundance counts taken during end of an event		Para 20a
% of hooks hauled that were observed for marine mammal, seabird and turtle interactions		Para 20b
Number of captures of Marine Mammals		Para 20c
Number of captures of Seabirds (excluding collisions)		Para 20c
Number of captures of Turtles		Para 20c
Number of captures of other Species of Concern		Para 20c
Number of Seabirds collisions		Para 20c
Number of Seabirds released alive		Para 20c
Number of dead birds retained		Para 20d

VME
Para 22: Was evidence of a VME is encountered during fishing activities?
YES □
NO □
Monitoring
Para 23: Was a scientific observer onboard during the exploratory fishing?
YES 🗌 If yes, please answer the question below

• Was there a dedicated assistant available?

YES □ NO □

NO 🗆



CMM 14d-2020 Exploratory Fishing for Toothfish by Chilean-Flagged Vessels in the SPRFMO Convention Area¹

(The obligations listed under this CMM are only applicable to Chile; all other Members and CNCPs can skip to the next CMM).

Details and Specification of Exploratory Fishing Activitie	Details and S	Specification	of Exploratory	/ Fishing	Activities
------------------------------------------------------------	---------------	---------------	----------------	-----------	-------------------

Para 6: How many exploratory toothfish fishing trips occurred during 2020?
Enter relevant details here
NIL ⊠ If "nil" then skip to the next CMM
Details and Specification of Exploratory Fishing Activities
Para 5: Did all the fishing use the bottom longline method (i.e. trotline with the inclusion of
"Cachaloteras")?
YES If yes, answer the following question
 Was it all conducted within the box specified in Table 1² of this measure
YES
NO □
NO 🗆

Table 18: Total Allowable Catch (Para 8, 10)

Block	Days fished (2020)	Hooks set (2020)	Catch (2020)
NW			
NE			
SE			
SW			
TOTAL			

Authorised Vessels

Para 14: What was the name of the vessel that undertook fishing pursuant to this CMM?

Enter relevant details here

Table 19: Management Measures (Para 17,18)

Limit	Value	Reference
Maximum number of hooks set in a line		Para 17a
Maximum number of hooks set per day		Para 17b
Number of tooth fish tagged		Para 17c
Overlap tagging statistic		Para 17c
Number of occasions when more than		Para 18
250 kg of deep-water sharks were caught		Fala 10

² Table 1: boxed positions for the exploratory fishing

Block	1	2	3	4
NW	42°52′S; 98°28′W	58°21′S; 96°00′W	59°00′S; 75°00′W	54°00′S; 95°00′W
NW	42°52′S; 96°00′W	58°21′S; 93°47.6′W	59°00'S; 73°00'W	54°00′S; 92°00′W
SE	43°30′S; 96°00′W	59°00′S; 96°00′W	60°00'S; 70°00'W	55°00′S; 92°00′W
SW	43°30′S; 98°28′W	59°00′S; 96°60′W	60°00'S; 75°00'W	55°00′S; 95°00′W

 $^{^{1}}$ The implementation of paragraph 26 (VMS) can be found within CMM 06-20202.



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ata	וב	വ	IΔ	CT	10	۱n

Data Collection	
Para 20: Has the CCAMLR "C2" form been completed and provided to the SP	RFMO Secretariat?
(other CMM 02 - Data Standards requirements will be assessed under that section)	
YES 🗆	
NO 🗆	
NO LI	
Marine Mammals, Seabirds, Turtles, and other Species of Concern	
Para 21: Was there any occasion when any of the mitigation methods described in par	ragraphs 21 a) to g)
were not employed as appropriate?	, , ,
YES If yes, please describe	
Enter relevant details here	
NO 🗆	
Table 20: Data collection for marine mammals, seabirds, turtles, and other species of	
Information	Value Reference
Number of Seabird/Marine mammal abundance counts taken during setting	Para 22a
Number of Seabird/Marine mammal abundance counts taken during hauling	Para 22a
% of hooks hauled that were observed for marine mammal, seabird and turtle interactions	Para 22c
% of hooks on recorded video that have been viewed post-voyage	Para 22d
Number of captures of Marine Mammals	Para 22e
Number of captures of Turtles	Para 22e
Number of captures of other Species of Concern	Para 22e
Number of captures of Seabirds (excluding collisions)	Para 22e
Number of Seabirds collisions	Para 22e
Number of Seabirds released alive	Para 22e
Number of dead birds retained	Para 22f
Para 23: Was evidence of any VMEs encountered during fishing activities?	
YES	
NO 🗆	
Monitoring	
Para 24: Was a scientific observer onboard during the exploratory fishing?	
YES If yes, please answer the question below	
Was there a dedicated assistant available?	
YES NO NO	
NO 🗆	
Para 25: Has recorded footage of each voyage showing all hauled lines and hooks be	en provided to the
Chile fisheries and Aquaculture National Service?	
YES □	
NO □	



CMM 15-2016 Vessels without Nationality

without na	uring the reporting period did you share any information about the activities of vessels tionality, and/or where appropriate, enact domestic legislation, to prevent and deter vessels tionality from engaging in fishing or fishing related activities in the Convention Area? If yes, please provide additional details Enter relevant details here	
NO ⊠		
	ring the reporting period did you take any actions, in accordance with international law,	
against vessels without nationality? (e.g. by prohibiting landing, transhipment or access to port		
services).		
YES □	If yes, please provide additional details	
	Enter relevant details here	
NO ⊠		



CMM 16-2019 the SPRFMO Observer Programme¹

Evaluation	of observer programmes
Para 24: Do	you intend to pursue accreditation under the SPRFMO OP?
YES	If yes, please indicate when you intend to begin the accreditation process?
	☐ within the 2 forthcoming years
	☐ within the forthcoming 2-5 years
NO □	We have no plans to deploy observers in the SPRFMO Area.
NO ⊠	We are currently accredited with the SPRFMO Observer Programme.
	We are currently being assessed by the SPRFMO OP Accreditation Evaluator.
NO 🗆	Chile is currently being assessed by the SPRFMO OP Accreditation Evaluator.
General Ru	ules
Para 2: Did	you deploy any observers in order to facilitate the collection of verified scientific data and
additional i	nformation related to fishing activities and its impacts on the ecosystem in the Convention
Area?	
YES ⊠	
NO □	If no, skip to the next CMM
	e you ensured that that observers from your national observer programmes have been granted
their rights	and perform their duties as described in Annex 1 of the CMM?
YES ⊠	
NO 🗆	
Para 6: Hav	ve you ensured that your owners and fishing operators, vessel captains, officers, and vessel
respect	the rights of observers set out in Annex 1 of the CMM?
YES ⊠	
NO □	
• comply	with the standards and duties set out in Annex 2 of the CMM?
YES 🗵	
NO 🗆	
Deployme	nt of Observers
Para 9: Wer	e all the observers you deployed sourced from SPRFMO accredited programmes ² ?
YES □	
NO ⊠	
Para 10: Did	you deploy any observers on board vessels flagged to another Member or CNCP?
YES □	If yes, please list the others Members/CNCPs involved
	Enter relevant details here
NO ⊠	

¹ The implementation of paragraphs 3 (Observer coverage) and 12 (Levels of coverage) are within the relevant fishery CMM. The implementation paragraph 42 (Data collection) can be found within CMM 02-2020

The implementation of paragraph 7 (independent and impartial observers) and paragraphs 22-41 (Evaluation of observer programmes ...) shall be assessed by the SPRFMO Observer Programme Accreditation Evaluator

² Noting that Members and CNCPs may continue using their own non-accredited national observer programme or service provider to meet observer coverage requirements until 31 December 2023.



Para 11: Did any of your observers refuse a deployment onboard a fishing vessel during the recent
reporting period?
YES
NO ⊠
Levels of Coverage
Para 13: Did any of your scientific research vessels fish for research purposes in the Convention Area
during the reporting period?
YES If yes, please answer the question below
• Did the scientific personnel collect and provide the information specified in Annex 7 of
CMM 02-2020 (Data Standards) in the manner set forth in that CMM?
YES □ NO □
NO ⊠
Reporting
Para 15/44: Did you include a brief overview of your national observer programmes (or service providers)
as a component of your Annual National Report submitted to the Scientific Committee?
YES 🗵 If yes, please answer the question below
 Did you document the methods used to allocate observers on fishing vessels in order to
meet observer coverage requirements?
YES ⊠ NO □
NO 🗆



CMM 17-2019 Fishing gear and Marine Plastic Pollution in the SPRFMO Convention Area

Retrieval of abandoned, lost or otherwise discarded fishing gear

Para 1: Please detail the methods you have employed to ensure that paragraphs 1a to 1f are implemented by your vessels:

Decree No. 152 of 2014 promulgates the 2011 amendments to Annex V of the 1978 Protocol relating to the International Convention for the Prevention of Pollution from Ships (Marpol V), including its regulation related to fishing gears. The Chilean relevant authorities are working to improve coordination for full implementation of these measures.

measures.
Para 3: Did any of your vessels abandon, lose, or otherwise discard any fishing gear?
YES
NO ⊠
Did any of your vessels retrieve any abandoned, lost or otherwise discarded fishing gear?
YES ⊠
NO ⊠
Marine plastic pollution
Para 4: Are vessels flying your flag prohibited from discharging into the sea all plastics, including but not
limited to synthetic ropes, synthetic fishing nets, plastic garbage bags and incinerator ashes?
YES 🗵
NO 🗆
• Decree No. 152 of 2014 promulgates the 2011 amendments to Annex V of the 1978 Protocol relating to the International Convention for the Prevention of Pollution from Ships. These amendments prohibit discharges of
these items. • Decree No. 1 of 1992 that establishes "Regulation for the Control of Aquatic Pollution", which does not contemplate in particular definition for plastics or its types, however it states the prohibition for discharging/throwing to the marine environment all kind of wastes, garbage or dangerous materials that may cause damage or harm in national waters.
Para 7: Have you requested that vessels flying your flag inform you about any ports, in countries that are
Party to the annexes of MARPOL, which do not have adequate port reception facilities for MARPOL wastes?
YES 🖂
NO □
Circular MEPC.1-Circ.834-Rev.1(2018) establishes prescription for Port Reception Facilities, in particular a "CONSOLIDATED GUIDANCE FOR PORT RECEPTION FACILITY PROVIDERS AND USERS" which indicates about the permission to foreign ships to report deficiencies in MARPOL waste reception facilities, on the Global Integrated Shipping Information System (GISIS) portal. In addition, the complaint can be made at the following email prf@directemar.cl. National vessels operating at international waters are required to comply with these guidelines.
Para 8: During this reporting period did you undertake research into marine pollution related to fisheries in the SPRFMO Convention Area to further develop and refine measures to reduce marine pollution?
YES If yes, continue to answer question below
 Was information derived from these efforts submitted to the SPRFMO Scientific Committee and/or the Compliance and Technical Committee?
YES □ NO □ Partial □
Enter relevant details here
27100 York and addition for the



Para 9: Have you	conducted to	raining and	awareness	programmes	for the	crew	and master	of fishing
vessels flying your	flag regardin	ng the impac	cts of marin	e pollution a	nd opera	ational	practices to	eliminate
marine pollution ca	aused by fishi	ng vessels?						

YES 🗵

NO 🗆

The Industrial Fishing Sector of Chile subscribed with the National Agency for Sustainability and Climate Change a "Clean Production Agreement" in the year 2018, that establishes within its objectives and goals to train the fisheries vessel staff in pollution prevention, clean production and environmental matters.

CMM 18-2020 Jumbo Flying Squid Fishery in the SPRFMO Convention Area¹

This CMM "shall enter into force on 1 January 2021". Nevertheless, Members and CNCPs whose vessels are active in the jumbo flying squid fishery are encouraged to complete this section to allow for a greater understanding of the fishery.

General Provisions

Para 1: Did yo Area?	ur flagged vessels engage in the <i>Dosidicus gigas</i> fishery in, or adjacent to, the Convention
YES ⊠	If yes, complete the following tables and questions on this CMM
NO 🗆	If no, skip to the next CMM

Scientific Committee Reports

Para 9: Did you conduct any research or stock assessments for D. gigas during this past year?
YES $oxtimes$ If yes, please answer the question below
Were they submitted to the Scientific Committee?
YES □ NO ⊠
Enter relevant details here
NO □

Observer coverage

Para 10: What was your observer coverage level (during this reporting period):						
	Number of full time at sea observers	Percentage of fishing days observed				
	13	5.4%				

¹ The implementation of the following paragraphs can be found within the corresponding CMMs: paragraphs 2 (vessel duly authorised) and 6 (active vessels) can be found within CMM 05-2019. paragraphs 3 (Data collection) and 8 (annual reports) can be found within CMM 02-2020. paragraph 5 (VMS) can be found within CMM 06-2020.