



CMM 10-2020 ANNEX 2¹

Template for the Implementation Report of the SPRFMO Conservation and Management Measures

SPRFMO Secretariat

(NOTE: The completed Implementation Report is due no later than **October 20, 2021**)

Maximum Number of Questions/Tables per CMM to Assess Obligations

CMM	Conservation and Management Measure	Nº of Questions/Tables
01-2021	<i>Trachurus murphyi</i>	13
02-2021	Standards for the Collection, Reporting, Verification and Exchange of Data	8
03-2021	Bottom Fishing in the SPRFMO Convention Area	20
03a-2021	Deepwater Species in the SPRFMO Convention Area	8
04-2020	Establishing a List of Vessels Presumed to have Carried Out IUU Activities in the SPRFMO Convention Area	12
05-2021	Establishment of the Commission Record of Vessels Authorised to Fish in the SPRFMO Convention Area	5
06-2020	Establishment of the Vessel Monitoring System in the SPRFMO Convention Area	30
07-2021	Minimum Standards of Inspection in Port	15
08-2019	Gillnets in the SPRFMO Convention Area	6
09-2017	Minimising Bycatch of Seabirds in the SPRFMO Convention Area	11
10-2020	Establishment of a Compliance and Monitoring Scheme in the SPRFMO Convention Area	2
11-2015	Boarding and Inspection Procedures in the SPRFMO Convention Area	6
12-2020	Regulation of Transshipment and Other Transfer Activities	10
13-2021	Management of New and Exploratory Fisheries in the SPRFMO Convention Area	4
14a-2019	Exploratory Fishing for Toothfish by NZ-Flagged Vessels in the SPRFMO Convention Area	9
14b-2021	Exploratory Potting Fishery in the SPRFMO Convention Area	16
14d-2020	Exploratory Fishing for Toothfish by Chilean-Flagged Vessels in the SPRFMO Convention Area	13
14e- 2021	Exploratory Fishing for Toothfish by European Union-Flagged Vessels in the SPRFMO Convention Area	11
15-2016	Vessels without Nationality in the SPRFMO Convention Area	2
16-2021	The SPRFMO Observer Programme	13
17-2019	Fishing Gear and Marine Plastic Pollution in the SPRFMO Convention Area	8
18-2020	Management of the Jumbo Flying Squid Fishery	8
19-2021	Markings and Identification of Fishing Vessels (<i>entry into force 01 January 2023</i>)	n/a
TOTAL		230

To be COMPLETED by the Member/CNCP

Reporting Member/CNCP: European Union

Reporting period to which this report refers: 1 October 2020 – 30 September 2021

Date report prepared: 20/10/2021; (**updated** on 24/11/2021)

¹ Annex 2 has been amended by the Secretariat as per paragraph 5b of CMM 10-2021 (CMS).

CMM 01-2021 *Trachurus murphyi*¹

General Provisions

Para 1: Did your flagged vessels operate in the *T. murphyi* fishery in, or adjacent to, the Convention Area or did any vessels land or tranship *T. murphyi* within your ports?

YES ☒ If yes, complete the following tables and questions on this CMM

NO ☐ If no, skip to the next CMM

Table 1: Effort Management (Para 4)

Gross Tonnage (GT) Limit	GT of active fishing vessels	Nº of vessels actively fishing for CJM	Nº of vessels only engaged in transshipment(s)
78 600	32 636	3	0

Enter n/a if not applicable.

Table 2: Catch Management (Paras 5, 9)

CJM catch limit	CJM catch limit taking into account any catch transfers	CJM catch total (year to date)
41 538	0	0

Table 3: Data Collection and Reporting (Paras 12,13, 18, 20, 24)

Nº of monthly (and/or 15-day) reports provided	Lists of authorised, active and transshipping vessels provided?	Age-length and/or survey information provided to SC?	Observer coverage level
9*	YES ☒ NO ☐ Partial ☐	YES ☐ NO ☒	31%**

*One report had to be revised and was submitted again. If that report is counted also, the total number of reports provided is 10.

** 4 out of 13 fishing trips observed until 30 September 2021.

Para 8: Do you have additional measures that limit your vessels to catches less than the amount specified in Table 2 above?

YES ☐ If yes, please elaborate
Enter relevant details here

NO ☒

Para 22: Did you conduct any research or stock assessments for *T. murphyi* during this past year?

YES ☒ If yes, please answer the question below

- Were they submitted to the Scientific Committee?

YES ☒ NO ☐

Research on MSE and habitat

NO ☐

¹ The implementation of the following paragraphs can be found within the corresponding CMMs:
paragraph 15 (required data, annual catch report) can be found within CMM 02-2021.
paragraph 17 (VMS) can be found within CMM 06-2020.
paragraph 20 (annual report) can be found within CMM 02-2021.
paragraph 21 (CMS) can be found within CMM 10-2020.



Para 23: Did any vessels land or tranship *T. murphyi* within your ports and did you take measures to verify those catches?

YES ☐

NO ☒

Cooperation in respect of fisheries in adjacent areas under national jurisdiction

Para 25: Is any part of your national jurisdiction area adjacent to the Area to which CMM 01-2021 (*T. murphyi*) applies?

YES ☐ *If yes, please answer the questions below*

- Have you decided to apply the measures described in CMM 01-2021 (*T. murphyi*) paragraphs 12-24 within your national jurisdiction areas?

YES ☐ NO ☐ Partial ☐

- Have you informed the Secretariat about conservation and management measures in effect for *T. murphyi* within your national jurisdiction areas (e.g. TAC resolutions)?

YES ☐ NO ☐

Enter relevant details here

NO ☒

Para 27: Are you a coastal State that has not given your express consent under Article 20 paragraph 4(a)(ii) of the Convention?

YES ☐ *If yes, please answer the questions below*

- Have you established domestic measures for catches of *T. murphyi* that may result in catches in the area under your national jurisdiction exceeding 71 298 tonnes?

YES ☐ NO ☐ *If no, continue to the next CMM*

Enter relevant details here

- If so, did you submit to the Secretariat a report explaining to the Commission how the national measures concerning the *T. murphyi* fishery in areas under your national jurisdiction are compatible with those adopted by the Commission?

YES ☐ NO ☐

NO ☒



CMM 02-2021 Standards for the Collection, Reporting, Verification and Exchange of Data¹

General

Did you have vessels in the Convention area during the reporting period and/or during the period January to December 2020 for which you have fisheries catch, landing, transshipment or observer data?

YES ☒

NO ☐

If YES, complete the remaining questions; if NO, skip to the very last question (Annual Reports)

Data on Fishing Activities and the Impacts of Fishing

Para 1a: Did you collate annual catch totals raised to “live” weight for all species/ groups caught in the previous calendar year (as described in Annex 13) and provide this to the Secretariat prior to 30 September?

YES ☒

NO ☐

Partial ☐

Enter relevant details here

Table 4: Participation in SPRFMO fisheries during this reporting period (Para’s 1b/c, 2b/c)

Fishing method	Activities undertaken?	Main species caught?	Nº of vessels	Activities observed? (Yes/No)
Demersal Hand/drop/dahn line	YES ☐			
Demersal Longline	YES ☐			
Demersal Potting	YES ☐			
Demersal Trawl	YES ☐			
Pelagic Purse seine	YES ☐			
Pelagic Trawl	YES ☒	Jack Mackerel	3	Yes
Squid jigging	YES ☐			
Transshipment	YES ☐			
Landings	YES ☒	Jack Mackerel	3	Yes
Reefer Landings	YES ☐			
<i>Other fishing methods or data (rows can be added if needed)</i>	YES ☐			

Para 1d: Did you compile and submit all required data on fishing activities and the impacts of fishing and provide those to the Secretariat using the SPRFMO data submission templates prior to the 30th June?

YES ☒

NO ☐

Partial ☐

Enter relevant details here

Observer Data

Para 2c Was the previous year’s observer data (Jan – Dec) submitted to the Secretariat in Microsoft Excel format prior to the 30th September?

YES ☒

NO ☐

Partial ☐

Enter relevant details here

¹ The implementation of paragraph 2a (Implementation of observer programmes) can be found within CMM 16-2021



Data Verification

Para 4: Have you developed mechanisms to verify your SPRFMO fishery data?

YES ☒

NO ☐

A wide range of systems including scientific observers on board, daily reporting through electronic log-books, landing forms, transshipment forms, national reports, VMS/AIS data, scientific validation, etc.

Data Exchange

Para 5: Have you reported the data relevant to the methods listed in Table 4 (above) to the Secretariat in accordance with the appropriate specifications and described formats and using the templates created by the Secretariat?

YES ☒

NO ☐

Partial ☐

Enter relevant details here

Annual Reports

Para 7: Did you submit an annual report to the Scientific Committee informing them about the fishing, research, management activities undertaken over the previous year (including all required sections)?
(NOTE: a NIL report is required in cases where there was no fishing inside the Convention Area.)

YES ☒

NO ☐

Enter relevant details here



CMM 03-2021 Bottom fishing in the SPRFMO Convention Area¹²

Definitions

Para 2: Did you engage (or intend to engage) in “bottom fishing” activities in the Convention Area?

YES ☐ *If yes, continue to complete the questions in this CMM*

NO ☒ *If no, skip to the next CMM*

General provisions

Para 10: Have you prohibited your vessels from participating in bottom fishing activities in the Convention Area, except when in accordance with this CMM together with CMM 03a-2021 (Deepwater species)?

YES ☐

NO ☐

Para 11: Were all your vessels that engaged in bottom fishing activities duly authorised and listed in the SPRFMO Record of Vessels in accordance with CMM 05-2021 (Record of Vessels)?

YES ☐

NO ☐

Para 12: Under which provision have you authorised your vessels to engage in bottom fishing activities in the Convention Area? *(mark all that apply)*

- **Para 21(d)(i)** The activity was authorised by the Commission (post 2019), after it considered an SC review of the Member conducted Bottom Fishery Impact Assessment

YES ☐

NO ☐

- **CMM 13-2021** The activity has been approved by the Commission under the Exploratory Fisheries CMM (paragraph 14)

YES ☐

NO ☐

Bottom fishing Management Areas

Para 14: Did all your bottom fishing in the Convention Area occur within the three defined Management Areas (para 13) and in accordance with the terms of this CMM³ (together with CMM 03a-2021)?

YES ☐

NO ☐

¹ The implementation of paragraph 18 (Marine mammals, Seabirds, Reptiles and Other Species of Concern) can be found within CMMs 02-2021 and 09-2017 as appropriate.

² The implementation of paragraph 35 (benthic bycatch) can be found within CMMs 02-2021.

³ Excluding fishing covered by CMM 13-2021 (Exploratory Fisheries)



Para 16: Did you ensure that all your vessels complied with the following three provisions¹?

a) Bottom trawling shall only occur in a bottom trawl Management Area;

YES ☐

NO ☐

b) Midwater trawling shall only occur in a midwater trawl Management Area or a bottom trawl Management Area;

YES ☐

NO ☐

c) Bottom lining shall only occur in a Management Area.

YES ☐

NO ☐

Assessment of proposed bottom fishing

Para 21a: During this reporting period, did you submit to the Scientific Committee, not less than 60 days before the SC annual meeting, a Bottom Fishery Impact Assessment that met the appropriate standard (SPRFMO BFIAS) and included consideration of cumulative impacts and proposed mitigation measures to prevent such impacts?

YES ☐

NO ☐

Para 22: Have you ensured that a proposed assessment meeting the requirements contained in paragraph 21(a) is submitted to the Scientific Committee and Commission at least every 3 years, and also when a substantial change in the fishery has occurred such that it is likely that the risk or impact of the fishery may have changed?

YES ☐ *Enter relevant details here if applicable*

NO ☐

Encounters with potential VMEs

Para 27: Did any of your vessels trigger the VME encounter protocols by encountering VME indicator taxa above the specified thresholds?

YES ☐ *If yes, continue to answer questions below*

- How many Encounters occurred during this reporting period?

Enter relevant details here

- In each case, did the vessel cease bottom fishing immediately within the encounter area?

YES ☐

NO ☐

- In each case, did the vessel report the encounter immediately in accordance with the Guidelines for the preparation and submission of notifications of encounters with potential VMEs, contained in Annex 7?

YES ☐

NO ☐

NO ☐

Para 30: Did you ensure that your vessels have not bottom fished in any officially notified Encounter Area pending any related Commission decision?

YES ☐

NO ☐

¹ Excluding fishing covered by CMM 13-2021 (Exploratory Fisheries)



Para 31: During this reporting period, did you submit any detailed descriptions of VME Encounters to the Scientific Committee (including suggested management actions) as a result of a temporary suspension pursuant to paragraph 27?

YES ☐

NO ☐

Monitoring and control of bottom fishing activities

Para 39a: Did you ensure that your vessels participating in bottom fishing:

- are equipped and configured so that they can comply with all relevant SPRFMO CMMs?

YES ☐

NO ☐

- act in accordance with CMM 06-2020 (Commission VMS), polling once every 30 minutes for the duration of the trip (*i.e., port to port VMS coverage*)?

YES ☐

NO ☐

- report tow or set start and end position to 1/100th degree resolution - decimal format?

YES ☐

NO ☐

Observer coverage

Para 39: What was your observer coverage level (during this reporting period) for:

- Vessels using bottom trawl?

Enter relevant details here

- Vessels using mid-water trawl gear?

Enter relevant details here

- Vessels using bottom line gear (expressed as the percentage of observed hooks)?

Enter relevant details here



CMM 03a-2021 Deepwater Species in the SPRFMO Convention Area

Interpretation

Para 2: Did you engage in “bottom fishing” activities in the Convention Area?

YES ☐ *If yes, complete the table below*

NO ☒ *If no, skip to the next CMM*

Table 5: CMM 03a-2021 (Deepwater Species): Catch Limits and Monitoring (Paras 10, 13, & 15)

Species - Area	2021 Catch Limit	2021 Catch Limit after applying transfers	Provisional 2021 Catch Total
Orange Roughy – Tasman Sea			
Orange Roughy – Louisville Ridge			
Orange Roughy – Westpac Bank			
Orange Roughy – South Tasman Rise (HS)			
All other target and non-target species			
Number of Monthly reports provided in this reporting period (Oct 2020–Sept 2021)			

Catch Limits and Monitoring (Paras 16, 17 & 18)

Para 16: Did your vessels participating in bottom fishing reach 70% of the allocated tonnage (Table 1)?

YES ☐ *If yes, continue to answer questions below*

- Was the catch limit (tonnage) reached for any area(s)?

YES ☐

NO ☐

- For each case, did the vessel(s) cease bottom fishing once the catch limit was reached?

YES ☐

NO ☐

NO ☐

Para 17/18: Did your vessels have catches of Orange Roughy from any of the following Tasman Sea stocks:
1) Northwest Challenger; 2) Lord Howe Rise; or 3) West Norfolk Ridge?

YES ☐ *If yes, continue to answer questions below*

- Which Stock Areas were fished (1, 2, 3)?

Enter relevant details here

- In each case, were weekly (or daily as applicable) catch reports submitted to the Secretariat?

YES ☐

NO ☐

- In each case, was fishing effort by your vessels ceased once the Secretariat advised that the appropriate thresholds were reached to stop fishing for the remainder of the year?

YES ☐

NO ☐

NO ☐



CMM 04-2020 Vessels presumed to have carried out IUU fishing activities in the SPRFMO Area

Information on alleged IUU Fishing activities

Para 2: Did you transmit a list of presumed IUU fishing vessels to the Secretariat during this reporting period?

YES ☐ *If yes, continue to answer Para 4*

- **Para 4:** Did you inform the relevant flag State before, or at the same time as, transmitting the list?

YES ☐

NO ☐

Enter relevant details here

NO ☒

Draft IUU Vessel List

Para 5: Were any of your flagged vessels on the draft IUU Vessel List?

YES ☐ *If yes, answer the following question (Para 7)*

- **Para 7:** Did you notify vessel owners and inform them about the potential consequences?

YES ☐

NO ☐

Enter relevant details here

NO ☒

IUU Vessel List

Para 15: Were any of your flagged vessels on the final IUU Vessel List?

YES ☐ *If yes, answer the questions below*

- Did you notify the vessel owners and inform them about the consequences of inclusion?

YES ☐

NO ☐

- Were any measures were taken to eliminate these IUU activities and was the Commission informed of these actions?

YES ☐

NO ☐

Enter relevant details here

NO ☒

Para 16: Did you enforce any of the measures described in paragraph 16 of CMM 04-2020 (IUU)?
(letters a to i)

YES ☐ *If yes, elaborate below*

NO ☒

Enter relevant details here

Modification of the IUU Vessel List

Para 21: Did you request the removal of one of your vessels from the IUU Vessel List, during the most recent intersessional period?

YES ☐ *If yes, elaborate below*

NO ☒

Enter relevant details here

Action against nationals involved in IUU fishing activities



Para 25: Did you take any measures against your nationals that have been identified as engaged in, responsible for, benefiting from, or supporting the activities of any vessel engaged in IUU fishing activities in the SPRFMO Convention Area?

YES ☐ *If yes, elaborate below*

NO ☒

Enter relevant details here

Para 28: Did you take any other actions and/or measures in accordance with this CMM that have not previously been reported in this section?

YES ☐ *If yes, elaborate below*

NO ☒

Enter relevant details here

Information indicating a change of circumstances of vessels appearing on the current IUU Vessel List

Para 29: Did you transmit information which indicated a change of name and/or an International Radio Call Sign (IRCS) of a vessel appearing on the SPRFMO IUU Vessel List to the Secretariat during this reporting period?

YES ☐ *If yes, elaborate below*

NO ☒

Enter relevant details here



CMM 05-2021 Commission Record of Vessels authorised to fish in the Convention Area

General

Para 2: Did you issue or maintain an authorisation to fish in the Convention Area to any vessel included on any RFMO IUU vessel list or on the IUU vessel list of CCAMLR?

YES ☐ *If yes, elaborate below*

NO ☒

Enter relevant details here

Para 3: Do all vessels flying your flag and authorised to fish in the Convention Area have a sufficient level of ownership by citizens, residents, or legal entities within your jurisdiction to allow enforcement action to be effectively taken against them?

YES ☒

NO ☐

Partial ☐ *If no, elaborate below*

Enter relevant details here

Para 4: Do you maintain your own register of fishing vessels for the SPRFMO Area?

YES ☒ *If yes, answer the following question (Para 5)*

Para 5: Does it contain all the necessary information?

(as described in Annex 1 of CMM 05-2021 [Record of Vessels]).

YES ☒

NO ☐

Partial ☐

NO ☐

Vessel Data Submission

Table 6: Vessel data submission summary (Para's 6, 7, 8)

Current number of vessels authorised to fish in the SPRFMO	Nº of new vessels authorised within reporting period	Nº of revoked, relinquished or invalid authorisations within reporting period	Authorisation details submitted to Secretariat?	Nº. of Active fishing vessels	Nº of vessels only engaged in Transhipments(s)
4*	0	0	YES ☒ NO ☐	3	0**

* reference to 'current' is taken to refer to the reporting period. If 'current' refers to the moment in which the implementation report is submitted, the number of vessels is 5 (TRONIO was authorised to fish from 1/10/2021).

** Vessel COOL EXPRESO (IMO 9085479; flag EU/NLD) was authorised but not active during the reporting period.

List of Active Vessels

Para 13: Did you notify the Executive Secretary of your list of vessels that are actively fishing or engaged in transhipment in the Convention Area (either monthly or prior to 30th January as appropriate)?

YES ☒

NO ☐

N/A ☐ *We did not have any vessels authorised to operate in the SPRFMO Area during the relevant period*



CMM 06-2020 VMS in the SPRFMO Convention Area

Application: Commission Vessel Monitoring System

Para 2: Did any of your vessels fish, or support fishing, in the SPRFMO Area during the reporting period, or did you request VMS data?

YES ☒ *If yes, answer the following question*

How many of your vessels reported their movements and activities to the Commission VMS during the reporting period?

3 vessels (ANNELIES ILENA, MARGIRIS, and MAARTJE THEADORA)

NO ☐ *If no, skip to the next CMM*

Purpose: Continuously Monitor the Movements and Activity of Fishing Vessels

Para 4:

Are your vessels that are on the SPRFMO Record of Vessels and operating in the Commission VMS Reporting Zone (Convention area + buffer zone) are continuously reporting their movements automatically?

(If applicable, indicate the "normal" minimum reporting frequency)

Normal minimum VMS reporting frequency for EU vessels is at least once every 2 hours

YES ☒ (Article 22, paragraph 1 of Commission Implementing Regulation (EU) No 404/2011 of 8 April 2011.

NO ☐

VMS positional reports must be transmitted to, and displayed on, Commission VMS in a manner to ensure continuous monitoring of the vessels active in the SPRFMO Convention Area and 100 nm Buffer zone.

Have you been advised of any disruption to the Commission VMS display for any of your flagged vessels during the reporting period?

YES ☒

NO ☐

If there have been VMS disruptions or data gaps identified during the reporting period for vessels flying your flag, has the missing VMS positional data uploaded after the fact to complete the Commission VMS record?

YES ☒

NO* ☐

**List any vessels with outstanding VMS data gaps for the reporting period, whether the VMS data is recoverable and the actions or timelines to update Commission VMS:*

Nature and Specification of the Commission VMS

Para 9: What means of automatically reporting VMS data have you chosen for your flagged vessels?

☒ (a) To the Secretariat via your FMC; *(applies to most flag states)* or

☐ (b) Simultaneously to both the Secretariat and your FMC

Para 11: If you have selected option (a) above, then did your FMC automatically forward VMS reports to the Secretariat at intervals of not less than 1 hour for all vessels that were active in the Area?

YES ☐

NO ☐

Partial ☒

Due to a technical problem at the Polish FMC, there was a delay in transmission of VMS data for 20-21 June 2021. The delay in reporting from the first missed report to restoration of service to Commission



VMS was logged as 38.5 hours. There was no data gap and the VMS feed resumed as soon as the technical issue was resolved.

Para 12: Did all your flagged vessels that are required to report to the Commission VMS use a functioning ALC that complies with the Commission's Minimum Standards as specified in Annex 1, CMM 06-2020?

YES ☒

NO ☐

Procedure for Manual Reporting

Para 14: (applies in event of transmission failure originating from the Vessel)

Did the Secretariat notify you at any time of the event of the failure of automatic VMS reporting to the Commission VMS for any of your vessels during the reporting period?

YES ☒ If yes, please answer the questions below

- Were any of the failures/VMS disruptions due to the inability of the vessel to automatically report its VMS positional data from the SPRFMO Convention Area to the FMC?

YES ☒ Answer following the questions pertaining to Annex 3 obligations

NO ☐ Proceed to paragraph 16

CMM 06-2020 Annex 3 (Manual Reporting Rules):

- Para 1: Did you direct any of your vessel masters to apply the manual reporting procedure specified in Annex 3, of during this reporting period?

YES ☒ If yes, please enter relevant details here and answer questions below

The VMS disruption was caused by the installation of a new antenna and occurred while the vessel was transiting through the Convention area transiting from Panama Canal to the port of Callao in Peru. It did not engage in fishing activity at the time.

NO ☐

- Para 2: After commencing Manual reporting, were the manual reports provided to the Secretariat? (if so, indicate if sent via the FMC or the vessel directly)

YES ☒

NO ☐

All manual reports were sent via the FMC.

- Para 3: After commencing Manual reporting, were you able to re-establish normal automatic reporting of VMS positions to the Commission VMS? (if so, enter the number of days after commencement)

YES ☒

NO ☐

The SPRFMO VMS receives all VMS information automatically in almost real time regardless if the report is sent manually or automatically from the vessel. The disruption lasted 6 days, starting on 14 April with automatic transmission resuming on 19 April.

Para 3: Was the vessel ordered to stop fishing, stow all fishing gear, and return immediately to port to undertake Repairs.

YES ☐

NO ☒

NO ☐

Measures to Prevent Tampering with ALCs

Para 16: Do you have reasons to suspect that any SPRFMO reporting ALC does not meet the requirements specified in Annex 1 of CMM 06-2020?

YES ☐ If yes, answer the question below

NO ☒



- Did you notify the Secretariat (and the Member or CNCP concerned)?
YES ☐ *If yes, please answer the questions below*
NO ☐
- Did you also communicate the actions taken/investigative outcomes to the Commission?
YES ☐
NO ☐

Para 17: Have you ensured that vessels flying your flag install and use ALCs which fulfil the conditions specified in Annex 4 (*Minimum Standards to Prevent Tampering with ALC Units*) of CMM 06-2020?

YES ☒

NO ☐

Para 18: Please list the methods used to prevent tampering of ALCs on individual vessels

Enter relevant details here

- ALCs are certified by the flag State and they are sealed
- Any ALC technical intervention is done by authorised company and later on verified by national inspectors
- In case of a tampering attempt or disconnection (voluntary or involuntary) an alarm automatically sets off
- Vessels in port cannot proceed to sea in case of ALC malfunction until it is repaired
- In the case of 3 or more serious failures per year the equipment is checked
- The vessel is authorised to have more than one ALC in case of malfunction of one of them

Para 19: Please provide the following information for each vessel flying your flag and reporting to the SPRFMO VMS (*note that it is perfectly acceptable to provide a separate file*)

Vessel Name	Vessel IMO	Call sign	ALC Brand	ALC model	ALC identification	Security features
MAARTJE THEADORA	9182801	DEAN2	Thrane&Thrane Sailor 6140	Inmarsat Mini-C system TT-3027M with TCU	421806612	Black-box system accessible via software interface which is individual password protected. Unit transmits alarm messages for power, GPS- and satellite signal interruptions containing the start and end information of the interruption with position and time stamp.
ANNELIES ILENA	9204556	SPG4839	Kannad	Argos / GPS MAR GE V3	116895 Argos ID	Seal. Sealed by Sernapesca, Seal Nr. 13618
MARGIRIS	8301187	LYRV	Cobham Satcom Sailor	6140 Mini-C Maritime	4TT097855D53	software is protected by passwords which have not been provided to operator/master/etc. but are available to authorized technical personnel only



Security and Confidentiality Requirements

Para 13: Did you receive or access any VMS data from the Commission VMS during the assessed period?

YES ☐ *If yes, please answer the question below*

- Did you adhere with all the Security and Confidentiality requirements specified in Annex 2 of CMM 06-2020 as well as the Maintenance of Confidentiality Requirements of paragraph 6 of CMM 02-2020 (Data Standards)?

YES ☐

NO ☐ *If no, please elaborate below*

Enter relevant details here

NO ☒

Use and Release of VMS Data Requiring the Permission of the Member or CNCP

Para 21: Have you designated a VMS Point of Contact for the purposes of any communication regarding the VMS system to the Secretariat?

YES ☒ *If yes, please answer the question below*

- If so, is the VMS Point of Contact still current?

YES ☒

NO ☐ *If no, please update the information*

NO ☐ *If no, please provide the information*

Use and Release of VMS Data not Requiring the Consent of the Member or CNCP

Para 24-25: Did you receive any VMS data from the Commission VMS for the purposes of planning or conducting active surveillance operations and/or inspections at sea?

YES ☐ *If yes, please answer the question below*

- Did you keep data confidential and restrict access to officials deemed necessary to know?

YES ☐

NO ☐ *If no, please explain*

- Did you delete VMS data within 24 hours of the conclusion of the operation and notify the Secretariat in writing?

YES ☐

NO ☐ *If no, please answer the questions below*

- If the VMS data was retained for longer than 24 hours, have you informed the Secretariat about the purpose and expected timing of the additional period of data retention?

Enter relevant details here or indicate Not Applicable

NO ☒

Para 27: Did you receive any VMS data from the Commission VMS for the purpose of conducting active surveillance operations and/or inspections at sea?

YES ☐ *If yes, answer the question below*

- Was the Secretariat and the relevant VMS Point of Contact provided with a report that included the name of the vessel or aircraft on active surveillance and/or inspection activity?

YES ☐

NO ☐

NO ☒



Para 28: Did you request VMS data for supporting search and rescue activities undertaken by a competent MRCC?

YES ☐ *If yes, answer the question below*

- Did you ensure that the data was only used for that purpose?

YES ☐

NO ☐

NO ☒



CMM 07-2021 Minimum Standards of Inspection in Port

Points of Contact

Para 5: Have you transmitted the name(s) and contact information for your Points of Contact to the Secretariat?

YES ☒ *Please update if there are any changes required to any of the contact information on the SPRFMO website*
NO ☐

Scope

Para 1: Are your ports expected to receive foreign flagged vessels carrying SPRFMO-managed species?

YES ☐ *If yes, continue to answer the following questions on this CMM*
NO ☒ *If no, skip to the next CMM*

Table 7: Implementation of Port Standards (Para's 9, 11, 13, 15, 17, 19)

Designated port list provided to Secretariat?	Nº of foreign fishing vessels ¹ seeking to use port services	Nº of foreign fishing vessels denied port entry	Nº of inspections	Percentage of foreign landings /transhipments inspected
YES ☐ NO ☐				

Designated Ports

Para 8: Do you have sufficient capacity to conduct inspections in every designated port?

YES ☐
NO ☐

Enter relevant details here

Prior Notification

Para 11: Do you require foreign fishing vessels to provide information prior to any landing or port transhipment?

YES ☐ *If yes, answer the questions below*

- Does that information comply with paragraph 11 of CMM 07-2019 (Port Inspection)?

YES ☐

NO ☐ *If no, please answer the question below*

- Did you, in your capacity as a port State, promptly inform the Secretariat of any request for port services made under this CMM?

N/A ☐ *(No Port Call Requests Received)*

YES ☐ Partial ☐ *Enter relevant details here*

NO ☐ *Enter relevant details here*

NO ☐ *Enter relevant details here*

Para 12: Is the notification period for a foreign fishing vessel's port request different than 48 hours?

YES ☐ *If yes, elaborate below*

NO ☐

Enter relevant details here

¹ Limited to foreign fishing vessels carrying SPRFMO species caught within the SPRFMO Area



Port Inspections

Para 16a): Did you receive any requests from other Members, CNCPs or relevant RFMOs to inspect a particular vessel?

YES ☐ *If yes, elaborate below*

NO ☐

Enter relevant details here

Inspection Procedure

Para 20: Did you encounter any difficulties with the inspection procedures described in paragraphs 20-25 of CMM 07-2021 (Port Inspection)?

YES ☐ *If yes, elaborate below*

NO ☐

Enter relevant details here

Procedure in the Event of Infringements

Para 26: Did any of your inspections discover evidence that a foreign fishing vessel has committed a breach of the SPRFMO CMMs?

YES ☐ *If yes, elaborate below*

NO ☐

Enter relevant details here

Para 27: Did any of your inspections discover a breach that you (as a port State) took action against in accordance with your domestic laws?

YES ☐ *If yes, elaborate below*

NO ☐

Enter relevant details here

Para 28: Did you, as a result of a port inspection, refer any alleged infringements to the relevant flag State so that they may promptly investigate?

YES ☐ *If yes, elaborate below*

NO ☐

Enter relevant details here

Para 29: Were you authorised by another flag State to undertake an investigation in relation to alleged infringements detected as a result of your port inspection?

YES ☐ *If yes, elaborate below*

NO ☐

Enter relevant details here

Requirements of developing Members and CNCPs

Para 31: Have you provided (or received) any assistance as described under Paragraph 31 of CMM 07-2021 (Port Inspection)? (Being the development of capacity, facilitation of participation and assessment of requirements for developing Members and CNCPs)

YES ☐

NO ☐

Enter relevant details here



CMM 08-2019 Gillnets in the SPRFMO Convention Area

Gillnets

Para 1: Have you prohibited your vessels from using large scale pelagic driftnets and all deepwater gillnets in the SPRFMO Convention Area?

YES ☒

NO ☐

Enter relevant details here

Para 2: Have any of your vessels transited the Area while carrying gillnets?

YES ☐ *If yes, answer the questions below*

- **Para 2a):** Was 36 hours advance notice given?

YES ☐

NO ☐

Partial ☐

- **Para 2b):** Did the vessels operate a VMS?

YES ☐

NO ☐

Partial ☐

- **Para 2c):** Were VMS reports submitted?

YES ☐

NO ☐

Partial ☐

- **Para 2d):** Were any Gillnets lost and/or abandoned overboard?

YES ☐

NO ☐

Enter relevant details here

NO ☒



CMM 09-2017 Minimising bycatch of seabirds in the SPRFMO Convention Area¹

General Provisions

Para 1 and 2: Are your vessels required to implement appropriate seabird mitigation measures while fishing in the Convention Area?

YES ☒ *If yes, continue to answer the following questions on this CMM*

NO ☐ *If no, skip to the next CMM*

Annex 1, para 1b) ii: Have you maintained spatially and temporally appropriate observer coverage for the previous 5 consecutive years at levels greater than 10% and recorded a seabird mortality rate less than 0.01 birds/ 1000 hooks?

YES ☒ *If yes, continue to answer questions below*

- ☒ Are any of your demersal **longline** vessels only required to apply one of the three specified mitigation measures (i.e. line weighting, bird scaring, setting at night)?

YES ☐

NO ☒ *Note: no EU vessel engaged in demersal long-lining during the reporting period*

- During the recent reporting period have you maintained a minimum of 10% observer coverage over your demersal **longline** fleet?

YES ☒ *Note: no EU vessel engaged in demersal long-lining during the reporting period*

NO ☐

NO ☐

Annex 1 para 2: Have any of your demersal **longline** vessels exceeded a mortality rate of 0.01 birds/1000 hooks?

YES ☐ *If yes, answer the question below*

Annex 1, para 2a): Please list those vessels and state if, after the mortality event(s), whether these vessels applied at least one additional measure?

Enter relevant details here

NO ☒ *Note: no EU vessel engaged in demersal long-lining during the reporting period*

Annex 2, para 2: Have you maintained 100% observer coverage for the previous 5 consecutive years with less than 1 recorded mortality per vessel per year within your SPRFMO **trawl** fisheries?

YES ☒ *If yes, continue to answer questions below*

- ☐ Have you exempted any of your vessels from applying the specified mitigation measures (bird scaring lines, responsible discharge management, net cleaning, surface time minimisation)?

YES ☐ *If yes, please list the vessel(s) and identify the fisheries concerned*

Enter relevant details here

NO ☐

- During the recent reporting period did any mortality events occur?

YES ☐ *If yes, please list the vessels concerned and stipulate whether, after the mortality, these vessels applied appropriate mitigation measures*

Enter relevant details here

NO ☐

NO ☒

¹ When completing this section Members and CNCPs should consider paragraph 18 of CMM 03-2020, as relevant.



Para 3: Did you have any **trawlers** which are exempt from applying seabird mitigation measures because they discharge no biological material?

YES ☐ *If yes, please list those vessels and stipulate when these vessel exemptions were last reviewed*

Enter relevant details here

NO ☒

Table 8: Seabird mitigation measures in Demersal Longline (Para 9 & Annex 1 para 1)

Biological discharge during shooting/hauling prohibited?	List of Seabird mitigation measures used in SPRFMO Area?	Observer coverage level ¹ ?	Nº of Seabird interactions	Approx. Seabird mortality rate (per 1000 hooks observed)
YES ☐ NO ☐				

Table 9: Seabird mitigation measures in Trawl Fisheries (Para's 3, 9 & Annex 2 para 1, 2)

Nº of vessels that do not discharge Biological material?	No. of vessels discharging Biological material?	List of seabird mitigation measures used in SPRFMO Area	Observer coverage level ²	Nº of seabird interactions	Approx. seabird mortality rate (per tow observed)
0	3	No discharge of biological material during shooting and hauling; bird bafflers; maintaining of plastic waste on-board	59%*	0*	0%*

* Data is provisional as data from 1 trip is not included. During this trip, 11 tows were observed including 8 tows observed for seabirds interactions - no interactions or mortalities recorded. However, the total number of tows is not yet known as the observer left the vessel during the fishing trip and the complete catch data has not yet been received as at 20 October 2021.

¹ Observer coverage focused on recording seabird bycatch; provided as a percentage of number of hooks

² Observer coverage focused on recording seabird bycatch; provided as a percentage of number of tows



CMM 10-2020 Compliance Monitoring Scheme

(NOTE: This Section Optional – if NIL response please proceed to next CMM)

Purpose

Para 1b: If applicable, please identify any areas in which you feel technical assistance or capacity building may be needed to assist Members and CNCPs to achieve compliance.

Enter relevant details here

None at this stage

Para 1c: If applicable, please identify any aspects of CMMs which you feel may require improvement or amendment to facilitate or advance their implementation.

Enter relevant details here

None at this stage but the compliance discussions could provide an opportunity to identify CMMs that might need clarification or improvement.



CMM 11-2015 Boarding & Inspection Procedures

Para 1: The Commission's procedures on at sea inspection in the SPRFMO Convention Area shall be those contained in Articles 21 and 22 of the [1995 Agreement](#) (*Agreement for the Implementation of the Provisions of the United Nations Convention on the Law of the Sea of 10 December 1982 relating to the Conservation and Management of Straddling Fish Stocks and Highly Migratory Fish Stocks*).

General

Para 2: Did any of your flagged vessels fish in the SPRFMO Area during the Reporting period?

YES ☒ If yes, please answer the questions below

- Have you designated, and publicised through SPRFMO, an appropriate authority to receive Boarding and Inspection related notifications as described in Article 21.4 of the 1995 Agreement?

YES ☒

NO ☐

- Have you ensured that vessel masters comply with stipulations (a) through to (f) under Article 22.3 of the 1995 Agreement?

YES ☒

NO ☐

NO ☐ If no, Proceed to next page (Article 21.3)

Member's Vessels Inspected

Para 2: Were any of the vessels flying your flag inspected by a SPRFMO Contracting Party?

YES ☐ If yes, please answer the questions below

- Were there any cases in which there were clear grounds for believing that a vessel has engaged in any activity contrary to the CMMs of the SPRFMO?

YES ☐ If yes, please answer the question below

- If yes, how were the procedures described under Article 21.6 and 21.12 addressed? Did you encounter any problems?

YES ☐ NO ☐

Enter relevant details here

NO ☐

NO ☒



Conducting At-Sea Inspections

Article 21.3: Have you conducted any at-sea inspections in SPRFMO during the recent reporting period?

YES ☐ *If yes, please answer the questions below*

- **Article 21.4:** Have you informed the SPRFMO Secretariat of the form of identification issued to your duly authorised inspectors?

YES ☐ NO ☐

- **Article 21.4:** Are your vessels used for boarding and inspection clearly marked and identifiable as being on government service?

YES ☐ NO ☐

- Have you ensured that your inspectors observe all provisions under Articles 21.10 and 22.1 of the 1995 Agreement?

YES ☐ NO ☐

Enter relevant details here

- How many at-sea inspections did you conduct?

Enter relevant details here

- **Article 21.5:** Following a boarding and inspection, have you had clear grounds for believing that a vessel has engaged in any activity contrary to the CMMs of the SPRFMO?

YES ☐ NO ☐ *If yes, please list all cases below and answer the following 2 questions*

Enter relevant details here

- **Article 21.8:** In any of these cases, did you have clear grounds for believing that a vessel has committed a serious violation in accordance with Article 21.11 of the 1995 Agreement?

YES ☐ NO ☐

Enter relevant details here

- **Article 21.9:** Did you secure evidence and promptly notify the flag State of the alleged violation and the results of any further investigation?

YES ☐ NO ☐

Enter relevant details here

- Did you encounter any problems?

YES ☐ NO ☐ *If yes, please provide details below*

Enter relevant details here

NO ☒



CMM 12-2020 Transshipment and Other Transfer Activities

Para 10: Applicable to all Members and CNCP's but effective for the "Jumbo Flying Squid Fishery" only for that portion of the reporting period from **March - September 2021** (CMM states "shall apply to the jumbo flying squid fishery 30 days after the conclusion of the annual Commission meeting in 2021")

Have any of your vessels conducted transshipments or other transfer activities (including at-sea transfer of fuel, crew, gear or other supplies) during the reporting period?

YES ☒ If yes, complete the following tables and questions on this CMM

NO ☐ If no, skip to the next CMM

General Provisions for all Vessels Engaged in Fishing in the Convention Area

Paras 2: Were all transshipments at-sea and in-port undertaken between vessels included in the Commission Record of Vessels at the time of the activity?

YES ☒ EU vessels did not engage in transshipment

NO ☐ No Enter relevant details here EU vessels did not tranship during the reporting period

Paras 3: In the Convention Area, were at-sea transfers of fuel, crew, gear or any other supplies limited to only vessels on the Commission Record of Vessels at the time of the activity?

YES ☒

NO ☐ Enter relevant details here

<Only complete the remaining questions if your flagged vessels transhipped SPRFMO Fisheries Resources>

Transshipments of Fisheries Resources Caught in the Convention area

Paragraph 4: Advance Notifications:

Transshipment **7- day advance** notifications: Were advance transshipment notifications of the 14-day transshipment period, sent to the Secretariat at least 7 days in advance, for all receiving vessels (Carriers) receiving SPRFMO resources (other than Jumbo Flying Squid)?

N/A ☒ Member/CNCP Flagged Vessels were not Carrier VsIs or only received Jumbo Flying Squid

YES ☐

NO ☐ Enter relevant details here

Transshipment **12-Hr advance** notifications: Were advance transshipment notifications from all receiving vessels (Carriers) and from all unloading (Catcher) vessels, sent to the Secretariat at least 12-hours before the estimated time of the transshipment activity?

YES ☐

NO ☒ The advance transshipment notification for the transshipment of the MAARTJE THEADORA (EU/DEU) with PRINCE OF THE SEAS (CUW) was submitted on 29 June 2021 at 12:09 UTC, whereas the estimated time of the transshipment was 22 June 2021 at 08:00 (local time) and the transshipment actually took place from 28 June 2021, 17:00 UTC to 2 July 2021, 04:50 UTC.

Paragraph 8: Transshipment Operational Details:

All species **EXCEPT** Jumbo Flying Squid:

Were the transshipment operational details sent to the Secretariat no later than 7 days after the transshipment was carried out (applies to both the receiving vessels (carriers) and the unloading (catcher) vessels)?

N/A ☐ Member/CNCP Flagged Vessels only involved in transshipment of Jumbo Flying Squid

YES ☒NO ☐ Enter relevant details here**Jumbo Flying Squid only:**

Were the transshipment operational details sent to the Secretariat within 20 days of the end of each quarter for which the transshipment was carried out (applies to both the receiving vessels (carriers) and the unloading (catcher) vessels)?

N/A ☐ Member/CNCP Flagged Vessels not involved in transshipment of Jumbo Flying SquidYES ☐NO ☐ Enter relevant details here

Complete the following 3 tables as applicable:

Table 10: Transshipments of *T. murphyi* caught in the Convention Area (Para's 4, 5, 8)

Nº of transshipments in which your vessels unloaded <i>T. murphyi</i> catch	Nº of transshipments in which your vessels received <i>T. murphyi</i> catch	How many of the <i>T. murphyi</i> transshipments were observed?
2	0	1

Please list the vessels that conducted transshipments of *T. murphyi* during the reporting period

Enter relevant details here or attach separate list

ANNELIES ILENA

MAARTJE THEADORA

Table 11: Transshipments of jumbo flying squid caught in the Convention Area (Para's 4, 5, 8)

Nº of transshipments in which your vessels unloaded jumbo flying squid?	Nº of transshipments in which your vessels received jumbo flying squid?	How many of the jumbo flying squid transshipments were observed?
0	0	0

Please list the vessels that conducted transshipments of *jumbo flying squid* during the reporting period

Enter relevant details here or attach separate list

Table 12: Transshipments of demersal species¹ caught in the Convention Area (Para's 4, 5, 8)

Nº of transshipments in which your vessels unloaded demersal catch	Nº of transshipments in which your vessels received demersal catch	How many of the demersal transshipments were observed?
0	0	0

Please list the vessels that conducted transshipments of *demersal species* during the reporting period

Enter relevant details here or attach separate list

¹ Demersal species generally live on or near the ocean floor. Jumbo flying squid in SPRFMO is not considered a demersal species.



CMM 13-2021 Exploratory Fisheries

Interpretation

Para 4: Are any of your vessels currently permitted to fish in SPRFMO exploratory fisheries?

YES ☒

NO ☐

If Yes: did the fishing activities for your flagged vessels conform with the “Fishing Activity” requirements outlined in Para 18- (*abide to the Operational Plan*), Para 19 (*completed Data Collection Plan was submitted*). and Para 20 (*Independent Observers assigned to collect data*)

YES ☐

NO ☒ (*elaborate*) No fishing took place within the reporting period, but is expected to start immediately after (between 1 October and November 2021)

Requirements for Exploratory Fisheries

Para 5: Are you seeking to permit a vessel that flies your flag to fish in an exploratory fishery?

YES ☐ If yes, answer the following questions

- **Para 5a:** Have you submitted an application to the Commission in respect of these activities?

YES ☐ NO ☐

- **Para 5b:** Have you submitted a Fisheries Operation Plan to the Scientific Committee in respect of these activities?

YES ☐ NO ☐

(If YES, answer the following 2 questions)

- Was the succinct description of the intended Fisheries Operational Plan for **information purposes** submitted no less than **120 days** prior to the next Annual Meeting of the Scientific Committee.

YES ☒ NO ☐

- Was the **full** Fisheries Operational Plan submitted no less than **60 days** in advance of the next Annual Meeting of the Scientific Committee.

YES ☐ NO ☐

Although the EU submitted a succinct description of an intended Fisheries Operational Plan on 17 June 2021 (G85-2021), it was decided not to pursue this exploratory fishery for now.

NO ☒ Hence, no full Fisheries Operational Plan was submitted for consideration by the Scientific Committee in 2021.

Fishing Activity

Para 17: During the reporting period did any of your flagged vessels fish in an exploratory fishery (*as defined in Paragraph 4- referenced below*) without approval from the Commission?

YES ☐

NO ☒

Para 4: For the purposes of this CMM, a fishery is an “*exploratory fishery*”:

- a) If it has not been subject to fishing in the previous ten years; or
- b) For the purposes of fishing with a particular gear type or technique, if it has not been subject to fishing by that particular gear type or technique in the previous ten years; or
- c) If fishing in that fishery has been undertaken in the previous ten years pursuant to this CMM, and a decision has not yet been taken in accordance with paragraph 25 or 26 of this CMM to either close or manage the fishery as an established fishery; or
- d) If it is of a kind listed in paragraph 15 of CMM 03-2021 (Bottom Fishing).



CMM 14a-2019 Exploratory Fishing for Toothfish by New Zealand-Flagged Vessels in the SPRFMO Convention Area

(The obligations listed under this CMM are only applicable to New Zealand;
all other Members and CNCPs can skip to the next CMM).

Details and Specification of Exploratory Fishing Activities

Para 6: How many exploratory toothfish fishing trips occurred during 2020?

Enter relevant details here

NIL ☐ If "nil" then skip to the next CMM

Para 5: Was all of the exploratory toothfish fishing conducted within the four exploratory strata (refer L, M, N and O in Table 1 of CMM14a-2019)?

YES ☐

NO ☐

Table 13: Total Allowable Catch (Paras 8, 9a, 9f and 9i)

Vessel	Exploratory fishing Strata	Post spawning Catch (Aug - Oct)	Non-post spawning Catch
San Aspiring	L		
	M		
	N		
	O		
Janas	L		
	M		
	N		
	O		

Table 14: Total Allowable Catch (Paras 9a and 9b)

Exploratory fishing Strata	Total number of Sets	Number of Clusters
L		
M		
N		
O		

Table 15: Management Measures (Para's 9, 17 and 19)

Protocol	Value	Reference
Number of clusters composed of more than 5 lines		Para 9c
Number of clusters within 10nm of another cluster? (within the same voyage or fishing season)		Para 9d
Number of lines beginning, or ending, deeper than 2,200 m?		Para 9e
Number of lines with more than 6,900 hooks?		Para 17a) ii
Number of clusters with more than 17,250 hooks?		Para 17a) iii
Number of toothfish tagged		Para 17b
Minimum overlap tagging statistic		Para 17b
Number of occasions where over 250 kg of Deepwater sharks (class Chondrichthyes) were caught within a cluster of lines?		Para 19



Marine Mammals, Seabirds, Turtles, and other Species of Concern

Para 22: Was there any occasion when any of the mitigation methods described in paragraphs 22a) to g) were not employed as appropriate?

YES ☐ If yes, describe below

Enter relevant details here

NO ☐

Table 16: Marine Mammals, Seabirds, Turtles, and other Species of Concern (Para 23)

Paragraph 23 information	Value	Reference
Number of Seabird/Marine mammal abundance counts taken during setting		Para 23a
Number of Seabird/Marine mammal abundance counts taken during hauling		Para 23a
% of hooks hauled that were observed		Para 23c
% of hooks hauled that have been viewed on recorded video after the voyage		Para 23d
Number of captures of Marine Mammals		Para 23e
Number of captures of Seabirds		Para 23e
Number of captures of Turtles		Para 23e
Number of captures of other Species of Concern		Para 23e

Monitoring

Para 25: Was a New Zealand government observer onboard during the exploratory fishing?

YES ☐ If yes, answer the following question

- Was there a dedicated assistant available?

YES ☐ NO ☐

NO ☐

Para 26: Has recorded footage of each voyage showing all hauled lines and hooks been provided to the New Zealand Ministry for Primary Industries?

YES ☐

NO ☐



CMM 14b-2021 Exploratory Potting Fishery in the SPRFMO Convention Area¹

(The obligations listed under this CMM are only applicable to the Cook Islands;
all other Members and CNCPs can skip to the next CMM).

Objectives

Para 1: Did your vessels conduct exploratory pot fishing for lobster or crab during the reporting period?

YES ☐

NO ☐ If "no" skip down to para 27

Details and Specification of Exploratory Fishing Activities

Para 5: Did all the fishing use the bottom potting method?

YES ☐ If yes, answer the following question

- Was it all conducted within the box specified in Para 5 - Table 1 of this measure

YES ☐

NO ☐

NO ☐

Table 17: Details and Specification of Exploratory Fishing Activities (Para 5/7/10)

Information	Value	Reference
Total number of Seamounts (or other features) fished		Para 5
Maximum number of fishing days per trip (Total Allowable Effort)		Para 7
Maximum number of lines set in a single day		Para 7
Maximum number of lines hauled in a single day		Para 7
Maximum number of traps per line		Para 7
Maximum days fishing on Kopernik within a single trip		Para 7
Maximum weekly CPUE recorded on Kopernik seamount		Para 10

Para 8: To facilitate experimental fishing for the planned effective fishing area of the gear, were an additional 5 fishing days added to the TAE one trip between Oct 2021 – June 2022?

YES ☐

NO ☐

Enter relevant details here

Para 11: Did any fishing trip on the Kopernik Seamount exceed the 20t TAC for *Jasus caveotum*?

YES ☐

NO ☐

Para 12: Did any fishing occur during the July - September period?

YES ☐ NO ☐

Para 13: What was the tonnage of lobster and crab (combined) caught during the period from July 2020 to June 2021?

Enter relevant details here

Para 14: Did the vessel(s) conducting the exploratory fishery provide the Member with weekly reports on its fishing activity?

YES ☐ NO ☐

¹ The implementation of paragraph 28 (VMS) can be found within CMM 06-20202.
The implementation of paragraph 20 (Data collection) can be found within CMM 02-2020.



Para 16a: At any time during the exploratory fishing did the stock indicators show sustainability concerns?

YES ☐

NO ☐

Authorised Vessels

Para 19: Which vessel(s) were authorised and undertook the exploratory potting?

Enter relevant details here

Marine mammals, seabirds, turtles, and other species of concern

Para 25: Were all the mitigation methods specified in paragraph 23 implemented during the exploratory fishing?

YES ☐

NO ☐

Para 26: Was the information described in paragraph 26 relating to marine mammals, seabirds, turtles, and other species of concern collected?

YES ☐

NO ☐

Monitoring & Data Collection

Para 28: Was there a Cook Islands Government observer (and a dedicated assistant) on board the vessel during the exploratory fishing?

YES ☐

NO ☐

Para 29: Was there a video monitoring and recording system installed and was the footage provided to the Cook Islands Government at the end of each voyage?

YES ☐

NO ☐

Para 29: Did the Cook Islands present a full and comprehensive amended exploratory fishing proposal to the 9th meeting of the SPRFMO Scientific Committee as described in para 31 including points a) to d)?

YES ☐

NO ☐



CMM 14e-2021 Exploratory Fishing for Toothfish by European Union Vessels in the SPRFMO Convention Area

(The obligations listed under this CMM are only applicable to the European Union;
all other Members and CNCPs can skip to the next CMM).

Duration, catch and effort limits

Para 6: Did any EU flagged vessel commence exploratory toothfish fishing between **1 May-31 October 2021**?
(Note that any night fishing activity conducted during November 1-15 due to the intersessional extension decision (Paragraph 6bis) will be reported next year)

Enter relevant details here

YES ☐ If "no" then skip to the next CMM
NO ☒ No exploratory fishing took place under CMM 14e-2021 from 1 May to the end of the reporting period (30 September 2021). Any fishing in the period from 1 October to 15 November 2021 will be reported in the next reporting exercise.

Para 5: Was all of the exploratory toothfish fishing conducted within the George V Fracture Zone Research Block? (refer to coordinates in Table 1)?

YES ☐
NO ☐ Elaborate

Table 17: Duration, catch and effort limits

Limit	Value	Reference
Total number fishing days (1 May to 31 October 2021) (Max 60)		Para 6
Greenweight catch of Toothfish (tonnes) (Max 75t)		Para 7
Number of hooks used per single set (Max 5000)		Para 8
Total number of sets per annum (Max 120)		Para 8
Number of times shorter lines used due to approach quota limit		Para 9
Minimum depth fished (Between 600-2500m)		Para 10
Maximum depth fished (Between 600-2500m)		Para 10

Management Measures

Para 12: Were all hooks uniquely marked indicating the vessel and fishing campaign and the Executive Secretary notified before the beginning of the trip?

YES ☐
NO ☐

Authorised Vessels

Para 13: Was the FV Tronio used to conduct the exploratory fishing activity?

YES ☐ NO ☐

If NO, what was the name of the vessel that was authorised to undertake fishing pursuant to this CMM and when was the Executive Secretary formally notified?

Enter relevant details here

Table 18: Data collection

Limit	Value	Reference
Number of tooth fish tagged		Para 16
Overlap tagging statistic achieved		Para 17
Were recaptured tagged fish retained?	YES ☐ NO ☐	Para 18



Marine Mammals, Seabirds, and other Species of Concern

Para 20: Was there any occasion when any of the mitigation methods described in paragraphs 20 a) to k) were not employed as appropriate?

YES ☐ If yes, please describe
Enter relevant details here

NO ☐

Table 19: Mitigation measures (Para 20)

Protocol	Value	Reference
Seabird Mitigation Measures:		Para 20 a-g
Did the vessel release weights before line tension occurred	YES ☐ NO ☐	Para 20a
Was offal dumped or discards while fishing lines were being set or hauled	YES ☐ NO ☐	Para 20b
Were the other discarding protocols followed	YES ☐ NO ☐	Para 20c,d,e
Were two bird scaring devices deployed when setting lines	YES ☐ NO ☐	Para 20
Was at least one bird exclusion device used	YES ☐ NO ☐	Para 20f
Was the trigger level of 0.01 birds per/1000 hooks exceeded?	YES ☐ NO ☐	Para 20g
Seal and Cetacean bycatch mitigation measures:		Para 20h
Was there any seal or cetacean bycatch/entanglement?	YES ☐ NO ☐	Para 20h
If yes, was the one hour observation period conducted prior to more hauls	YES ☐ NO ☐	Para 20h
Shark, skate and macrourid mitigation measures:		Para 20 i-k
Was the “move-on” provision relating catches of more than 4 individuals from Somniosidae, Lamnidae, Cetorhinidae or Alopiidae family triggered?	YES ☐ NO ☐	Para 20i
Was the “move-on” provision relating to catches of more than 2 individuals of any one of these families of sharks triggered?	YES ☐ NO ☐	Para 20i
Was the “move-on” provision relating to skate bycatch triggered?	YES ☐ NO ☐	Para 20j
Was the “move-on” provision relating to <i>Macrourus</i> Bycatch triggered?	YES ☐ NO ☐	Para 20k

Table 20: Data collection for marine mammals, seabirds, and other species of concern (Para 21)

Paragraph 21 information	Value	Reference
Number of Seabird/Marine mammal abundance counts taken during the setting of each line		Para 21a
Number of Seabird/Marine mammal abundance counts taken during the hauling of each line		Para 21a
% of hooks hauled that were observed for marine mammal, seabird and turtle interactions		Para 21b
Number of captures of Marine Mammals,		Para 21c
Number of captures of Seabirds (excluding collisions)		Para 21c
Number of captures of Sharks		Para 21c
Number of captures of Skates		Para 21c
Number of captures of other Species of Concern		Para 21c
Number of Seabirds collisions with vessel		Para 21c
Number of Seabirds released alive		Para 21c
Number of dead birds retained		Para 21d

VME

Para 22: Was there evidence of any VME encounter during fishing activities?

YES ☐ NO ☐

Monitoring

Para 23: Was a scientific observer onboard during the exploratory fishing?

YES ☐ if yes, please answer the question below

- Was there a dedicated assistant available? YES ☐ NO ☐

NO ☐



CMM 14d-2020 Exploratory Fishing for Toothfish by Chilean-Flagged Vessels in the SPRFMO Convention Area¹

(The obligations listed under this CMM are only applicable to Chile;
all other Members and CNCPs can skip to the next CMM).

Details and Specification of Exploratory Fishing Activities

Para 6: How many exploratory toothfish fishing trips occurred during 2021?

Enter relevant details here

NIL ☐ If "nil" then skip to the next CMM

Details and Specification of Exploratory Fishing Activities

Para 5: Did all the fishing use the bottom longline method (i.e. trotline with the inclusion of "Cachaloteras")?

YES ☐ If yes, answer the following question

- Was it all conducted within the box specified in Table 1² of this measure

YES ☐

NO ☐

NO ☐

Table 18: Total Allowable Catch (Para 8, 10)

Block	Days fished (2020)	Hooks set (2020)	Catch (2020)
NW			
NE			
SE			
SW			
TOTAL			

Authorised Vessels

Para 14: What was the name of the vessel that undertook fishing pursuant to this CMM?

Enter relevant details here

Table 19: Management Measures (Para 17,18)

Limit	Value	Reference
Maximum number of hooks set in a line		Para 17a
Maximum number of hooks set per day		Para 17b
Number of tooth fish tagged		Para 17c
Overlap tagging statistic		Para 17c
Number of occasions when more than 250 kg of deep-water sharks were caught		Para 18

¹ The implementation of paragraph 26 (VMS) can be found within CMM 06-20202.

² Table 1: boxed positions for the exploratory fishing

Block	1	2	3	4
NW	42°52'S; 98°28'W	58°21'S; 96°00'W	59°00'S; 75°00'W	54°00'S; 95°00'W
NW	42°52'S; 96°00'W	58°21'S; 93°47.6'W	59°00'S; 73°00'W	54°00'S; 92°00'W
SE	43°30'S; 96°00'W	59°00'S; 96°00'W	60°00'S; 70°00'W	55°00'S; 92°00'W
SW	43°30'S; 98°28'W	59°00'S; 96°60'W	60°00'S; 75°00'W	55°00'S; 95°00'W



Data Collection

Para 20: Has the CCAMLR “C2” form been completed and provided to the SPRFMO Secretariat?
(other CMM 02 - Data Standards requirements will be assessed under that section)

YES ☐

NO ☐

Marine Mammals, Seabirds, Turtles, and other Species of Concern

Para 21: Was there any occasion when any of the mitigation methods described in paragraphs 21 a) to g) were not employed as appropriate?

YES ☐ If yes, please describe

Enter relevant details here

NO ☐

Table 20: Data collection for marine mammals, seabirds, turtles, and other species of concern (Para 22)

Information	Value	Reference
Number of Seabird/Marine mammal abundance counts taken during setting		Para 22a
Number of Seabird/Marine mammal abundance counts taken during hauling		Para 22a
% of hooks hauled that were observed for marine mammal, seabird and turtle interactions		Para 22c
% of hooks on recorded video that have been viewed post-voyage		Para 22d
Number of captures of Marine Mammals		Para 22e
Number of captures of Turtles		Para 22e
Number of captures of other Species of Concern		Para 22e
Number of captures of Seabirds (excluding collisions)		Para 22e
Number of Seabirds collisions		Para 22e
Number of Seabirds released alive		Para 22e
Number of dead birds retained		Para 22f

Para 23: Was evidence of any VMEs encountered during fishing activities?

YES ☐

NO ☐

Monitoring

Para 24: Was a scientific observer onboard during the exploratory fishing?

YES ☐ If yes, please answer the question below

- Was there a dedicated assistant available?

YES ☐ NO ☐

NO ☐

Para 25: Has recorded footage of each voyage showing all hauled lines and hooks been provided to the Chile fisheries and Aquaculture National Service?

YES ☐

NO ☐



CMM 15-2016 Vessels without Nationality

Para 2: During the reporting period did you share any information about the activities of vessels without nationality, and/or where appropriate, enact domestic legislation, to prevent and deter vessels without nationality from engaging in fishing or fishing related activities in the Convention Area?

YES ☐ *If yes, please provide additional details*

Enter relevant details here

NO ☒

Para 3: During the reporting period did you take any actions, in accordance with international law, against vessels without nationality? (e.g. by prohibiting landing, transshipment or access to port services).

YES ☐ *If yes, please provide additional details*

Enter relevant details here

NO ☒



CMM 16-2021 the SPRFMO Observer Programme¹

Observer Program Accreditation

Do you currently have an accredited SPRFMO Observer Programme?

YES ☐

NO ☒

Evaluation of observer programmes

Para 24: Do you intend to pursue accreditation under the SPRFMO OP?

YES ☒ If yes, please indicate when you intend to begin the accreditation process?

☐ 2021 (We are currently being assessed by the SPRFMO OP Accreditation Evaluator)

☒ within the 2022 calendar year

☐ within the 2023 calendar year (effective 1 Jan 2024 observers must be from accredited programs)

NO ☐ *We have no plans to deploy observers in the SPRFMO Area.

*Elaborate:

NOTE: Complete the following sections if you had fishing vessels fishing fisheries resources in the Convention area (Para 3) during the reporting period, otherwise proceed to the next CMM

General Rules

Para 2: Did you deploy any observers in order to facilitate the collection of verified scientific data and additional information related to fishing activities and its impacts on the ecosystem in the Convention Area?

YES ☒

NO ☐

NOTE: If NO, skip to the next CMM; no further information required in this section

Para 6: Have you ensured that that observers from your national observer programmes have been granted their rights and perform their duties as described in Annex 1 of the CMM?

YES ☒

NO ☐

Para 7: Have you ensured that your owners and fishing operators, vessel captains, officers, and vessel crews:

- respect the rights of observers set out in Annex 1 of the CMM?

YES ☒

NO ☐

- comply with the standards and duties set out in Annex 2 of the CMM?

YES ☒

NO ☐

¹ The implementation of paragraphs 3 (Observer coverage) and 13 (Levels of coverage) are within the relevant fishery CMM. The implementation paragraph 44 (Data collection) can be found within CMM 02-2021. The implementation of paragraph 8 (independent and impartial observers) and paragraphs 23-43 (Evaluation of observer programmes) shall be assessed by the SPRFMO Observer Programme Accreditation Evaluator



Deployment of Observers

Para 10: Were all the observers you deployed sourced from SPRFMO accredited programmes¹?

YES ☐

NO ☒

Para 11: Did you deploy any observers on board vessels flagged to another Member or CNCP?

YES ☐ *If yes, please list the others Members/CNCPs involved*

Enter relevant details here

NO ☒

Para 12: Did any of your observers refuse a deployment onboard a fishing vessel during the recent reporting period?

YES ☐

NO ☒

Levels of Coverage

Para 14: Did any of your scientific research vessels fish for research purposes in the Convention Area during the reporting period?

YES ☐ *If yes, please answer the question below*

- Did the scientific personnel collect and provide the information specified in Annex 7 of CMM 02-2021 (Data Standards) in the manner set forth in that CMM?

YES ☐ NO ☐

NO ☒

Reporting

Para 16/46: Did you include a brief overview of your national observer programmes (or service providers) as a component of your Annual National Report submitted to the Scientific Committee?

YES ☒ *If yes, please answer the question below*

- Did you document the methods used to allocate observers on fishing vessels in order to meet observer coverage requirements?

YES ☐ NO ☒ No EU vessels fished in the SPRFMO Area in 2020.

NO ☐

¹ Noting that Members and CNCPs may continue using their own non-accredited national observer programme or service provider to meet observer coverage requirements until 31 December 2023.



CMM 17-2019 Fishing gear and Marine Plastic Pollution in the SPRFMO Convention Area

Retrieval of abandoned, lost or otherwise discarded fishing gear

Para 1: Please detail the methods you have employed to ensure that paragraphs 1a to 1f are implemented by your vessels:

Distribution of SPRFMO CMMs, information to vessel master. Reporting by master and scientific observer

Para 3: Did any of your vessels abandon, lose, or otherwise discard any fishing gear?

YES ☐ Number of Notifications submitted: _____

NO ☒

Did any of your vessels retrieve any abandoned, lost or otherwise discarded fishing gear?

YES ☐ Number of Notifications submitted: _____

NO ☒

Marine plastic pollution

Para 4: Are vessels flying your flag prohibited from discharging into the sea all plastics, including but not limited to synthetic ropes, synthetic fishing nets, plastic garbage bags and incinerator ashes?

YES ☒

NO ☐

Enter relevant details here

Other Marine Pollution

Para 7: Have you requested that vessels flying your flag inform you about any ports, in countries that are Party to the annexes of MARPOL, which do not have adequate port reception facilities for MARPOL wastes?

YES ☒

NO ☐

Distribution of SPRFMO CMMs, information to vessel master.

Para 8: During this reporting period did you undertake research into marine pollution related to fisheries in the SPRFMO Convention Area to further develop and refine measures to reduce marine pollution?

YES ☐ If yes, continue to answer question below

- Was information derived from these efforts submitted to the SPRFMO Scientific Committee and/or the Compliance and Technical Committee?

YES ☐ NO ☐ Partial ☐

Enter relevant details here

NO ☒

Para 9: Have you conducted training and awareness programmes for the crew and master of fishing vessels flying your flag regarding the impacts of marine pollution and operational practices to eliminate marine pollution caused by fishing vessels?

YES ☒

NO ☐

Enter relevant details here



CMM 18-2020 Jumbo Flying Squid Fishery in the SPRFMO Convention Area¹

(Entry into force on 1 January 2021)

General Provisions

Para 1: Did your flagged vessels engage in the *Dosidicus gigas* fishery in, or adjacent to, the Convention Area?

YES ☐ If yes, complete the following tables and questions on this CMM

NO ☒ If no, skip to the next CMM

Para 2: Did any of your flagged vessels engage in the *Dosidicus gigas* fishery in the Convention Area without being duly authorised and included on the Commission Record of Vessels?

YES ☐

NO ☐

Data Collection and Reporting

Para 3: Have you collected, verified, and provided all required data to the Executive Secretary in accordance with CMM 02-2021 (Data standards using the appropriate templates)?

YES ☐

NO ☐

Monitoring and Control

Para 5: Have you implemented the Commission VMS requirements for all flagged vessels engaged in the *Dosidicus gigas* fishery?

YES ☐

NO ☐

Para 6: Have you provided the Executive Secretary a list of vessels authorized to fish in the *Dosidicus gigas* fishery?

YES ☐

NO ☐

Scientific Committee Reports

Para 9: Did you conduct any research or stock assessments for *D. gigas* during this past year?

YES ☐ If yes, please answer the question below

- Were they submitted to the Scientific Committee?

YES ☐ NO ☐

Enter relevant details here

NO ☐

Observer coverage

Para 10: What was your observer coverage level (during this reporting period):

	Number of full time at sea observers	Percentage of fishing days observed

¹ The implementation of the following paragraphs can be found within the corresponding CMMs:
paragraphs 2 (vessel duly authorised) and 6 (active vessels) Reference: CMM 05-2021.
paragraphs 3 (Data collection) and 8 (annual reports) Reference: CMM 02-2021.
paragraph 5 (VMS) Reference: CMM 06-2020.



CMM 19-2021 Marking and Identification of Fishing Vessels

This Measure shall enter into force on January 1, 2023.
(No information required for this reporting period)