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CPUE standardization for the offshore fleet fishing for Jack mackerel in the SPRFMO Area, including China

European Union

CPUE standardization for the offshore fleet fishing for Jack mackerel in the SPRFMO area, including China

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Abstract

Prior to 2018 two offshore CPUE series have been used in the assessment of Jack Mackerel: the standardized Chinese CPUE and the nominal offshore fleet CPUE (EU, Vanuatu, Korea, Russia). During the benchmark assessment of 2018, the nominal offshore CPUE has been converted into a standardized CPUE series, following the same methods as used for the Chinese CPUE. This working document presents the results of a fully combined and standardized Offshore CPUE index that is based on the haul-by-haul data of China, EU, Korea, vanuatu and Russia as contained in the SPRFMO database. Permission to utilize that information was granted by the respective Contracting Parties while the analysis was carried out by scientists from the EU delegation. The standardization procedure is identical to the procedure followed during the benchmark in 2018. The working document consists of a description of the data available for the analysis and the methods towards model choice to select the optimal model configuration for CPUE standardization. The final GAM model consists of a number of discrete factors (year, vessel, month and El Nino Effect) and a smoothed interaction between latitude and longitude. The new standardized CPUE series starts in 2008 as this is the first year for which haul by haul information was available to carry out this analysis. CPUE for the offshore fleet has decreased between 2008 and 2012, has slowly increased between 2013 and 2017 and has substantially decreased in 2018, indicating a lower availability of jack mackerel in the offshore waters.

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Introduction

The assessment of Jack Mackerel in the southern Pacific is based on many different sources of information, including two standardized Catch per Unit Effort time series for China and for other Offshore fleets. Because both fleets are basically operating a similar type of fishery, it was suggested to combine the two fleets into one overarching offshore fleet. With the availability of the Chinese CPUE data, this analysis has now been performed. The standardization approach is identical to the standardization reported in 2018 for the offshore fleet (SC, 2013). Data has been obtained from the SPRFMO secretariat after permission was granted by the different contracting parties that the data to could be used for this CPUE analysis.

2 Material and methods

Data from EU, Korea, Russia, Vanuatu and China was made available by Craig Loveridge on 12 August 2019. Data from China has been included for the first time this year, which has promped a new full analysis, similar to the analysis that was carried out during the benchmark meeting in 2018. Two vessels were removed from the dataset because of apparent problems with the units used for catch reporting. Below, summary information by year and contracting party is presented for: * number of vessels participating in the fishery * total catch of jack mackerel * number of fishing hours

Number of vessels participating in the fishery

year	CHN	EU	KOR	RUS	VUT	(all)
2008	0	6	2	0	4	12
2009	13	8	2	0	4	27
2010	9	6	2	0	4	21
2011	6	2	2	0	2	12
2012	3	0	2	0	2	7
2013	2	1	1	0	2	6
2014	3	2	1	0	2	8
2015	6	2	2	1	2	13
2016	2	2	2	0	1	7
2017	2	2	1	1	0	6
2018	2	1	2	1	0	6

Table 1: Number of vessels participating in the Jack mackerel fishery by Con-tracting Party

Total catch of jack mackerel per year

(all)	VUT	RUS	KOR	EU	CHN	year
185,982	101,955	0	12,377	71,650	0	2008
302,610	80,166	0	13,759	90,722	117,963	2009
148,981	45,934	0	8,183	31,258	63,606	2010
50,928	7,628	0	9,253	1,185	32,862	2011
34,966	16,463	0	5,492	0	13,012	2012
39,133	15,526	0	5,267	10,012	8,329	2013
61,215	15,473	0	4,078	20,510	21,155	2014
86,683	21,224	2,524	5,749	28,007	29,180	2015
45,492	7,385	0	6,430	11,470	20,208	2016
48,662	0	3,188	1,235	27,652	16,586	2017
42,389	0	4,686	3,717	9,620	24,366	2018
1,047,042	311,753	10,398	75,539	302,085	347,267	(all)

Table 2: Total catch of Jack mackerel by contracting party

Length of the fishing season

Fishing season is defined as the number of days between the first haul and the last haul in a year)

year	CHN	EU	KOR	RUS	VUT	(all)
2008		172	188		245	202
2009	216	190	195		198	200
2010	256	173	208		171	202
2011	194	31	197		149	143
2012	271		167		263	234
2013	228	233	139		202	200
2014	182	165	93		201	160
2015	217	148	120	52	159	139
2016	241	136	188		167	183
2017	166	277	81	75		150
2018	181	182	130	111		151
(all)	215	171	155	79	195	176

Table 3: Length of the fishing season (days) by Contracting Party

Number of fishing days

Number of days when at least one haul has been reported.

year	CHN	EU	EU KOR RUS VUT		(all)	
2008	0	416	224	0	708	1,348
2009	1,301	537	173	0	584	2,595
2010	869	289	125	0	438	1,721
2011	591	29	205	0	169	994
2012	260	0	116	0	323	699
2013	177	137	89	0	223	626
2014	304	208	77	0	233	822
2015	362	171	104	38	214	889
2016	277	115	195	0	85	672
2017	165	255	31	51	0	502
2018	230	131	92	70	0	523
(all)	4,536	2,288	1,431	159	2,977	11,391

 Table 4: Number of fishing days by contracting party

Number of hauls

	year	CHN	EU	KOR	RUS	VUT	(all)
-	2008	0	702	398	0	1,731	2,831
	2009	2,331	836	291	0	1,356	4,814
	2010	1,518	512	261	0	886	3,177
	2011	997	40	432	0	273	1,742
	2012	446	0	160	0	562	1,168
	2013	269	198	128	0	358	953
	2014	485	336	125	0	392	1,338
	2015	614	349	198	80	435	1,676
	2016	500	202	326	0	180	1,208
	2017	294	549	54	87	0	984
	2018	377	232	157	132	0	898
	(all)	7,831	3,956	2,530	299	6,173	20,789

Table 5: Number of hauls by contracting party

Number of fishing hours

	year	CHN	EU	KOR	RUS	VUT	(all)
-	2008	0	2,829	1,559	0	8,935	13,323
	2009	12,622	5,905	1,301	0	7,512	27,340
	2010	8,213	3,363	1,381	0	6,357	19,314
	2011	6,463	309	2,385	0	2,041	11,198
	2012	3,256	0	920	0	4,253	8,429
	2013	1,917	1,455	919	0	2,815	7,106
	2014	3,655	2,238	649	0	2,809	9,351
	2015	3,704	2,033	910	441	2,631	9,719
	2016	3,122	1,296	1,775	0	1,097	7,290
	2017	1,482	2,944	214	482	0	5,122
	2018	2,605	1,641	892	790	0	5,928
	(all)	47,039	24,013	12,905	1,713	38,450	124,120

Table 6: Summed fishing hours by contracting party

Average duration of a fishing haul

year	CHN	EU	KOR	RUS	VUT	(all)
 2008		4.1	3.9		5.2	4.4
2009	5.4	7.1	4.5		5.5	5.6
2010	5.4	6.6	5.3		7.2	6.1
2011	6.5	7.7	5.5		7.5	6.8
2012	7.3		5.8		7.6	6.9
2013	7.1	7.4	7.2		7.9	7.4
2014	7.5	6.7	6.1		7.2	6.9
2015	6	5.8	5.1	5.5	6	5.7
2016	6.2	6.4	6.2		6.1	6.2
2017	5	5.4	4	5.5		5
2018	6.9	7.1	5.7	6		6.4
(all)	6.3	6.4	5.4	5.7	6.7	6.1

Table 7: Average duration of a fishing haul by contracting party

Mean catch per day of jack mackerel

year	CHN	EU	KOR	RUS	VUT	(all)
2008		173	55		145	124
2009	91	169	80		137	119
2010	73	109	65		105	88
2011	56	41	45		45	47
2012	50		47		51	49
2013	47	74	59		70	63
2014	70	100	53		66	72
2015	81	166	55	68	99	94
2016	73	100	33		87	73
2017	101	108	40	63		78
2018	106	73	40	67		72
(all)	75	111	52	66	89	80

Table 8: Mean catch per day of Jack Mackerel

All hauls of all years on one map

All haul positions for all years where Jack mackerel has been caught.



Figure 1: Haul positions where Jack mackerel has been caught (all years combined)

Haul positions by contracting party and year

The yearly postions of Jack mackerel fishery of the offshore fleets.



Figure 2: Haul positions where Jack mackerel has been caught (by year). Colours indicate the different contracting parties

Mean catch per day of jack mackerel per one degree longitude and 1/2 degree latitude



Figure 3: Catch per day (tonnes) of Jack mackerel (summed by 1 degree longitude and 0.5 degree latitude)



Jack mackerel log CPUE by day against latitude and longitude

Figure 4: Log catch per day (tonnes) of Jack mackerel against latitude (top) and longitude (bottom).

Comparison of different CPUE metrics: by hour, by day and by week

Average CPUE by year and contracting party has been calculated by hour, by day and by week. Each of the series has been scaled to the maximum of the time series. This indicates that the nominal CPUE by day and by week give the same overall pattern which is differing from the CPUE by hour.



Figure 5: Jack mackerel CPUE metrics by hour, by day and by week, scaled to the maximum of the time series.

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Jack mackerel Log CPUE by week and yearly average Log CPUE

The plot below shows the distributions of log CPUE by week and by contracting party. Log CPUE was calculated as the log of catch per week divided by the number of fishing days per week. The average log CPUE is drawn as a dashed black line.



Figure 6: Jack mackerel log CPUE (log(catch / ndays)) by week.

El Nino effect and Humbold_current index

It has been hypothesized that the catch rate of jack mackerel by area and season could be dependent on the climatic situation, characterized by El Nino events (NOAA, <u>https://www.esrl.noaa.gov/psd/data/correlation/oni.data</u>) or the Humboldt Current Index (<u>http://www.bluewater.cl/HCI/</u>)





Modelling approach

The general modelling approach has been to use GAM models to assess the dependency on the weekly catch of jack mackerel on different variables. In the first instance a test has been carried out to apply a negative binomial distribution to the weekly catch data

The basic model consists of catch (per week) as the main variable, the year effect (as factor) as the main explanatory variable and the log of effort as the offset (the log is taken because of the log-link function). Then the other potential explanatory variables are explored (month, vessel, contracting party,

A leave-one-out analysis was carried out to assess the year trends in CPUE if the data from one of the contracting parties was left out. In addition, an analysis was performed using data of one contracting party only.

3 Results

Negative binomial distribution of catch by week

The catch per week data fits closely to a negative binomial distribution.



Figure 8: Fitting a negative binomial distribution through the catch data

Modelling the first linear effect next to the year trend

The basic model consists of catch (per week) as the main variable, the year effect (as factor) as the main explanatory variable and the log of effort as the offset (the log is taken because of the log-link function). Then the other potential explanatory variables are explored (month, vessel, contracting party, sea surface temperature anomaly, el nino effect and interaction between lat and long).

Based on the AIC criteria, the best fitting first linear effect was the vesselcode.

Catch ~ offset(log(effort)) + year + first linear effect



'gamm' based fit - care required with interpretation. Checks based on working residuals may be misleading.

Figure 9: Negative binomial GLM with best fitting first linear effect

Analysis of Deviance Table Model: Negative Binomial(1.8993), link: log Response: catch Terms added sequentially (first to last) Df Deviance Resid. Df Resid. Dev Pr(>Chi) NULL 2741 4145.7 year 10 445.29 2731 3700.4 < 2.2e-16 *** vesselcode2 31 707.59 2700 2992.8 < 2.2e-16 *** ----Signif. codes: 0 '***' 0.001 '**' 0.01 '*' 0.05 '.' 0.1 ' ' 1

Table 9: ANOVA results for negative binomial GLM with best fitting first linear effect

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Modelling the second linear effect next to the year and vessel effect

Catch ~ offset(log(effort)) + year + vessel + second linear effect Based on the AIC criteria, the best fitting second linear effect was the month.



Residuals

Fitted Values

'gamm' based fit - care required with interpretation. Checks based on working residuals may be misleading.

Figure 10: Negative binomial GLM with best fitting second linear effect

Analysis of Deviance Table Model: Negative Binomial(2.068), link: log Response: catch Terms added sequentially (first to last) Df Deviance Resid. Df Resid. Dev Pr(>Chi) NULL 2741 4504.8 year 10 484.53 2731 4020.3 < 2.2e-16 *** vesselcode2 31 769.65 2700 3250.6 < 2.2e-16 *** month 11 269.83 2689 2980.8 < 2.2e-16 *** ----Signif. codes: 0 '***' 0.001 '**' 0.01 '*' 0.05 '.' 0.1 ' ' 1

Table 10: ANOVA results for negative binomial GLM with best fitting second linear effect

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Modelling the third linear effect next to the year, vessel and month effect

Catch ~ offset(log(effort)) + year + vessel + month + third linear effect

Based on the AIC criteria, the best fitting first linear effect was the combination of latitude and longitude.



'gamm' based fit - care required with interpretation. Checks based on working residuals may be misleading.

Figure 11: Negative binomial GLM with best fitting third linear effect

Analysis of Deviance Table Model: Negative Binomial(2.0981), link: log Response: catch Terms added sequentially (first to last) Df Deviance Resid. Df Resid. Dev Pr(>Chi) NULL 2741 4568.6 year 10 491.51 2731 4077.1 < 2.2e-16 *** month 11 350.41 2720 3726.6 < 2.2e-16 *** vesselcode2 31 703.98 2689 3022.7 < 2.2e-16 *** shootlon 1 0.44 2688 3022.2 0.50541 shootlat 1 6.41 2687 3015.8 0.01138 * shootlon:shootlat 1 37.10 2686 2978.7 1.12e-09 *** ----Signif. codes: 0 '***' 0.001 '**' 0.01 '*' 0.05 '.' 0.1 ' ' 1

Table 11: ANOVA results for negative binomial GLM with best fitting third linear effect

Exploring the El Nino effects

Catch ~ offset(log(effort)) + year + vessel + month + lat-lon + 'El Nino' or Humboldt Current Index

The El Nino effect can be taken in as the sea surface temperature (SST) anomaly or as the El Nino indicator ELE (-1, 0, 1). The Humboldt Current index HCI is taken as the pressure difference between Easter island and Antofagasta.

The only significant effect that resulted from this analysis is the El Nino Index ELE, which will be taken up in the final model formulation.

Analysis of Deviance Table

Model: Negative Binomial(2.1139), link: log

Response: catch

Terms added sequentially (first to last)

	Df	Deviance	Resid.	Df	Resid	. Dev	Pr()	>Chi)	
NULL			27	41	4	602.1			
year	10	495.19	27	31	4	106.9	< 2.2	2e-16	***
month	11	353.03	27	20	3	753.9	< 2.2	2e-16	***
vesselcode2	31	709.21	26	89	3	044.7	< 2.2	2e-16	* * *
shootlon	1	0.45	26	88	3	044.2	0.5	50402	
shootlat	1	6.45	26	87	3	037.8	0.0	01107	*
ELE	2	29.48	26	85	3	008.3	3.958	8e-07	* * *
<pre>shootlon:shootlat</pre>	1	30.72	26	84	2	977.6	2.98	6e-08	* * *
Signif. codes: 0	! * *	*' 0.001	'**' 0.	01	'*' 0	.05 '	. ' 0.1		1

Table 12: ANOVA results for negative binomial GLM including the El Nino Effect ELE

Analysis of Deviance Table

Model: Negative Binomial(2.1009), link: log

Response: catch

Terms added sequentially (first to last)

	Df	Deviance	Resid. Df	Resid. Dev	Pr(>Chi)	
NULL			2741	4574.5		
year	10	492.16	2731	4082.3	< 2.2e-16	***
month	11	350.87	2720	3731.5	< 2.2e-16	***
vesselcode2	31	704.91	2689	3026.6	< 2.2e-16	***
shootlon	1	0.44	2688	3026.1	0.50517	
shootlat	1	6.41	2687	3019.7	0.01132	*
sst	1	4.01	2686	3015.7	0.04521	*
<pre>shootlon:shootlat</pre>	1	37.01	2685	2978.7	1.176e-09	***

Signif. codes: 0 '***' 0.001 '**' 0.01 '*' 0.05 '.' 0.1 ' ' 1

Table 13: ANOVA results for negative binomial GLM including the Sea SurfaceTemperature (SST) anomaly

Analysis of Deviance Table

Model: Negative Binomial(2.0983), link: log

Response: catch

Terms added sequentially (first to last)

	Df	Deviance	Resid. Df	Resid. Dev	Pr(>Chi)	
NULL			2741	4569.1		
year	10	491.57	2731	4077.5	< 2.2e-16 ***	
month	11	350.45	2720	3727.1	< 2.2e-16 ***	
vesselcode2	31	704.07	2689	3023.0	< 2.2e-16 ***	
shootlon	1	0.44	2688	3022.6	0.50539	
shootlat	1	6.41	2687	3016.2	0.01137 *	
hci	1	0.09	2686	3016.1	0.76318	
<pre>shootlon:shootlat</pre>	1	37.39	2685	2978.7	9.678e-10 ***	
Signif. codes: 0	1 * *	**' 0.001	'**' 0.01	'*' 0.05 '	. ' 0.1 ' ' 1	

Table 14: ANOVA results for negative binomial GLM including the Humboldt Current Index HCI

Modelling the spatial and year smoothers

In this section we explore the added benefits of using the interaction between lat, long and year and whether the smoothers available in GAM provide additional benefits over GLMs. Four different models are compared.





Analysis of Deviance Table												
Model: Negative Binomial(2.1139), link: log												
Response: catch												
Terms added sequentially (first to last)												
	Df De	viance	Resid	ł. Df	Resi	.d. Dev	7	Pr(>0	Chi)			
NULL				2741		4602.1	_					
year	10	495.19		2731		4106.9) <	2.20	e-16	* * *		
month	11	353.03		2720		3753.9) <	2.20	e-16	***		
vesselcode2	31	709.21		2689		3044.7	1 <	2.20	e-16	* * *		
shootlon	1	0.45		2688		3044.2	2	0.50	0402			
shootlat	1	6.45		2687		3037.8	3	0.01	107	*		
ELE	2	29.48		2685		3008.3	3 3	.958e	e-07	* * *		
shootlon:shootlat	1	30.72		2684		2977.0	52	.9866	e-08	* * *		
Signif. codes: 0	! * * * !	0.001	! * * !	0.01	1 * 1	0.05	\cdot '	0.1	1.1	1		

Table 15: ANOVA results with negative binomial GLM including interaction latlon*

Analysis of Deviance Table

Model: Negative Binomial(2.3065), link: log

Response: catch

Terms added sequentially (first to last)

Df Deviance Resid. Df Resid. Dev Pr(>Chi) 2741 5010.0 2731 447 NULL 10 539.90 4470.0 < 2.2e-16 *** vear 11 384.95 2720 4085.1 < 2.2e-16 *** month

 11
 384.93
 2720
 4083.1 < 2.2e-16 ***</td>

 31
 772.80
 2689
 3312.3 < 2.2e-16 ***</td>

 1
 0.48
 2688
 3311.8
 0.487714

 1
 7.05
 2687
 3304.8
 0.007914 **

 2
 32.11
 2685
 3272.7
 1.064e-07 ***

 1
 33.46
 2684
 3239.2
 7.281e-09 ***

 10
 10.08
 2674
 3229.1
 0.433632

 10
 153.26
 2664
 3075.9 < 2.2e-16 ***</td>

 vesselcode2 shootlon shootlat ELE shootlon:shootlat year:shootlon 10 year:shootlat 10 year:shootlon:shootlat 10 109.13 2654 2966.7 < 2.2e-16 *** Signif. codes: 0 '***' 0.001 '**' 0.01 '*' 0.05 '.' 0.1 ' ' 1

Table 16: ANOVA results with negative binomial GLM including interaction latlonyear

```
Family: Negative Binomial(2.114)
Link function: log
Formula:
catch ~ year + month + vesselcode2 + s(shootlon, shootlat) +
   ELE + offset(log(effort))
Parametric Terms:
          df Chi.sq p-value
       10 274.94 < 2e-16
11 108.31 < 2e-16
year
month
vesselcode2 31 837.42 < 2e-16
           2 17.73 0.000141
ELE
Approximate significance of smooth terms:
                       edf Ref.df Chi.sq p-value
s(shootlon, shootlat) 24.54 27.85 148.5 <2e-16
```

Table 17: ANOVA results with GAM including smoothing interaction s(latlon)*

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Approximate significance of smooth terms:

	edf	Ref.df	Chi.sq	p-value
s(shootlon,shootlat):year2008	5.135	6.896	2.685	0.895569
s(shootlon,shootlat):year2009	16.259	19.317	142.802	< 2e-16
s(shootlon,shootlat):year2010	17.648	19.887	84.865	5.69e-10
s(shootlon,shootlat):year2011	23.586	24.544	94.398	2.77e-10
s(shootlon, shootlat):year2012	10.481	12.876	97.826	5.17e-15
s(shootlon, shootlat):year2013	6.439	7.779	30.969	0.000130
s(shootlon,shootlat):year2014	11.885	12.971	91.594	5.46e-14
s(shootlon,shootlat):year2015	6.284	7.952	13.986	0.074981
s(shootlon, shootlat):year2016	18.178	19.316	47.332	0.000512
s(shootlon,shootlat):year2017	16.032	17.049	80.051	3.89e-10
s(shootlon, shootlat):year2018	15.845	17.269	61.154	9.07e-07

Table 18: ANOVA results with GAM including smoothing interaction s(latlonyear)

Final model

Although the GLM and GAM models that included interaction between latlong and year performed best (lowest AICs), they have not been selected as the final model as the interpretation of the year effect in the model becomes more problematic while this is the essential output of the model. Therefore, the GAM model without interaction between space and year has been selected. The final model was selected as the following model:

Catch ~ offset(log(effort)) + year + vessel + month + s(lat-lon) + ELE



Figure 13: Jack mackerel Final GAM model estimates for selected effects

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Figure 14: GAM standardized offshore fleet CPUE for jack mackerel

```
Family: Negative Binomial(2.114)
Link function: log
Formula:
catch ~ year + vesselcode2 + month + s(shootlon, shootlat) +
   ELE + offset(log(effort))
Parametric Terms:
         df Chi.sq p-value
          10 274.94 < 2e-16
year
vesselcode2 31 837.42 < 2e-16
month 11 108.31 < 2e-16
ELE
           2 17.73 0.000141
Approximate significance of smooth terms:
                     edf Ref.df Chi.sq p-value
s(shootlon, shootlat) 24.54 27.85 148.5 <2e-16
```

Table 19: ANOVA results with final model GAM

Table 20: GAM standardized offshore fleet CPUE for jack mackerel

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leave one out analysis

The leave-one-out analysis shows that the signal of standardized CPUE is largely similar if data of one of the contracting parties is left out.



Figure 15: Jack mackerel leave-one-out analysis (leaving out one of the fleets)

Only single fleet analyses

The leave-one-out analysis shows that the signal of standardized CPUE is largely similar if data of one of the contracting parties is left out. Notably when the EU data is left out, the pattern and the variance is somewhat different from the other situations.



4 Discussion and conclusions

This working document describes the work aimed to standardizing all the CPUE data from the offshore fleets (China, EU, Korea, vanuatu and Russia) based on the haul-by-haul data contained in the SPRFMO database. Permission to utilize that information was granted by the delegations of the contracting parties while the analysis was carried out by scientists from the EU delegation.

The final model for standardizing the CPUE of these fleets models the catch by week and takes into account of the vessel, month, and a smooth interaction between latitude and longitude with an offset of log effort (in number of days per week). The new standardized CPUE series starts in 2008 as this is the first year for which haul by haul information was available to carry out this analysis. It is recommended to extend the time-series, where possible, to the years before 2008, in order to get more information on the catch rates during the higher abundances of jack mackerel.

A 'leave-one-out analysis' was carried out by removing the data of one of the contracting parties from the analysis to explore the sensitivity of the results to the data being used. The conclusion from that analysis is that, by and large, the trends are similar. Likewise, the "single-fleet-analysis" indicates that the analysis based on one single fleet at a time, generates comparable trends over time.

5 Acknowledgements

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6 References

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